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COMMITTEE OF EXPERTS ON THE EVALUATION OF ANTI-MONEY LAUNDERING MEASURES AND THE FINANCING OF TERRORISM (MONEYVAL)

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Report on Fourth Assessment Visit – Annexes

Anti-Money Laundering and Combating the Financing of Terrorism

ESTONIA

18 September 2014

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ANNEX 1. DETAILS OF ALL BODIES MET ON THE ON-SITE VISIT

Ministries, other Government Authorities and Bodies

Estonian Financial Intelligence Unit
Estonian Central Register of Securities
Ministry of Finance
Ministry of Interior
Ministry of Foreign Affairs
Ministry of Justice
Ministry of Economic Affairs and Communications

Law Enforcement Bodies, Public Prosecutor' Office, Judiciary

Estonian Tax and Customs Board
First Instance Court
Internal Security Service
Office of the Prosecutor General
Police and Border Guard Board
Second Instance Court

Financial and non-Financial Sector Bodies

Chamber of Notaries
Estonian Bar Association
Bank of Estonia
Financial Supervision Authority

Private Sector Representatives and Associations

Accountants
Auditors
Casinos (including on-line casinos)
Estonian Accountants Association
Estonian Association of Auditors
Estonian Association of Gambling Operators
Estonian Association of Real Estate Agents
Estonian Insurance Association
Estonian Banking Association and Advisory Body
Notaries Public
Representatives of credit institutions
Representatives of insurance companies
Representatives of investment firms
Representatives of fund management companies
Representatives of payment institutions
Representatives of non-banking financial institutions
Sworn advocates

ANNEX 2. LIST OF ALL THE DOCUMENTS AND OTHER MATERIALS PROVIDED TO THE EVALUATION TEAM

Legal Acts[1]:

- The Constitution of the Republic of Estonia: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X0000K2&keel=en&pg=1&ptyyp=RT&tyyp=X&query=p%F5hiseadus
- Money Laundering and Terrorist Financing Prevention Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X30024K9&keel=en&pg=1&ptyyp=RT&tyyp=X&query=rahapesu+ja+terrorismi+
- Penal Code: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X30068K11&keel=en&pg=1&ptyyp=RT&tyyp=X&query=karistusseadustik
- Code of Criminal Procedure: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X60027K9&keel=en&pg=1&ptyyp=RT&tyyp=X&query=kriminaalmenetluse+seadustik
- Estonian Central Register of Securities Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X30067K6&keel=en&pg=1&ptyyp=RT&tyyp=X&query=v%E4%E4rtpaberite+keskregistri
- Identity Documents Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X30039K13&keel=en&pg=1&ptyyp=RT&tyyp=X&query=isikut+t%F5endavate
- Anti-corruption Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=2012X15&keel=en&pg=1&ptyyp=RT&tyyp=X&query=korruptsioonivastane+seadus
- Courts Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X30065K9&keel=en&pg=1&ptyyp=RT&tyyp=X&query=kohtute+seadus
- Civil Service Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=2013X11&keel=en&pg=1&ptyyp=RT&tyyp=X&query=avaliku+teenistuse
- Accounting Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X70008K5&keel=en&pg=1&ptyyp=RT&tyyp=X&query=raamatupidamise+seadus
- Auditors Activities Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=XXXXX21K1&keel=en&pg=1&ptyyp=RT&tyyp=X&query=audiitortegevuse
- Bailiffs Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=XXXXXX15K1&keel=en&pg=1&ptyyp=RT&tyyp=X&query=kohtut%E4ituri
- Bankruptcy Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X70024K4&keel=en&pg=1&ptyyp=RT&tyyp=X&query=pankrotis

[1] Consolidated texts of English translations of Estonian legislation are available at: https://www.riigiteataja.ee/en/ The translations published in Riigi Teataja are unofficial texts – they do not have legal force and you cannot rely on them in judicial or any other official proceedings. In Estonia, legislation has legal force only in Estonian. Estonian legislation is available in Estonian at: https://www.riigiteataja.ee/

- Notaries Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X50001K8&keel=en&pg=1&ptyyp=RT&tyyp=X&query=notariaadiseadus
- Notarisation Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X50058K4&keel=en&pg=1&ptyyp=RT&tyyp=X&query=T%F5estamisseadus+%28seisuga+1%2E07%2E2010%29
- Bar Association Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X30070K6&keel=en&pg=1&ptyyp=RT&tyyp=X&query=advokatuuris
- Security Authorities Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X50038K5&keel=en&pg=1&ptyyp=RT&tyyp=X&query=julgeoleku
- Credit Institutions Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X30042K15&keel=en&pg=1&ptyyp=RT&tyyp=X&query=krediidiasutuste+seadus
- Insurance activities Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X90004K2&keel=en&pg=1&ptyyp=RT&tyyp=X&query=kindlustustegevuse
- Investment Funds Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X2006K9&keel=en&pg=1&ptyyp=RT&tyyp=X&query=investeerimisfondide
- Securities Market Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X40057K13&keel=en&pg=1&ptyyp=RT&tyyp=X&query=v%E4%E4rtpaberituru+seadus
- Payment Institutions and E-money Institutions Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=2012X09K1&keel=en&pg=1&ptyyp=RT&tyyp=X&query=makseasutuste+ja+
- Savings and Loan Associations Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X30055K3&keel=en&pg=1&ptyyp=RT&tyyp=X&query=hoiu%2Dlaenu%FChis
- Gambling Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=2012X12&keel=en&pg=1&ptyyp=RT&tyyp=X&query=hasartm%E4nguseadus
- Precious Metal Articles Act: https://www.riigiteataja.ee/akt/13329589
- Register Of Economic Activities Act: https://www.riigiteataja.ee/akt/125042012002
- Law of Succession Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=XXX0002K1&keel=en&pg=1&ptyyp=RT&tyyp=X&query=P%E4rimisseadus+%28seisuga+01%2E07%2E2010%29
- General Part of the Civil Code Act, available in English: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X30082K4&keel=en&pg=1&ptyyp=RT&tyyp=X&query=Tsiviilseadustiku+%FCldosa+seadus+%28seisuga+5%2E04%2E2011%29
- Law of Obligations Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X30085K7&keel=en&pg=1&ptyyp=RT&tyyp=X&query=v%F5la%F5igusseadus
- Commercial Code: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X0001K26&keel=en&pg=1&ptyyp=RT&tyyp=X&query=%E4riseadustik
- Non-Profit Associations Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X1013K10&keel=en&pg=1&ptyyp=RT&tyyp=X&query=mittetulundus%FCh

- Foundations Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X1014K8&keel=en&pg=1&ptyyp=RT&tyyp=X&query=sihtasutuste
- Law of Property Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X0004K11&keel=en&pg=1&ptyyp=RT&tyyp=X&query=asja%F5igusseadus
- International Sanctions Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=2012X16&keel=en&pg=1&ptyyp=RT&tyyp=X&query=rahvusvahelise+sanktsiooni
- The Obligation to Leave and Prohibition on Entry Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X30034K11&keel=en&pg=1&ptyyp=RT&tyyp=X&query=v%E4ljas%F5idu
- The Strategic Goods Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=2012X07K1&keel=en&pg=1&ptyyp=RT&tyyp=X&query=strateegilise+kauba+seadus
- Financial Supervision Authority Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X50008K8&keel=en&pg=1&ptyyp=RT&tyyp=X&query=finantsinspektsiooni
- Motor Third Party Liability Insurance Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X40068K6&keel=en&pg=1&ptyyp=RT&tyyp=X&query=liikluskindl
- Customs Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X80042K7&keel=en&pg=1&ptyyp=RT&tyyp=X&query=tolliseadus
- Prosecutor's Office Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X2050K12&keel=en&pg=1&ptyyp=RT&tyyp=X&query=prokuratuuri
- Code of Misdemeanour Procedure: https://www.riigiteataja.ee/akt/106072013009
- Administrative Court Procedure Act: http://www.legaltext.ee/et/andmebaas/tekst.asp?loc=text&dok=X40071K4&keel=en&pg=1&ptyyp=RT&tyyp=X&query=haldusmenetluse+seadus
- Governmental Order No. 285 of the Government of the Republic of 11 May 2006 on Establishment of Government Committee for Coordination of Issues Concerning Prevention of Money Laundering and Terrorist Financing: https://www.riigiteataja.ee/akt/13189537
- The regulation No. 51 of Minister of the Interior "The Form and Instructions for Filling in Notifications Given to the Financial Intelligence Unit": https://www.riigiteataja.ee/akt/109042013004
- The regulation No. 10 of the Minister of Finance "Requirements for Code of Conduct to be Established by Credit and Financial Institutions, for Internal Control Rules for Monitoring of Compliance with Code of Conduct and for Implementation of Such Documents": https://www.riigiteataja.ee/akt/129062012064
- The regulation No. 11 of the Minister of Finance „Criteria of low risk of money laundering and terrorist financing which allows the application of simplified customer due diligence measures": http://www.fi.ee/failid/Criteria_of_low_risk_MLTF_Regulation_EST_20080716.pdf
- Regulation No. 13 of the Minister of the Interior "Procedure for Registration and Processing of Data Collected by Financial Intelligence Unit", available in Estonian: https://www.riigiteataja.ee/akt/109042013004

Guidelines:

- FSA guidelines (general link): http://www.fi.ee/index.php?id=2897
- FIU guidelines (general link): http://www.politsei.ee/?id=826

- FSA „Advisory Guidelines of the Financial Supervision Authority 'Measures for the Prevention of Money Laundering and Terrorist Financing in Credit Institutions and Financial Institutions": http://www.fi.ee/index.php?id=3375

Other Acts, Rules, Codes, Procedures or Good Practices:

- Accounting Services Good Practice: http://erpel.ee/?page=1004&selected=9793&lang=1
- Code of Ethics for Accountants: http://erk.ee/sisu.php?nid=11&pid=47
- The Procedure For Granting The Profession Of Accountant: http://erk.ee/sisu.php?nid=12&pid=24&ppid=55.
- Code Of Ethics For Professional Accountants: http://www.audiitorkogu.ee/docs/ISA/Standardid2010/EetikakoodeksEE2010.pdf.
- Code of Conduct of the Estonian Bar Association: (https://www.advokatuur.ee/est/oigusaktid/eetikakoodeks
- Internal Rules of Estonian Bar Association: https://www.advokatuur.ee/eng/internal-rules
- Code of Enforcement Procedure: http://www.legaltext.ee/text/en/X80003K2.htm.
- Estonian Chamber of Bailiffs and Trustees in Bankruptcy Good Practices: http://www.kpkoda.ee/doc/Hea%20ametitava_1.pdf
- Estonian Chamber of Bailiffs and Trustees in Bankruptcy Good Professional Practice: http://www.kpkoda.ee/doc/Hea%20kutsetava_1.pdf
- Code of Ethics for Notaries: http://www.notar.ee/5948.

ANNEX 3. STATISTICS ON MONEY LAUNDERING CONVICTIONS

	Date	Court	No of criminal proceedings	Accused	Compromise procedure	Convicted	§	penalty (§ 394)	Self-laundering	Local/international	Assets conf.	Value of conf. assets	Predicate offense
2010													
	19.1.2010	Viru County Court	1-10-520	1	yes	yes	§ 394 lg.1	1 year imprisonment	yes	local	no		computer fraud
	25.1.2010	Viru County Court	1-08-10506	1	no (short procedure)	yes	§ 394 lg. 2 p. 1, 2	2 years 6 months imprisonment	*Offence not described in the court decision*		yes	15 000 EEK	*No information*
				2		yes	§ 22 lg.3 - 394 lg. 2 p. 1	2 years imprisonment			yes		
	15.3.2010	Tartu County Court	1-09-9121	1	yes	yes	§394 lg 2 p 2	2,5 years imprisonment	yes	local	yes	a car	breach of regulation of managing of alcohol
	19.3.2010	Harju County Court	1-10-3296	1	yes	yes	§394 lg 2 p 3	3 years 5 moths imprisonment	yes	local	yes	157740,79 EUR	tax evasion
	29.3.2010	Harju County Court	1-09-5578	1	yes	yes	§394 lg 2, p 1,2,3	3 years imprisonment	yes	international	yes	34 749.8 EUR, computer, laptop	computer fraud
	8.4.2010	Harju County Court	1-10-2854	1	yes	yes	§394 lg 2 p 1,3	3 years imprisonment	no	international	yes	343200 EEK	embezzlement
				2		yes	§394 lg 2	2 years	no		no		

							p 1,3	imprisonment					
				3		yes	§394 lg 2 p 1,3	2 years imprisonment	no		no		
				4		yes	§394 lg 2 p 1,3	2 years imprisonment	no		no		
				5		yes	§394 lg 2 p 1,3	2 years imprisonment	no		no		
	13.4.2010	Viru County Court	1-10-1335	1	yes	yes	§ 394 lg 2 p 2	2 years imprisonment	yes	local	no		breach of regulation of managing of drugs
	19.4.2010	Tartu County Court	1-10-2185	1	yes	yes	§ 394 lg 2 p 1,2,3	4 years imprisonment	yes	local	no		fraud
				2		yes	§ 394 lg 2 p 1,2,3	2 years 8 months imprisonment	yes		no		
				3		yes	§ 394 lg 2 p 1,2,3	4 years imprisonment	yes		no		
				4		yes	§ 394 lg 2 p 1,3	2 years imprisonment	yes		no		
				5		yes	§ 394 lg 2 p	4 years imprisonment	yes		no		

							1,2,3						
				6		yes	§ 394 lg 2 p 1,3	2 years imprisonment	yes		no		
				7		yes	§ 394 lg 2 p 1,3	2 years imprisonment	no		no		
	5.5.2010	Viru County Court	1-09-10112	1	no (short procedure)	yes	§ 394 lg 2 p 1	3 years imprisonment	*Offence not described in the court decision*		yes	4 024 EEK; 4 000 RUR (363 EUR)	*No information*
				2		yes	§ 22 lg 3 § 394 lg 2 p 1	2 years 3 months imprisonment					
				3		yes	§ 22 lg 3 § 394 lg 2 p 1	2 years imprisonment					
	21.5.2010	Viru County Court	1-10-2500	1	yes	yes	§ 394 lg 1	1 year imprisonment	yes	local	no		breach of regulation of managing of drugs
	28.5.2010	Tartu County Court	1-10-2540	1	yes	yes	§ 394 lg 2 p 3	2 years imprisonment	yes	local	yes	79020,49 EUR	tax evasion
	25.6.2010	Harju County Court	1-10-4995	1	yes	yes	§ 394 lg 2 p 3, 4	4 years imprisonment	yes	local	yes	512327,91 EUR	embezzlement

				2 (LP)		yes	§ 394 lg 4	100000 EEK	no				
				3		yes	394 lg 2 p 3, 4	2 years and 6 months imprisonment	yes		yes		
				4 (LP)		yes	KarS § 394 lg 4	compulsory liquidation	no				
				5 (LP)		yes	KarS § 394 lg 4	compulsory liquidation	no				
				6		yes	KarS § 394 lg 1 p 1, 3	1 year and 2 months imprisonment	no				
				7 (LP)		yes	KarS § 394 lg 4	compulsory liquidation	no		yes		
				8		yes	KarS § 394 lg 2 p 1, 3	2 years imprisonment	no		yes		
	24.8.2010	Narva County Court	1-08-75	1	yes	yes	§394 lg 2 p 1,2	2 years 5 months imprisonment	no	international	no		computer fraud
				2		yes	KarS § 22 lg 3 - § 394	1 year imprisonment	no		no		

							lg 2 p 1						
				3		yes	KarS § 22 lg 3 - § 394 lg 2 p 1	1 year imprisonment	no		no		
	27.9.2010	Harju County Court	1-09-16841	1	yes	yes	Kars § 394 lg 2 p 1,3	2 years 6 months imprisonment	yes	international	no		fraud
				2		yes	Kars § 394 lg 2 p 1,3	2 years 6 months imprisonment	yes		no		
				3		yes	Kars § 394 lg 2 p 1,3	2 years imprisonment	no		no		
				4		yes	Kars § 394 lg 2 p 1,3	2 years imprisonment	no		no		
				5		yes	Kars § 394 lg 2 p 1,3	2 years 3 months imprisonment	no		no		
				6		yes	Kars § 394 lg 2 p 1,3 + §	6 months imprisonment	no		no		

							22 lg 3						
				7		yes	KarS § 394 lg 1	10 000 EEK	no		no		
	21.10.2010	Viru County Court	1-10-13819	1	yes	yes	KarS § 394 lg.2 p.2	3 years imprisonment	yes	local	no		fraud
	13.12.2010	Supreme Court	3-1-1-68-10	1		no							
	16.12.2010	Harju County Court	1-10-13815	1	yes	yes	KarS § 394 lg 2 p 1, 3	2 years imprisonment	*Offence not described in the court decision*				*No information*
				2		yes	KarS § 394 lg 2 p 1 ja 3 - § 22 lg 3	1 year imprisonment					
				3		yes	KarS § 394 lg 2 p 1 ja 3 - § 22 lg 3	6 months imprisonment					
				4		yes	KarS § 394 lg 2 p 1	6 months imprisonment					

							ja 3 - § 22 lg 3						
				5		yes	KarS § 394 lg 2 p 1 ja 3 - § 22 lg 3	6 months imprisonment					
				6		yes	KarS § 394 lg 2 p 1 ja 3	3 years imprisonment					
	20.12.2010	Harju County Court	1-10-14022	1	yes	yes	KarS § 394 lg 2p 1, 3	2 years 3 months imprisonment	no	international	yes		fraud
				2		yes	KarS § 394 lg 2p 1, 3	2 years 3 months imprisonment	no		yes		
				3		yes	KarS § 394 lg 2p 1, 3	2 years 1 month imprisonment	no		yes	8344,19 EUR	
				4		yes	KarS § 394 lg 2p 1, 3	2 years imprisonment	no		yes		
				5		yes	KarS § 394	2 years imprisonment	no		no		

							lg 2p 1, 3						
				6		yes	KarS § 394 lg 2p 1, 3	2 years imprisonment	no		yes		
				7		yes	KarS § 394 lg 2p 1, 3	2 years imprisonment	no		no		
				8		yes	KarS § 394 lg 2p 1, 3	2 years imprisonment	no		no		
				9		yes	KarS § 394 lg 2p 1, 3	2 years imprisonment	no		no		
				10		yes	KarS § 394 lg 2p 1, 3	2 years 2 months imprisonment	no		no		
	27.12.2010	Harju County Court	1-09-10314	1	yes	yes	KarS § 394 lg 2 p 1,2	3 years imprisonment	yes	local	no		fraud
				2		yes	KarS § 394 lg 2 p 1,2	3 years imprisonment	yes		no		
				3		no	KarS §		no		no		

							394 lg 2 p 3						
				4 (LP)		no	KarS § 394 lg 2 p 4		no		no		
2011													
43	3.3.2011	Harju County Court	1-09-17604	1	yes	yes	KarS § 394 lg 2 p 1,3	2 years imprisonment	yes	local	yes	99340,51 EUR, a car, a golden chain (value 1233,74 EUR), a laptop	breach of regulation of managing of drugs
				2		yes	KarS § 394 lg 2 p 1,3	2 years imprisonment	yes				
44	3.3.2011	Harju County Court	1-10-13641	1	yes	yes	KarS § 394 lg 2 p 1	3 years imprisonment	yes	international	yes	136122,09 EUR	No information
				2		yes	KarS § 394 lg 2 p 1,3	3 years imprisonment	no		yes		
				3		yes	KarS § 394 lg 2 p 1,3	3 years imprisonment	no		yes		

				4		yes	KarS § 394 lg 2 p 1	3 years imprisonment	no		yes		
				5		yes	KarS § 394 lg 2 p 1	2 years imprisonment	no		yes		
				6		yes	KarS § 394 lg 2 p 1	2 years imprisonment	no		yes		
				7		yes	KarS § 394 lg 2 p 1	2 years imprisonment	no		yes		
				8		yes	KarS § 394 lg 2 p 1	2 years imprisonment	no		yes		
				9		yes	KarS § 394 lg 2 p 1	2 years imprisonment	no				
				10		yes	KarS § 394 lg 2 p 1	2 years imprisonment	no		yes		
				11		yes	KarS § 394 lg 2	2 years imprisonment	no		yes		

							p 1						
				12		yes	KarS § 394 lg 2 p 1	2 years imprisonment	no		yes		
				13		yes	KarS § 394 lg 2 p 1	2 years imprisonment	no		yes		
				14		yes	KarS § 394 lg 2 p 1	2 years imprisonment	no		yes		
				15		yes	KarS § 394 lg 2 p 1	2 years imprisonment	no		yes		
				16		yes	KarS § 394 lg 2 p 1	2 years imprisonment	no		yes		
	30.3.2012	Harju County Court	1-10-17372	1	yes	yes	KarS § 394 lg 2 p 3	4 years and 11 months imprisonment	Offence not described in the court decision		yes	65503,32 EUR	

	10.5.2011	Tartu County Court	1-11-1409	1	yes	yes	§ 394 lg 2 p 1, 3	3 years and 6 months imprisonment	yes	local	yes	a ship, a trailer, a truck,a car, 3 real estates, a holding (value 500 000 EEK), mortgage claim, disability benefits, parental leave benefits	tax evasion
				2		yes	§ 394 lg 2 p 1, 3	3 years 6 months imprisonment	yes		yes		
				3		yes	§ 394 lg 2 p 1	2 years imprisonment	no		yes		
				4		yes	§ 394 lg 2 p 1	2 years imprisonment	no		yes		
				5		yes	KarS § 25 lg 1 - § 394 lg 2 p 1	4 months imprisonment	no		no		
				6 (LP)		yes	§ 394	63 911,65	no		no		

							lg 4	EUR					
				7 (LP)		yes	§ 394 lg 4	compulsory liquidation	no		yes		
				8 (LP)		yes	§ 394 lg 4	compulsory liquidation	no		no		
45	27.5.2011	Tartu County Court, Supreme Court	1-10-6820, 3-1-1-85-11	1	no	yes	KarS § 394 lg 2 p 1,3	2 years 6 months imprisonment	yes	local	no		
				2		yes	KarS § 394 lg 2 p 1,3	2 years 2 months imprisonment	no		no		
				3		yes	KarS § 394 lg 2 p 1,3	2 years imprisonment	no		no		
	17.6.2011	Harju County Court	1-10-8250	1	yes	yes	KarS § 394 lg 2 p 1,3	3 years imprisonment	no	international	yes	30224,65 EUR	*No information*
	3.05.2011	Supreme court	3-1-1-21-11	1		no							
	31.08.2011	Viru County Court	1-11-7748	1	yes	yes	KarS § 394 lg 2 p 1	3 years imprisonment	no	international	yes	6924,64 EUR	computer fraud
				2		yes	KarS § 22 lg 3 -	1 year and 6 months imprisonment	no				

							§394 lg 1						
	19.9.2011	Harju County Court	1-10-5435	1		yes	KarS § 394 lg 2 p 1,3	3 years imprisonment	*Offence not described in the court decision*			195300,93 EUR	*No information*
				2		yes	KarS § 394 lg 2 p 1,3	2 years imprisonment			yes		
				3		yes	KarS § 22 lg 3 ja § 394 lg 2 p 1,3	1 year 9 months imprisonment			yes		
				4		yes	KarS § 394 lg 2 p 1,3	2 years imprisonment			yes		
				5		yes	KarS § 394 lg 2 p 1,3	3 years imprisonment			yes		
				6		yes	KarS § 394 lg 2 p 1,3	3 years imprisonment			yes		
				7		yes	KarS § 394 lg 2 p 1,3	3 years and 6 months imprisonment			yes		

				8		yes	KarS § 394 lg 2 p 1,3	4 years imprisonment			yes		
				9 (LP)		yes	KarS § 394 lg 4	5000 EUR					
	27.9.2011	Harju County Court	1-11-9938	1	yes	yes	KarS § 394 lg 2 p.p 1, 3 -§ 25 lg 2	2 years imprisonment	*Offence not described in the court decision*		no		
				2		yes	KarS § 394 lg 2 p.p 1, 3 – 25 lg 2	2 years imprisonment			no		
	19.10.2011	Harju County Court	1-11-5648	1	yes	yes	KarS § 394 lg 2 p 1	2 years 3 months imprisonment		international	no		*No information*
				2		yes	KarS § 394 lg 2 p 1	2 years imprisonment			no		
				3		yes	KarS § 394 lg 2 p 1 –	2 years 6 months imprisonment			no		

							22 lg 3						
	9.11.2011	Viru County Court	1-10-11354	1	yes	yes	KarS § 394 lg 2 p 1, 3	3 years and 10 months imprisonment	no	international	yes	computer, 7757,17 EUR	computer fraud
				2		yes	KarS § 22 lg 3 - § 394 lg 2 p 1	2 years and 3 months imprisonment	no		no		
				3		yes	KarS § 22 lg 3 - § 394 lg 2 p 1	2 years imprisonment	no		no		
				4		yes	KarS § 22 lg 3 - § 394 lg 2	2 years and 6 months imprisonment	no		no		
				5		yes	KarS § 394 lg 2 p 1 - § 22 lg 3	2 years imprisonment	no		no		
	18.11.2011	Viru County Court	1-11-12468	1	yes	yes	KarS § 394 lg 2 p 1 - § 22	2 years imprisonment	no	international	no		computer fraud

							lg 3						
	22.11.2011	Viru County Court	1-11-3783	1	yes	yes	KarS § 394 lg 2 p 1 - § 22 lg 3	2 years and 3 months imprisonment	no	*No information*	no		*No information*
	2.12.2011	Harju County Court	1-11-13316	1	yes	yes	KarS § 394 lg 2 p 1, 2, 3	2 years 6 months imprisonment	yes	national	no		fraud
	5.12.2011	Tallinn District Court, Supreme Court	1-09-20617, 3-1-1-41-11	1	no	yes	§ 394 lg 2 p 1, 3	5 years imprisonment	yes	national	no		*No information*
				2		yes	§ 394 lg 2 p 1, 3	5 years imprisonment	yes		no		
				3		yes	§ 394 lg 2 p 1	2 years 3 months imprisonment	no		no		
				4		yes	§ 394 lg 2 p 1	2 years 6 months imprisonment	no		no		
				5		yes	§ 394 lg 2 p 1	2 years 3 months imprisonment	no		no		

				6		yes	§ 394 lg 2 p 1, 3	3 years imprisonment	no		no		
				7 (LP)		no							
				8 (LP)		no							
				9 (LP)		no							
	14.12.2011	Supreme Court	3-1-1-89-11, 1-07-16197	1		yes	§ 394 lg 2 p 2, 3	2 years 6 months imprisonment	yes	local	yes	62 000 EUR	embezzlement
2012													
	13.1.2012	Viru county court	1-09-14381	1	yes	yes	KarS § 394 lg 2 p 1,3	4 years 5 months imprisonment	no	international	yes		computer fraud
				2		yes	KarS § 394 lg 2 p 1,3, § 22 lg 3	2 years 6 months imprisonment	no		no	13974,63 EUR	
				3		yes	KarS § 394 lg 2 p 1, § 22 lg 3	2 years 3 months and 20 days imprisonment	no		no		
				4		yes	KarS § 394 lg 2	2 years 6 months imprisonment	no		yes		

							p 1, § 22 lg 3						
				5		yes	KarS § 394 lg 2 p 1, § 22 lg 3	2 years 2 months imprisonment	no		yes		
				6		yes	KarS § 394 lg 2 p 1, § 22 lg 3	2 years 6 months imprisonment	no		no		
				7		yes	KarS § 394 lg 2 p 1, § 22 lg 3	2 years 1 month imprisonment	no		yes		
				8		yes	KarS § 394 lg 2 p 1, § 22 lg 3	1 year 8 months imprisonment	no		yes		
	31.1.2012	Harju County Court	1-12-380	1	yes	yes	KarS § 394 lg 2 p 1, 3	2 years imprisonment	no	international	yes	27700 (value of vehicles)	computer fraud
				2		yes	KarS § 394 lg 2	2 years imprisonment	no				

							p 1, 3						
				3		yes	KarS § 394 lg 2 p 1,3; § 22 lg 3	2 years imprisonment	no		yes		
	2.2.2012	Viru County Court	1-12-1065	1	yes	yes	KarS § 394 lg 2 p 1 - § 22 lg 3	2 years imprisonment	no	international	yes	31.95 EUR	computer fraud
	7.2.2012	Viru County Court	1-12-82	1	yes	yes	KarS § 394 lg 2 p 1 - § 22 lg 3	2 years 2 months imprisonment	no	international			computer fraud
				2		yes	KarS § 394 lg 2 p 1 - § 22 lg 3	2 years 2 months imprisonment	no				
				3		yes	KarS § 394 lg 2 p 1 - § 22 lg 3	2 years 3 months imprisonment	no				
	13.2.2012	Viru County	1-11-3714	1		yes	KarS § 394	3 years imprisonment	no	international	yes	1182.36 EUR	computer fraud

		Court					lg 2 p 1, 3						
				2		yes	KarS § 22 lg 3 - § 394 lg 2 p 1	2 years 6 months imprisonment	no		yes		
				3		yes	KarS § 22 lg 3 - § 394 lg 2 p 1	2 years 3 months imprisonment	no		no		
				4		yes	KarS § 22 lg 3 - § 394 lg 2 p 1	2 years 2 months imprisonment	no		yes		
				5		yes	KarS § 22 lg 3 - § 394 lg 2 p1	2 years imprisonment	no		yes		
				6		yes	KarS § 22 lg 3 - § 394 lg 2 p1	2 years imprisonment	no		yes		
				7		yes	KarS § 22 lg 3	2 years imprisonment	no		no		

							- § 394 lg 2 p1						
	16.3.2012	Harju County Court	1-12-2261	1	yes	yes	KarS § 394 lg 2p 3	2 years 6 months imprisonment	no	international	no		computer fraud
	8.5.2012	Viru County Court	1-12-127	1	no	no							
				2		yes	KarS § 394 lg 2 p 1 - § 22 lg 3	2 years imprisonment					
	11.6.2012	Tallinn District Court	1-09-15385	1	no	yes	KarS § 394 lg 2 p 1,3	4 years imprisonment	no	*No information*	yes	44291.54 EUR	computer fraud
				2		yes	KarS § 394 lg 2 p 1,3	3 years 6 months imprisonment	no		yes		
	11.6.2012	Supreme Court	3-1-1-64-12	1	no	*Case sent back to county court for reconsideration*							
	27.6.2012	Harju County Court	1-11-3364	1	yes	yes	KarS § 394 lg 2 p 1	2 years imprisonment	no	international	yes	95.87 EUR	Handling of counterfeit payment means or securities

	1.8.2012	Harju County Court	1-12-6117	1	yes	yes	KarS § 394 lg 2p 1 ja 3	2 years imprisonment	no	international	no		Handling of counterfeit payment means or securities
	21.8.2012	Viru County Court	1-12-6695	1	yes	yes	KarS § 394 lg 2 p 1	4 years 6 months imprisonment	no	international	no		computer fraud
	27.8.2012	Viru County Court	1-12-5150	1	yes	yes	KarS § 394 lg 2 p 1,3	3 years 4 months 5 days imprisonment	no	international	yes		computer fraud
				2		yes	KarS § 394 lg 2 p 1,3	4 years imprisonment	no		yes		
				3		yes	KarS § 394 lg 2 p 1,2,3 - § 22 lg 3	3 years imprisonment	no		no	3423 EUR	
				4		yes	KarS § 394 lg 2 p 1,2,3 - § 22 lg 3	2 years 6 months imprisonment	no		no		

				5		yes	KarS § 394 lg 2 p 1,2 - § 22 lg 3	2 years 5 months imprisonment	no		no		
				6		yes	KarS § 394 lg 2 p 1,2 - § 22 lg 3	2 years 9 months imprisonment	no		no		
	25.9.2012	Viru Coutry Court	1-12-7315	1	no (short procedure)	yes	KarS § 394 lg 2 p 1	2 years imprisonment	*Offence not described in the court decision*		*no*		
	3.10.2012	Harju County Court	1-12-8185	1	yes	yes	§ 394 lg 2p 1 ja 3	3 years 8 months imprisonment	yes	local	yes	32 642.59 EUR	tax evasion
				2		yes	§ 394 lg 2p 1 ja 3	2 years imprisonment	yes				
	2.11.2012	Tartu County Court	1-10-17258	1	No	no	KarS § 394 lg.2 p. 1						
	3.12.2012	Tallinn District Court	1-11-14132	1		yes	KarS § 394 lg 2p 1 ja	2 years 6 months imprisonment	no	international	no		*No information*

							3						
				2		yes	KarS § 394 lg 2p 1 ja 3	2 years 6 months imprisonment	no		no		
				3		yes	KarS § 394 lg 2p 1 ja 3, 22 lg 2	2 years 6 months imprisonment	no		no		

ANNEX 4. TRAINING PROVIDED BY THE ESTONIAN FIU

	Date	Topic	Audience	Number of participants
2009				
1	27.01.2009	AML	Marfin bank	10
3	29.01.2009	Anti-money laundering	Marfin bank	10
5	18.02.2009	New AML law	Estonian Bar Association	100
5	1.04.2009	ML cases and trends in economy in practice	Members of Estonian Leasing Association	60
6	18.05.2009	Practical examples of ML cases. Cooperation.	Tax and Customs Board	200
7	18.05.2009	Changes in AML/CTF law in Estonia	Tax and Customs Board	200
8	18.05.2009	Nature of ML and fighting against it	Tax and Customs Board	200
10	30.09.2009	Questions related to MLTFPA	Tallinn Business Bank	8
11	30.09.2009	Anti-money laundering	Obligated persons according to MLTFPA, notaries public, auditors, accountants	40
12	1.10.2009	Analysis of criminal proceeds	Police (South prefecture)	34
13	2.10.2009	Anti-money laundering	Obligated persons according to MLTFPA	20
14	7.10.2009	Anti-money laundering	Obligated persons according to MLTFPA	21
15	9.10.2009	Anti-money laundering	Auditors (Deloitte)	35
16	13.10.2009	Anti-money laundering	LHV bank	25
17	14.10.2009	Anti-money laundering	Obligated persons according to MLTFPA, currency exchange providers, payment services providers	25
18	29.10.2009	Anti-money laundering	Accountants, auditors	48
19.	19.11.2009	AML	Tallinn Technical University (MA students of auditors curricula)	30

	Date	Topic	Audience	Number of participants
20	23.11.2009	Analysis of criminal proceeds	Police (Eastern, Northern, Western, Southern prefectures)	40
21	11.12.2009	Anti-money laundering	Krediidipank	7
22	15.12.2009	Anti-money laundering	Estonian Union of Credit Cooperatives	16
2010				
1	21.01.2010	Analysis of criminal proceeds	Police (Eastern prefecture)	25
2	15.01.2010	ML trends and detecting ML	SEB bank	20
3	1.03.2010	Amendments in MLTFPA	Payment services providers	6
4	3.03.2010	Amendments in MLTFPA	Payment services providers	12
5	3.03.2010	Code of Misdemeanour Procedure	FIU staff	10
6	10.03.2010	Role of FIU in AML	Insurance companies	19
7	5.05.2010	Amendments in MLTFPA	Estonian Lawyers Association	7
8	8.05.2010	Anti-money laundering	Estonian Academy of Security Sciences (police curricula students)	15
9	11.05.2010	ML trends and detecting ML	Parex bank	17
10	12.05.2010	ML trends and detecting ML	Parex bank	15
11	26.05.2010	Amendments in MLTFPA	Accountants	16
12	18.05.2010	Anti-money laundering	Krediidipank, Russian FIU	25
13	8.07.2010	AML (TAIEX)	Kiev FIU, prosecutors' office, police investigators	45
14	11.08.2010	Amendments in MLTFPA	Insurance companies	27
15	10.09.2010	Money Laundering and Trans-Border Crime TAIEX	Ukraine LEAs	70
16	15.09.2010	E-crime and ML	Swedbank	40
17	28.09.2010	Economic crimes	Entrepreneurs	15
18	29.09.2010	Burden of proof for ML predicate offense	Proesecutors, judges and other lawyers	40

	Date	Topic	Audience	Number of participants
19	7.10.2010	Money Laundering and Trans-Border Crime TAIEX	Ukraine LEAs	50
20	1.11.2010	AML in Estonia	Kiev (attorneys, notaries public)	40
21	2.11.2010	AML in Estonia	Kiev (attorneys, notaries public)	40
22	10.11.2010	Using FIU.Net	FIU staff	6
23	23.11.2010	AML	Tallinn Technical University (MA students of auditors curricula)	30
24	17.11.2010	AML, identification of a customer	LHV bank	12
25	20.12.2010	Money Laundering and Trans-Border Crime (TAIEX)	Ukraine LEAs	70
2011				
1	11.01.2011	AML in credit institutions	Nordea bank	45
2	1.02.2011	Cash in border	Tax and Customs Board	25
3	10.02.2011	AML	Estonian Bar Association	160
4	1.03.2011	AML in credit institutions	SEB bank	80
5	14.03.2011	AML	Eesti Post	36
6	20.04.2011	International Sanctions Act	Insurance companies	16
7		AML	Judges	40
8	3 päeva	AML	Tajiki FIU, prosecutors' office, police investigators	30
9	12.09.2011	Money Laundering and Trans-Border Crime (TAIEX)	Moldavia LEAs	50
10	15.09.2011	AML	Judges, prosecutors, Ministry of Justice officials	40
11	14.09.2011	AML	LHV bank	25
12.	22.-23.09.2011	FIU internal training	FIU staff	10
13.	15.11.2011	AML	Police (Northern prefecture)	40

	Date	Topic	Audience	Number of participants
14.	17.11.2011	AML	Police (Western prefecture)	25
15.	22-23.11.2011	AML and supervision	Serbia LEAs (in Tallinn)	13
16.	28.11.2011	AML	Police (Eastern prefecture)	20
17.	29-30.11.2011	AML and CTF	Tallinn Technical University (MA students of auditors curricula)	25
2012				
1.	7.03.2012	Overview of ML	Police (criminal proceeds officials)	15
2.	9.03.2012	Straw men, CDD, notification obligation	LHV bank	25
3.	15.03.2012	AML	Estonian Bar Association	110
4.	28.03.2012	AML in credit and financial institutions	Banks	10
5.	3.04.2012	Straw men, fabricated documents, ML suspicion	Eesti Post	36
6.	5.04.2012	AML	Police (Northern prefecture) and prosecutors' office	52 pol.am + 4 prokuröri
7.	20.04.2012	AML	Judges, prosecutors, Ministry of Justice officials	41
8.	23.04.2012	AML	Ministry of Finance auditors	42
9.	20.04.2012	Problems related to the investigation of ML and tax crimes	Ukraine LEAs	70
10.	03-04.05.2012	AML	Ukraine FIU (in Tallinn)	3
11.	31.05.2012	Straw men, fabricated documents, ML suspicion	Eesti Post	25
12.	28-31.05.2012	Tracing criminal proceeds	Moldavia LEAs	30
13.	4.06.2012	AML	Central Criminal Police officials	21
14	2.07.2012	Straw men, fabricated documents, ML suspicion	VersoBank	10

	Date	Topic	Audience	Number of participants
15	27.09.2012	AML	Judges, prosecutors	23
16.	2.10.2012	AML. The role of banks and FIU	Swedbank	30
17	23.10.2012	AML in Estonia	Pohjala Bank	28
18	6.11.2012	AML in Estonia	KIT Finance	18
19	12.12.2012	AML and CTF	Tallinn Technical University (MA students of auditors curricula)	25

ANNEX 5. STATUS OF IMPLEMENTATION OF THE VIENNA CONVENTION, THE PALERMO CONVENTION AND THE UN INTERNATIONAL CONVENTION FOR THE SUPPRESSION OF THE FINANCING OF TERRORISM

Implementation of the Vienna Convention

Estonia has ratified the Vienna Convention (please see: https://www.riigiteataja.ee/akt/26388 and

http://treaties.un.org/pages/ViewDetails.aspx?src=TREATY&mtdsg_no=VI-19&chapter=6&lang=en)

Provisions of the Vienna Convention	**Estonian legislative acts and regulations that cover requirements of the Vienna Convention**
Article 3 (Offences and Sanctions)	Penal Code chapter 12
Article 4 (Jurisdiction)	Penal Code § 5-7
Article 5 (Confiscation) - with regard to confiscation of proceeds derived from offences involving illicit trafficking of narcotic drugs or psychotropic substances; - with regard to seizure of property (assets); - with regard to rendering mutual legal assistance.	Penal Code chapter 7, § 83-85
Article 6 (Extradition)	Criminal Procedure Code chapter 19 section 2, § 438-459
Article 7 (Mutual Legal Assistance)	Criminal Procedure Code § 462, 464
Article 8 (Transfer of Proceedings)	Criminal Procedure Code §474
Article 9 (Other Forms of Cooperation and Training)	Criminal Procedure Code § 489^{1}
Article 10 (International Cooperation and Assistance for Transit States)	Criminal Procedure Code chapter 19
Article 11 (Controlled Delivery)	Customs Act
Article 15 (Commercial Carriers)	Customs Act § 39-43
Article 17 (Illicit Traffic by Sea)	Merchant Shipping Act
Article 19 (The Use of the Mails)	Customs Act § 43; Criminal Procedure Code § **126^{6}**

Implementation of the Palermo Convention

Estonia has ratified the Palermo Convention, please see: https://www.riigiteataja.ee/akt/236606

and

http://treaties.un.org/pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XVIII-12&chapter=18&lang=en

Provisions of the Palermo Convention	**Estonian legislative acts and regulations that cover requirements of the Palermo Convention**
Article 5 (Criminalization of Participation in an Organized Criminal Group)	Penal Code § 255 – 256
Article 6 (Criminalization of the Laundering of Proceeds of Crime)	Penal Code § 394-394[1]; MLTFPA § 57-65
Article 7 (Measures to Combat Money-Laundering)	MLTFPA chapter 2 section 1, § 29; Penal Code § 394-394[1]; Financial Supervision Authority Act
Article 10 (Liability of Legal Persons)	Penal Code § 14
Article 11 (Prosecution, Adjudication and Sanctions)	Criminal Procedure Code § 30; Criminal Procedure Code chapter 9-10
Article 12 (Confiscation and Seizure)	Penal Code § 83-85; Criminal Procedure Code § 126, § 142,chapter 16[1]
Article 13 (International Cooperation for Purposes of Confiscation)	Criminal Procedure Code § **508**[2]; Criminal Procedure Code chapter 19
Article 14 (Disposal of Confiscated Proceeds of Crime or Property)	Confiscated property transfer…….. and disposal procedures https://www.riigiteataja.ee/akt/118052011009
Article 15 (Jurisdiction)	Penal Code § 6; Criminal Procedure Code § 3-4
Article 16 (Extradition)	Criminal Procedure Code § 438-442
Article 18 (Mutual Legal Assistance)	Criminal Procedure Code § 462, 464
Article 19 (Joint Investigations)	Criminal Procedure Code § 462, 464
Article 20 (Special Investigative Techniques)	Police and Border Guard Act chapter 2[2]
Article 24 (Protection of Witnesses)	Criminal Procedure Code § 67
Article 25 (Assistance to and Protection of Victims)	Criminal Procedure Code § 69, Victim Support Act
Article 26 (Measures to Enhance Cooperation with Law Enforcement	Different MoUs and the Covermental Comitee for coordination of issues converning prevention of money laundering and terrorist financing

Authorities)	(hereinafter Govermental Committee)
Article 27 (Law Enforcement Cooperation)	Govermental Committee
Article 29 (Training and Technical Assistance)	MLTFPA § 37
Article 30 (Other Measures: Implementation of the Convention through Economic Development and Technical Assistance)	Itś not a commitment
Article 31 (Prevention)	Police and Border Guard Act § 3
Article 34 (Implementation of the Convention)	

Implementation of the UN International Convention for the Suppression of the Financing of Terrorism

Estonia has ratified the UN International Convention for the Suppression of the Financing of Terrorism (please see: https://www.riigiteataja.ee/akt/687967

and

http://treaties.un.org/pages/ViewDetails.aspx?src=TREATY&mtdsg_no=XVIII-11&chapter=18&lang=en)

Provisions of the UN International Convention for the Suppression of the Financing of Terrorism	**Estonian legislative acts and regulations that cover requirements of the UN International Convention for the Suppression of the Financing of Terrorism**
Article 2	Penal Code § 22, 25 and 237^3
Article 3	Penal Code § 6, 7, 8 and 9
Article 4	Penal Code § 237, **§ 237^3**
Article 5	Penal Code § 4, **§ 237^3**
Article 6	Penal Code § 2
Article 7	Penal Code § 6-9
Article 8	Criminal Procedure Code § 142, 403^1, 403^2
Article 9	Criminal Procedure Code § 433, 438, 439, 447, 448
Article 10	Criminal Procedure Code § 193, 194, 440, 453 Consular Act § 61
Article 11	Criminal Procedure Code § 438, 439

Article 12	Criminal Procedure Code § 436, 440,441
Article 13	Criminal Procedure Code § 440,
Article 14	Criminal Procedure Code § 440
Article 15	Criminal Procedure Code § 440
Article 16	Criminal Procedure Code § 449, 452
Article 17	Criminal Procedure Code § 34, 447, § 217
Article 18	Money Laundering and Terrorist Financing Prevention Act § 12, 13, 15, 32, 33, 35, 37, 24, 26, 45-49, 52. Customs Act § 9

Annex 2. Status of Implementation of the UN Security Council Resolutions

Resolution 1267 (1999)

Provisions of the Resolution 1267 (1999)	**Estonian legislative acts and regulations that cover requirements of the Resolution 1267 (1999)**
subparagraph "a" of paragraph 4	According to paragraph 1 of resolution 1390 (2002), the measures imposed in paragraph 4 (a) of resolution 1267 (1999) are terminated.
subparagraph "b" of paragraph 4	Council Common Position 2002/402/CFSP of 27 May 2002 concerning restrictive measures against Usama bin Laden, members of the Al-Qaida organization and the Taliban and other individuals, groups, undertakings and entities associated with them and repealing Common Positions 96/746/CFSP, 1999/727/CFSP, 2001/154/CFSP and 2001/771/CFSP article 1 and article 3. The implementation of financial sanctions is regulated by articles 12 to 19 of the Estonian International Sanctions Act.

Resolution 1333 (2000)

Provisions of the Resolution 1333 (2000)	**Estonian legislative acts and regulations that cover requirements of the Resolution 1333 (2000)**
subparagraphs "a", "b", and "c" of paragraph 5	Subparagraph „a" and „b" of paragraph 5 are implemented by the Estonian Strategic Goods Act. Subparagraph „c" of paragraph 5 is implemented by art 3 and 4 of the Council Regulation (EC) No 881/2002.
subparagraphs "a", "b", and "c" of paragraph 7	Such subparagraphs do not exist.

subparagraphs “a”, “b” and “c” of paragraph 8	Subparagraph „a“ of paragraph 8: Estonia has never had any Taliban Office in their territories. Subparagraph „b“ of paragraph 8: all restrictive measures against Ariana Afghan Airlines were terminated by UNSCR 1388 (2002) of 15 January 2002. Subparagraph „c“ of paragraph 8 is implemented by article 1 and 3 of the Council Common Position 2002/402/CFSP of 27 May 2002 concerning restrictive measures against Usama bin Laden, members of the Al-Qaida organization and the Taliban and other individuals, groups, undertakings and entities associated with them and repealing Common Positions 96/746/CFSP, 1999/727/CFSP, 2001/154/CFSP and 2001/771/CFSP. Implementation of financial sanctions is regulated by articles 12 to 19 of the Estonian International Sanctions Act.
subparagraphs “a” and “b” of paragraph 10	Such subparagraphs do not exist.
subparagraphs “a” and “b” of paragraph 11	Such subparagraphs do not exist.
subparagraphs “a” and “b” of paragraph 14	Such subparagraphs do not exist.

Resolution 1363 (2001)

Provisions of the Resolution 1363 (2001)	**Estonian legislative acts and regulations that cover requirements of the Resolution 1363 (2001)**
paragraph 8	Paragraph 8 is implemented by article 93^1 of the Estonian Penal Code, chapter 5 and article 9 (3) and 18 (2) of the International Sanctions Act.

Resolution 1373 (2001)

Provisions of the Resolution 1373 (2001)	Estonian legislative acts and regulations that cover requirements of the Resolution 1373 (2001)
subparagraphs “a”, “b” and “c” of paragraph 1	Subparagraphs “a” “b” and “c” of paragraph 1 are implemented by article 2 of the Council Regulation (EC) No 881/2002.
Paragraph 2	Subparagraphs “a” of paragraph 2 is implemented by article 11 (1) and 19 (1) 5) of the Strategic Goods Act, which prevents the supply of weapons to terrorists.

Resolution 1390 (2002)

Provisions of the Resolution 1390 (2002)	Estonian legislative acts and regulations that cover requirements of the Resolution 1390 (2002)
subparagraphs “a”, “b” and “c” of paragraph 2	The Government of the Republic of Estonia has adopted the Resolution 1390 (2002) on 16 January 2002. The publication notation in Riigi Teataja (States Gazette) of this legislative act is RT II 2003, 22, 108. Subparagraph „a“ of paragraph 2 - implementation of financial sanctions is regulated by articles 12 to 19 of the Estonian International Sanctions Act. Subparagraph „b“ of paragraph 2 is implemented by article 4 of the Council Common Position 2002/402/CFSP of 27 May 2002 concerning restrictive measures against Usama bin Laden, members of the Al-Qaida organization and the Taliban and other individuals, groups, undertakings and entities associated with them and repealing Common Positions 96/746/CFSP, 1999/727/CFSP, 2001/154/CFSP and 2001/771/CFSP. Subparagraph „c“ of paragraph 2 is implemented by article 5 of the Estonian Strategic Goods Act.

Resolution 1455 (2003)

Provisions of the Resolution 1455 (2003)	Estonian legislative acts and regulations that cover requirements of the Resolution 1455 (2003)
paragraph 1	Paragraph 1 is implemented by article 1 and 3 of the Council Common Position 2002/402/CFSP of 27 May 2002 concerning restrictive measures against Usama bin Laden, members of the Al-Qaida organization and the Taliban and other individuals, groups, undertakings and entities associated with them and repealing Common Positions 96/746/CFSP, 1999/727/CFSP, 2001/154/CFSP and 2001/771/CFSP. Implementation of financial sanctions is regulated by articles 12 to 19 of the Estonian International Sanctions Act. Article 4 of the Council Common Position 2002/402/CFSP of 27 May 2002 concerning restrictive measures against Usama bin Laden, members of the Al-Qaida organization and the Taliban and other individuals, groups, undertakings and entities associated with them and repealing Common Positions 96/746/CFSP, 1999/727/CFSP, 2001/154/CFSP and 2001/771/CFSP regulates the travel ban. The prohibitions on transfer of strategic goods is implemented by article 5 of the Estonian Strategic Goods Act.
paragraph 5	Paragraph 8 is implemented by article 93^1 of the Estonian Penal Code, chapter 5 and article 9 (3) and 18 (2) of the International Sanctions Act.
paragraph 6	Paragraph 6 is implemented by article 9 (7) 6) of the Estonian Foreign Relations Act.

Resolution 1526 (2004)

Provisions of the Resolution 1526 (2004)	Estonian legislative acts and regulations that cover requirements of the Resolution 1526 (2004)
paragraph 4	Paragraph 4 is implemented by article 1 and 3 of the Council Common Position 2002/402/CFSP of 27 May 2002 concerning restrictive measures against Usama bin Laden, members of the Al-Qaida organisation and the Taliban and other individuals, groups, undertakings and entities associated with them and repealing Common Positions 96/746/CFSP, 1999/727/CFSP, 2001/154/CFSP and 2001/771/CFSP. The implementation of financial sanctions is regulated by articles 12 to 19 of the Estonian International Sanctions Act.
paragraph 5	Paragraph 5 is implemented by article 48^1 of the Estonian Customs Act.
Paragraph 17	When submitting new names an EU MS has to follow article 7d and 7e of the Council Regulation (EC) No 881/2002 (as amended by the Council Regulation (EU) No 1286/2009).
paragraph 22	Paragraph 6 is implemented by article 9 (7) 6) of the Estonian Foreign Relations Act.

ANNEX 6. INTERNATIONAL AGREEMENTS SIGNED BY ESTONIA

On mutual legal assistance and legal relations

Estonia has ratified CoE Convention on Mutual Assistance in criminal Matters (1959) and its two Additional protocols; EU Convention on Mutual Assistance in criminal matters between the EU Member States (MLA 2000); also Estonia has signed bilateral Agreements on Judicial Co-operation with Russian Federation and Ukraine. We also have such general judicial co-operation agreements signed with Latvia and Lithuania and Poland – but as all these countries are now EU Member States all relations are based on mainly EU, but also on CoE instruments. Estonia also has an MLA agreement in force with United States of America.

Beside above mentioned conventions there are also other CoE and UN Conventions having specific provisions on mutual legal assistance where Estonia is a member state of these conventions.

On extradition

Estonia has ratified CoE Convention on Extradition (1957) and its two Additional protocols and the preparatory work on ratification of other two protocols is ongoing process. Mentioned above judicial co-operation Agreements also covers extradition procedure. In addition Estonia has signed and ratified extradition agreement with United States of America. Extradition within EU is based from 1 May 2004 (for Estonia) on the Framework Decision on European Arrest Warrant and the surrender of persons – so called EAW decision

On cooperation in combating organized crime, international terrorism and other especially dangerous crimes

Ministry of Interior and Police and Border Guard Board have concluded several agreements with different countries in relation to cooperation in combating organized crime, international terrorism and other especially dangerous crimes.

Estonian Financial Intelligence Unit is member of Egmont Group.

State Secrets And Classified Information Of Foreign States Act (available in English: https://www.riigiteataja.ee/en/eli/515112013009/consolide) purpose is to ensure the security and foreign relations of the Republic of Estonia, protecting state secrets and classified information of foreign states from disclosure and becoming accessible to persons who have not been granted access to such information (§ 1).

ANNEX 7. DECLARATION FORM

CASH DECLARATION FORM

EN

Reference number

ead the notes on the reverse before completing this form.

USE CAPITAL LETTERS / TICK AS APPROPRIATE

1. You are	Entering EU	Leaving EU

2. Personal details	Male Female	Issuing place	
Surname(s)		Issuing date	D D M M Y Y Y Y
First name(s)		Personal Number	
Nationality		Address	
Date of birth	D D M M Y Y Y Y	(Street / no.)	
Place of birth		Town	
ID-document	Passport ID Other	Post code / Zip	
Passport / ID Number		Country	

3. You are the owner	Yes (go to part 4)	No, the owner is a: (fill in the details of the owner)	Legal Entity / Natural person
Legal Entity		Address	
		(Street / no.)	
VAT number		Town	
Surname(s)		Post code / Zip	
First name(s)		Country	

4. Details of the cash/monetary instruments	Amount	Currency
Banknotes, coins		
Other (specify)		

5. Origin and intended use of the cash/monetary instruments				
Origin				
Intended use				
You are the intended recipient	Yes (go to part 6)	No, the intended recipient is a: (fill in the details of the intended recipient)	Legal entity / Natural person	
Legal Entity		Address		
		(Street / no.)		
VAT number		Town		
Surname(s)		Post code / Zip		
First name(s)		Country		

6. Transport details					
Means of transport	Air	Sea	Road	Rail	Other
Transport company			Reference number		
Country of departure			Departure date	D D M M Y Y Y Y	
Via (Transit Country)			Transit date	D D M M Y Y Y Y	
Country of destination			Arrival date	D D M M Y Y Y Y	

7. Signature of declarant	Signature & stamp competent authority	For official use only
I declare that all above details are correct. I am aware that a false, inaccurate or incomplete declaration is liable to penalties detention or confiscation of the cash by the competent authority.		Recording yes no
		Penalty yes no
Date: D D M M Y Y Y Y		Amount of penalty: