

REGULATING THE USE OF ARTIFICIAL INTELLIGENCE SYSTEMS IN EDUCATION

Preparatory study on the
development of a legal instrument



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Cover and layout: Documents and Publications Production Department (SPDP), Council of Europe

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Acknowledgements

The preparation of this document was carried out under the co-ordination, supervision and support of the various activities by the Secretariat of the Education Department, which facilitated effective communication and synergy among the authors and contributors.

Within this framework, the expertise of three eminent professionals – Beth Havinga, Wayne Holmes and Jen Persson – was crucial in conducting this in-depth study of the many facets of the dynamics at the intersection of artificial intelligence (AI) and education (AI&ED). Their research, supported by the expertise and diverse perspectives of the Council of Europe Artificial Intelligence and Education Expert Group members, provided a comprehensive analysis and forward-looking recommendations.

The contributors, listed below, brought a wealth of knowledge and expertise that enhanced the depth and breadth of the study. Their diverse backgrounds and specialisations contributed significantly to the richness of the discussion, reflecting a holistic and multidimensional approach to understanding the use of AI systems in educational contexts.

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The authors would like to thank their fellow members of the Council of Europe Artificial Intelligence and Education Expert Group for their invaluable contributions:

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1. Executive summary

This preparatory study highlights the increasing use of artificial intelligence technologies in educational systems and their potential to influence educational practices. The Council of Europe's initiative aims to develop a legal instrument to regulate AI systems in order to safeguard human rights, democracy and the rule of law in educational settings.

The use of AI systems in education, accelerated by the arrival of generative AI tools such as ChatGPT, encompasses a wide range of technologies, from adaptive tutoring systems to plagiarism detection and e-proctoring, with implications for students, teachers and institutional frameworks. Despite their growing presence, there is a worrying lack of robust, independent evidence about the effectiveness, safety and overall impact of these technologies in educational contexts. In addition, many AI tools, particularly those developed for commercial purposes, lack transparency, contributing to unevaluated, potentially unsafe applications in education and thus compromising human dignity and student rights.

Children and education are unique cases. Children, due to their stage of development, have specific needs and rights that distinguish them from adults. Similarly, education, as a formative element of human development, has unique challenges and responsibilities. Unfortunately, current AI policies and legislation generally overlook these specific needs.

AI systems, including those not specifically designed for educational settings, can exacerbate existing biases, violate privacy, embed naïve pedagogies and fail to recognise the unique developmental stages of children, with potentially lifelong consequences. This misuse highlights the need for strong, child-focused regulations in the procurement and use of educational technology.

Furthermore, the use of AI systems risks widening the digital divide, disproportionately affecting marginalised groups by limiting access to educational resources and opportunities. Despite these challenges, current regulations are insufficient to address the specific needs and rights of children and educational institutions.

This study argues for new, comprehensive regulations that focus on protecting learners and educators, and maintaining the integrity of the education system amid the growing influence of AI. It emphasises the need for an ecosystem approach to regulation, involving multiple stakeholders and balancing innovation with protection.

The authors suggest several regulatory needs, including transparency in the development and use of AI systems, accountability frameworks for AI tools in education and mechanisms to mitigate potential harm from inappropriate content or biased algorithms. They also call for international co-operation to harmonise regulation of AI in education, promote cross-border collaboration and ensure consistent application of human rights principles.

In summary, while AI potentially offers opportunities to improve educational outcomes, its application requires careful regulation to prevent harm, protect human rights and ensure equitable access to educational benefits. The proposed legal instrument aims to address these challenges by providing a structured approach to the integration of AI in educational contexts, while safeguarding the interests of all stakeholders, in particular children.

1.1. Overview of the main chapters and sections

Introduction

The initial section sets out, step by step, the case for the Council of Europe's proposition for a legal framework aimed at regulating AI systems being used in education. It touches on the potential benefits and risks associated with AI in education, the lack of robust evidence supporting the safe and effective use of AI technologies, and the unique requirements and rights of children within educational settings. This section concludes with the need for comprehensive legislation that addresses these unique challenges and ensures the protection and promotion of human rights, democracy and the rule of law.

Background

This section provides the background to the rationale set out in the introduction. It elaborates on the increasing incorporation of AI in educational systems, highlighting the diversity of AI applications in education and emerging issues such as lack of transparency, accountability, and evidence regarding their effectiveness and safety. The section explores:

- ▶ **AI in education:** overview of AI technologies in educational settings, emphasising the absence of substantial evidence for their effectiveness, and their potential risks;
- ▶ **unique considerations for children and education:** the particular needs and rights of children and how education serves as a pivotal element of human development, arguing that current AI strategies overlook these specifics;
- ▶ **specific areas of concern:** human rights, consent, procurement, commercial influence, academic integrity, adaptive learning and areas of high risk specifically related to education;
- ▶ **regulatory aspects:** various dimensions of regulation, including government intervention, principles for the use of AI systems in education, self-regulation, standards and the need for widespread ecosystem support.

Questions for discussion

This section presents a series of questions aimed at prompting reflection and dialogue regarding the regulation of AI systems in education.

These questions explore prioritisation areas within human rights, democracy and the rule of law, ethical norms, mechanisms for ensuring AI's positive impact in classrooms, acceptable levels of risk and specific use cases needing special attention.

It further discusses the implications for stakeholder relationships, the digital divide, harmonisation of regulations across member states and the roles of various stakeholders in implementing the proposed legal framework.

2. Introduction

AI-enabled technologies (AI systems) have been widely proposed as a means by which children's human rights to quality education might be achieved (for example by improving access). However, without appropriate safeguards, such systems might instead be a threat. The Council of Europe Standing Conference of Ministers of Education (26th session, September 2023) welcomed the proposal for developing a legal instrument to regulate the use of artificial intelligence systems in education to promote and to protect human rights, democracy and the rule of law.

In this section, we set out step by step the case for the proposed legal instrument.

1. For more than a decade but without much public awareness, AI systems have increasingly been used in education. This has been accelerated by the arrival of ChatGPT and other generative AI.

As discussed in the Council of Europe report “[Artificial intelligence and education: A critical view through the lens of human rights, democracy and the rule of law](#)”, AI systems have been researched in educational settings (AIED) for more than 40 years. These systems include student-focused AIED (from adaptive tutoring systems to support chatbots), teacher-focused AIED (from plagiarism detection to resources curation) and institution-focused AIED (from admissions to e-proctoring (AI systems designed to maintain academic integrity during online exams)).¹

Over the past decade, many of these tools have become commercial products that are increasingly being sold to educational institutions. Although these products are typically “black boxes” (neither their decision-making processes nor their decisions are open to inspection), they are frequently granted influence or control over the provision, delivery and access to education. Other AI systems that have not been designed for use in education are also increasingly being used in educational settings (e.g., Microsoft Office and Google Docs). Without mechanisms to adequately evaluate all these different types of technologies, and because they mostly exist outside of any democratic control or process, there is no way to assess and ensure the claims made by AI providers, their safe and private use of data, their ability to uphold human rights, or their impact on the current and future lives of learners.

The widening adoption of AI systems in educational contexts has been accelerated by the dramatic arrival of generative AI (following the launch of ChatGPT), which has led to a deluge of novel education-targeted AI-enabled applications (from lesson planning to idea generation). While some of these tools assign students' individual

1. Holmes W. and Tuomi I. (2022), “State of the art and practice in AI in education”, *European Journal of Education: Research, Development and Policy*, Volume 57, Issue 4, pp. 542-570, available at <https://doi.org/10.1111/ejed.12533>, accessed 23 April 2024.

accounts to the generative AI tool directly, others build on top of other products. This means that learners using these applications are unknowingly both indirect users of, and used by, the back-end AI-enabled system. However, although much public discussion today focuses on generative AI, there are at least 25 different categories of AIED, of which generative AI is only one.²

2. There is no robust independent evidence at scale for the effectiveness, safety or positive impact of AI systems being used in education.

While the AIED research community has undertaken thousands of studies, the vast majority of those studies assess only efficacy (e.g., does this particular tool, in this particular context, improve student test scores?). Rarely do they consider the broader safety of the tool (e.g., what is the impact of such tools on the mental health, privacy or agency of the students and teachers?) and they almost never consider the impact of the tool on the educational ecosystem (e.g., what is the impact of the tool's deployment on the classroom, on relationships between teachers and students, and on the professional skills of teachers?). In addition, claims made about AI systems designed for education are often unevidenced. Examples include AI systems that claim to detect autism to 96% accuracy³ or to predict pupils' mental health and wellbeing (involving issues such as self-harm, drug abuse and eating disorders), and to propose interventions.⁴ As "education" tools, none of these systems are audited or have oversight.

In fact, there are currently no comprehensive or widely accepted mechanisms or protocols to measure and assess the effectiveness, safety or wider impact of AI systems being used in education.⁵ Very few of the existing studies have been conducted independently, undermining the integrity of the studies, and very few have been undertaken at scale, undermining their credibility. There is, however, growing evidence of the harm that AI systems might cause in educational settings. For example, many AI-enabled educational technologies, by default, carry out some degree of social scoring (comparing behaviours, characteristics and outcomes of learners and educators). Meanwhile, some AI-enabled online examination proctoring often unfairly prevents students taking their exams. They can discriminate against some students due to the colour of their skin or a disability and can exacerbate mental health problems.⁶ In short, the imposed adoption of such products can interfere with students' human dignity.

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2. Holmes W. (2023), *The unintended consequences of Artificial Intelligence and education*, Education International Research, available at www.ei-ie.org/en/item/28115:the-unintended-consequences-of-artificial-intelligence-and-education, accessed 23 April 2024.
 3. Hill J. (2023), *CEO's mission to make AI a force for good in classrooms*, Schools Week, available at <https://schoolsweek.co.uk/ceos-mission-to-make-ai-a-force-for-good-in-classrooms/>, accessed 23 April 2024.
 4. Manthorpe R. (2019), *Artificial intelligence being used in schools to detect self-harm and bullying*, Sky News, available at <https://news.sky.com/story/artificial-intelligence-being-used-in-schools-to-detect-self-harm-and-bullying-11815865>, accessed 23 April 2024.
 5. U.S. Department of Education, Office of Educational Technology (2023), *Artificial intelligence and the future of teaching and learning: insights and recommendations*, Washington, DC, available at www2.ed.gov/documents/ai-report/ai-report.pdf, accessed 23 April 2024.
 6. Mark D. (2023), *Why is online proctoring under fire?*, Online Education, available at www.onlineeducation.com/features/online-exam-proctoring, accessed 23 April 2024.

3. Children and education are special cases.

Children, like all humans, are individuals with unique needs, vulnerabilities and potential. However, children are also a special case because of their physical, emotional, and cognitive immaturity. For these and other reasons, children have both human rights ([European Convention on Human Rights](#)) and child rights ([United Nations Convention on the Rights of the Child](#) (UNCRC)). Violations of children's rights (by exposure to harm, child labour, environmental hazards, unsafe products, unsafe practices and commercial exploitation) may have lifelong, irreversible and even transgenerational consequences.

Most national AI strategies and major ethical guidelines make only cursory mention of children and their specific needs. The new ways that children and young people interact with AI-supported technology have implications to physiology and psychology yet to be fully understood.⁷ How does interaction with voice-operated tools shape how children speak, communicate and socialise? Do children develop relationships⁸ with AI embodied in humanoid robot reading assistants?⁹ How might these interactions influence their expectations of gender-based norms?

Meanwhile, education is also a special case, because of its role in actively shaping and supporting human development. More specifically, the aims of education are to enable a child to develop their full potential (UNCRC, Article 29) and for the development of informed, responsible citizens who actively participate in democratic processes and address societal challenges. The application of AI can interfere with these aims. Education environments (e.g., schools) also hold a special status because children are mostly required to be in suitable education (which means that being “in school” is not a consensual choice in the same way as it might be for adult learners across member states), and because of [sustainable development goal \(SDG\) 4](#).

4. The impact of AI systems on children and teachers includes generic issues (focused on data, privacy and biases). Existing legislation sets out to address most of these generic AI issues but does not specifically address the particular impact of such issues on children or education settings.

It is well known that all AI systems are affected by a range of critical issues – from profiling to privacy, biases to misuse of data, and environmental impact to discrimination by race or gender. Accordingly, in most countries there is already legislation (such as the General Data Protection Regulation (GDPR) in Europe) that sets out to address broader, societal issues. However, this legislation rarely properly acknowledges the special case of children (the fact that childhood is a unique period of physical, emotional, and cognitive development) or the special case of education.

7. UNICEF (2018), *Children and AI. Where are the opportunities and risks?*, available at www.unicef.org/innovation/sites/unicef.org.innovation/files/2018-11/Children%20and%20AI_Short%20Version%20%283%29.pdf, accessed 23 April 2024.

8. Kahn P. H. Jr., Gary H. E. and Shen S. (2012), “Children’s social relationships with current and near-future robots”, *Child Development Perspectives*, Volume 7, Issue 1, pp. 32-37, available at <https://doi.org/10.1111/cdep.12011>, accessed 23 April 2024.

9. VU Amsterdam (2023), *Fighting the reading crisis with a reading robot*, available at <https://vu.nl/en/news/2023/fighting-the-reading-crisis-with-a-reading-robot>, accessed 23 April 2024.

In particular, AI systems are rarely trained on children's data (partly due to the need to protect children's biometric data and identity). Instead, they are usually trained on adult data, such that the derived models and weightings may be inappropriate for children¹⁰ or based on adult or household rather than individual profiling.¹¹ In addition, virtually no child-appropriate assessments have been undertaken into the environmental, mental health and human rights impact of AI systems designed for education; and there are no consistent or appropriate standards for auditing harms in education procurement processes.

In an important sense, education technologies raise parallel concerns to those in medicine. Just as drugs and medical treatments are mostly designed to impact on the human body, so are AI-enabled education technologies designed to impact on the human mind and especially on a child's developing cognition. If they do not impact, there is little reason for using them.¹² However, key practices found in medicine are still undefined in education. For example, before being available for use, medicines undergo a rigorous stepped safety trial process, which evaluates both effectiveness and safety. Inevitably, that process is not perfect; bad drugs do sometimes get through the system and there is also off-label use. However, robust testing gives doctors confidence that their prescriptions will address a particular set of symptoms and will do so safely. For AI-enabled education technologies, almost no such testing takes place, which means that teachers must rely on anecdotal evidence or marketing claims.

5. The impact of AI systems on children and teachers also includes education-specific issues (such as choice of pedagogy, teacher disempowerment and student agency).

In addition to the generic issues mentioned above, all AI-enabled educational technologies also raise but rarely address education-specific issues. These include the quality of education, choice of pedagogy (whether the embedded pedagogy is properly evidenced), teacher disempowerment (whether the technology takes control away from teachers), the appropriateness of approaches to assessment, learner's over-reliance on technology (undermining, for example, writing and critical thinking skills), access and inclusion, social interaction and student agency. While all these education-specific issues predate AI, the use of AI systems in education can exacerbate each of them.

The appropriate choice of pedagogy, for example, can enable a child to reach their full potential (as specified in UNCRC Article 29). However, there is currently a lack of

10. Shah Nawazuddin S. et al. (2021), "Robust children's speech recognition in zero resource condition", *Applied Acoustics*, Volume 185, available at www.sciencedirect.com/science/article/abs/pii/S0003682X2100476X, accessed 23 April 2024.
11. Barassi V. (2020), *The human error in AI and question about children's rights*, available at https://child-datacitizen.com/wp-content/uploads/2020/06/the-human-error-in-ai-and-children-rights_prof.-barassi_response-to-ai-white-paper.pdf, accessed 23 April 2024.
12. "The process of testing pharmaceutical products must comply with strict regulations before entering the consumer market. It seems that similar rules do not hold for computer technology products. Is it because we believe that computer technology does not affect our bodies or psyche?", Martinovic D. and Magliaro J. (2007), "Computer networks and globalization", *Brock Education Journal*, 16(2), Article 2, available at <https://doi.org/10.26522/brocked.v16i2.84>, accessed 23 April 2024.

oversight and transparent regulation to ensure that AI-enabled educational tools do not just automate poor educational practice, but rather ensure a child's intellectual, emotional and social development. No existing regulations address these nuanced and especially challenging issues. In addition, regulation currently also fails to ensure compliance where there are gaps in oversight between education and other public-sector or commercial fields.

6. The use of AI systems in education is likely to exacerbate the digital divide, both within and between member states.

The digital divide, the gap between those who have access to and can effectively use digital technologies, and those who do not, emerges from differences in socio-economic status, geographic location, education level, age, and/or physical ability. It can exacerbate existing inequalities by limiting access to information, education and other essential services. AI systems, because of how they work and what they need in order to work, especially when used in education, are likely to exacerbate this digital divide, both within and between member states.

In particular, AI systems are likely to worsen the discriminatory effects of access on economic grounds for children with disabilities or from communities with minority languages, or those who are otherwise marginalised.¹³ This is partly because of both the explicit and the hidden costs of the AI-enabled tools, and partly because of their complexity, which although often hidden,¹⁴ impacts greatly on how teachers and learners might critically engage with them.

7. Current policies do not sufficiently protect learners, educators or education institutions from AI systems used in education. Accordingly, a new legal instrument to regulate the use of AI systems in education is needed.

Around the world, wide-scale regulatory provisions that take the protection of democratic participation or the protection of the child and its future self into consideration do not exist. This is especially the case regarding choices made by those in guardian roles *in loco parentis* (e.g., education institutions) or when assessing the impact of the commercial sector on children's rights. In particular, there is a deficit of regulations specifically focused on promoting and protecting the rights of learners and teachers who engage with AI-enabled educational technologies. We are also lacking regulations designed to protect member states' delivery or oversight of public-sector education in a future increasingly impacted by AI.

A recent Council of Europe survey found that few member states have established policies for AI that address education as a special or distinct case.¹⁵ Meanwhile, a United Nations Educational, Scientific and Cultural Organization (UNESCO) global survey found that fewer than 10% of schools and universities have developed institutional

13. Council of Europe (2019), "Two clicks forward and one click back. Report on children with disabilities in the digital environment", available at <https://rm.coe.int/two-clicks-forward-and-one-click-back-report-on-children-with-disabili/168098bd0f>, accessed 23 April 2024.

14. National Institute of Standards and Technology (2021), "Four principles of explainable Artificial Intelligence", Internal Report 8312, available at <https://doi.org/10.6028/NIST.IR.8312>, accessed 23 April 2024.

15. Available at <https://rm.coe.int/ai-coe-survey-report-latest-2753-8190-7209-v-1/1680aec34c>, accessed 23 April 2024.

policies and/or formal guidance concerning the use of AI systems in education.¹⁶ The existing regulatory work and evaluation frameworks in some member states should inform the proposed legal instrument, to build on existing expertise, to enable appropriate convergence across all member states, and to ensure that provisions address the protection of democratic participation¹⁷ and the protection of the child and its future self and society. This includes consideration of initiatives underway to integrate responses from the communities that they affect.¹⁸

Key issues that need to be addressed in addition to digital competencies include human rights and child rights, intellectual property and automation bias, all which must be properly considered when institutions are deciding whether and what AI-enabled tools might be implemented. The result is that teachers, school leadership and policymakers are often unable to assess the emerging risks (for example, to privacy and freedom of thought) when AI-enabled tools are used (e.g., to monitor pupil communications or to automate decision making). Without clear guidance and governance of tools, it is difficult for key stakeholders to appropriately interpret, compare or assess claims made by the commercial sector. On the other hand, without appropriate information and support, education stakeholders will be unable to benefit from effective AI systems.

Commercial developers also prefer to operate in well-regulated markets, so that they can develop and deploy products that are well placed to be both unproblematic and profitable. Meanwhile, a key argument often made against regulation is that it will undermine innovation in this fast-moving space. However, there is little evidence for that argument (indeed, the pharmaceutical industry, which is one of the world's most regulated industries, is also one of the most innovative).

Without dedicated protective transparent mechanisms in place, there is a risk that education systems will increasingly depend on AI systems that can be proprietary or siloed, often funded by private equity, and over which there is no regulated democratic control. This creates risks to state sovereignty of the content, values and delivery of core education, and creates new risks to the sustainability of the costs and responsibility of member states' obligations for the provision of education. Without appropriate regulation for their effectiveness and safety, AI systems could lead to poor, ineffective and unsafe pedagogical practices and disinformation that hinder rather than enhance a learner's intellectual, emotional and social development.

16. UNESCO (2023), *UNESCO survey: Less than 10% of schools and universities have formal guidance on AI*, available at www.unesco.org/en/articles/unesco-survey-less-10-schools-and-universities-have-formal-guidance-ai, accessed 23 April 2024.

17. Algorithm Watch (2023), *ChatGPT and Co: Are AI-driven search engines a threat to democratic elections?*, available at <https://algorithmwatch.org/en/bing-chat-election-2023/>, accessed 23 April 2024.

18. As an example, there is work going on in Barcelona, Spain, around AI and work on making the EdTech approach more democratic and accountable and less BigTech-driven. Authorities are working together with the education community and digital rights defenders to be more democratic and offer schools choice and agency. The Government of Catalonia will join the project in spring 2024, available at <https://ajuntament.barcelona.cat/innovaciodemocratica/en/dd-education-suite-democratic-digitalisation>, accessed 23 April 2024. Or a proposed approach in Poland in 2018, for an AI strategy including education about AI to include skills, competencies and attitudes <https://www.gov.pl/attachment/928200fa-b1a6-4c0c-b3a8-d1fbf1e1175a>.

A clear regulatory ecosystem will help member states and companies, all of which would benefit from economies of scale avoiding or minimising duplicate efforts and resources to address similar risks. For example, if an AIED company is awarded a licence to deploy their technology in one member state, they would be able to deploy it in all member states without further financial or opportunity costs. However, currently across Europe, sustainable growth of the AIED and EdTech ecosystems is hindered by fragmented regulatory processes. This has led to almost 65% of European EdTech companies reporting that they have considered leaving Europe to move to other markets (such as North America).¹⁹ Unified regulation across Council of Europe member states could improve cross-border collaborations, localisation, and AIED's sustainability and reliability.

Some narrow aspects of the emerging European Union (EU) AI Act (such as the prohibition of emotion detection) will apply to some Council of Europe member states. However, there are many caveats, which means that learners of all ages will remain exposed to harm using technologies that were chosen for them with limited personal choice. In education, the assessment of high-risk AI will have to be more nuanced. Something that is perceived as high risk to one set of learners, may, in fact, be necessary to uphold the right to education of others. For example, AI-enabled eye-tracking technologies may be essential in assisting some disabled learners but also intrusive when creating profiles or enabling social scoring systems.

In addition, while certain products might meet the act's requirements when they are first deployed in schools, they are likely to be developed over time, thus compromising the Act in ways that go unnoticed. However, there is no duty on schools to monitor the outputs or outcomes of products throughout their lifetime of use in the school from problem formulation to decommissioning.²⁰ Data protection laws are already failing children in particular with regards to automating the human error in algorithms²¹ and will be inadequate for the safe use of technologies beginning to be used in educational settings, such as immersive augmented reality (AR) and adaptive tutoring systems.

Meanwhile, self-regulation practices, which are frequently suggested by industry representatives, raise multiple issues, such as lack of an enforcement process, lack of accountability and lack of a clear responsibility to society. The interests of the learner (children and adults) must be a primary consideration in all AI-enabled education activities. However, where incentives are not aligned across the full range of stakeholders (the company, institution, staff, state and family), the best interests of the learner are compromised: a learner's interests cannot be realised in practice.

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19. 16. European EdTech Alliance (2023), *The European EdTech map: Insight report*, available at <https://static1.squarespace.com/static/5fac2fdb0da84a28cc76b714/t/63bfda44de4b365544ae4b45/1673517650701/EEA+Edtech+Map+Insights+Report+2022.pdf>, accessed 23 April 2024.
 20. Information Commissioner's Office (n.d.), *Fairness in the AI lifecycle*, available at <https://ico.org.uk/for-organisations/uk-gdpr-guidance-and-resources/artificial-intelligence/guidance-on-ai-and-data-protection/annex-a-fairness-in-the-ai-lifecycle/>, accessed 23 April 2024.
 21. Barassi V. (2020), *The human error in AI and question about children's rights*, available at https://child-datacitizen.com/wp-content/uploads/2020/06/The-Human-Error-in-AI-and-Children-Rights_Prof.-Barassi_Response-to-AI-White-Paper-.pdf, accessed 23 April 2024.

Finally, existing regulations also do not offer consistent effective assistance or complaint procedures. Accessible remedies, routes for redress and effective accountability are all missing for children and young people who experience individual or collective harm to the person or infringements of rights due to the use of AI in educational settings. This is partly due to the power imbalance between children and their families on the one hand, and the commercial sector and the authorities on the other. The rapid deployment of AI systems into schools makes it increasingly important to regulate where accountability lies. It must be clear to whom the developers are accountable with transparent methods for addressing and communicating about the embedded technologies and functions.

3. Background

3.1. Artificial intelligence in education

Frequently,²² although rarely with strong evidence,²³ AI is hailed as a solution to many of education's core problems (for example the lack of qualified teachers, student underachievement and the growing achievement gap between rich and poor learners). Nonetheless, this raises the need to consider multiple issues: the aims of using AI in education, where it is used, by whom (by individuals, institutions or industry), how it is operationalised, at what levels (from the single learner to whole classrooms, collaborative networks, and national and transnational levels), how it works, and so on.²⁴

As we have noted, while the use of AIED has been a topic of research for over 40 years, there is still a distinct lack of independent evidence at scale for the effectiveness and safety of AIED applications.²⁵ There is not even any agreed way of evaluating or measuring the impact of these AI-enabled tools.²⁶ Outcomes of AIED might not only shape an individual child's experience of education in the moment, but might also affect their neurological, cognitive, emotional and social development, for life.²⁷ In fact, AIED tools, the most common being adaptive tutoring systems that claim (without sufficient evidence) to enable new levels of personalisation for learners, are *de facto* designed to shape the development of human cognition.²⁸ However, while

22. For example: Organisation for Economic Co-operation and Development (OECD) (2021), *OECD Digital Education Outlook 2021: Pushing the Frontiers with Artificial Intelligence, Blockchain and Robots*. Available at <https://doi.org/10.1787/589b283f-en>, accessed 23 April 2024.
23. Miao, F., & Holmes, W. (2021). *AI and education: Guidance for policy-makers*. UNESCO. Available at <https://unesdoc.unesco.org/ark:/48223/pf0000376709>, accessed 23 April 2024.
24. Council of Europe (2022), *Artificial intelligence and education: A critical view through the lens of human rights, democracy and the rule of law*, p. 17, available at <https://rm.coe.int/artificial-intelligence-and-education-a-critical-view-through-the-lens/1680a886bd>, accessed 23 April 2024.
25. Holmes W. (2023), *The unintended consequences of Artificial Intelligence and education*, Education International Research. Available at www.ei-ie.org/en/item/28115:the-unintended-consequences-of-artificial-intelligence-and-education, accessed 23 April 2024.
26. Education Services Australia (2023), *AI in Australian education snapshot: Principles, policy, and practice*, available at www.esa.edu.au/docs/default-source/default-document-library/ai-in-australian-education-snapshot---principles-policy-and-practice_august-2023.pdf, accessed 23 April 2024. U.S. Department of Education, Office of Educational Technology (2023), *Artificial intelligence and the future of teaching and learning: Insights and recommendations*, Washington, DC, available at www2.ed.gov/documents/ai-report/ai-report.pdf, accessed 23 April 2024.
27. Council of Europe (2022), *Artificial intelligence and education: A critical view through the lens of human rights, democracy and the rule of law*, p. 37, available at <https://rm.coe.int/artificial-intelligence-and-education-a-critical-view-through-the-lens/1680a886bd>, accessed 23 April 2024.
28. Tuomi I. (2018), *The impact of Artificial Intelligence on learning, teaching, and education*, Publications Office of the European Union, Luxembourg, available at <https://publications.jrc.ec.europa.eu/repository/handle/JRC113226>, accessed 23 April 2024.

there are initiatives for regulating AI in general in Europe and elsewhere around the world, there are no frameworks that specifically address key characteristics of education or the human rights, needs and interests of children, young learners and their teachers.

Since AI systems can work unnoticed and at great scale, there is also a real risk of widespread exclusion and discrimination.²⁹ This is compounded by the fact that children interact with or are impacted by AI systems that are not designed for them, which is something current policies do not adequately address.³⁰ AIED algorithms are also already being used to automate decisions over access to education and school places for pupils and staff,³¹ to match teachers to vacant positions,³² and to block students from entering higher education based on AI-assigned grades.³³ However, there is a lack of research looking into how much and what types of AI-enabled automation are effective, appropriate and “good”, or whether these practices are ethical, and whether the human rights of the users are being protected. This involves both those children being targeted and the effects this can have on their future learning experiences.

3.2. The unique cases of children and education

Children are a special case because of their physical, emotional and cognitive immaturity. Education also holds a special status because it is essential for the development of informed, responsible citizens who actively participate in democratic processes and address societal challenges. It is also a special case because most often the participation of children is mandatory and decisions about which tools to use and content to access are made by institutions or other bodies and not by the individuals (teachers, students or parents). The right of access to education is a fundamental human right which can be challenged by AIED systems. It becomes questionable, for example, whether AIED tools can be impartial and unbiased, affording all learners access to education. Certain learners and educators could be disadvantaged and their learning and teaching limited or stopped, either intentionally or unintentionally, by biased or even poorly designed systems.

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29. UNESCO (2021), *AI and education: guidance for policy-makers*, available at <https://unesdoc.unesco.org/ark:/48223/pf0000376709>, accessed 23 April 2024.
 30. UNICEF (2021), *Policy guidance on AI for children*, available at www.unicef.org/globalinsight/media/2356/file/UNICEF-Global-Insight-policy-guidance-AI-children-2.0-2021.pdf, accessed 23 April 2024.
 31. Vervloesem K. (2020), *In Flanders, an algorithm attempts to make school choice fairer*, Algorithm Watch, available at <https://automatingsociety.algorithmwatch.org/report2020/belgium/belgium-story/>, accessed 23 April 2024.
 32. Bizzini P. (2023), *The algorithm that blew up Italy's school system*, Algorithm Watch, available at <https://algorithmwatch.org/en/algorithm-school-system-italy/>, accessed 23 April 2024.
 33. Fitzgerald S. (2023), “Covid-19 and the International Baccalaureate: A computer-assisted discourse analysis of #ibscandal”, *British Journal of Educational Studies*, Volume 71, Issue 2, pp. 129-148. Available at <https://doi.org/10.1080/00071005.2022.2056575>, accessed 23 April 2024.

Human rights and child protection

The Universal Declaration of Human Rights, Article 26, states that everyone has a right to education, and that parents have a prior right to choose the kind of education that shall be given to their children (Article 26(3)). In fact, the UNCRC^{34,35} goes further. It also states that children are entitled to protection from economic exploitation (Article 32), raises the key issue of privacy (Article 12) and recognises (General Comment No. 25 (IV)(1)) that parents are duty bearers in adjudicating children's enjoyment of their rights to privacy and in the realisation of their rights in the digital environment.

Rights under emerging threat from AIED include the right to freedom of thought. An absolute right enshrined in Article 9 of the UN Convention on the Rights of the Child, the right to deeply hold any belief (whether religious or not) is absolute and unconditional; the state cannot interfere with it, for instance by dictating what a person believes or taking coercive steps to make them change their beliefs (Ivanova v. Bulgaria (2007) § 79;³⁶ Mockutė v. Lithuania (2018) § 119).³⁷ AI is also being used to nudge opinion, beliefs and behaviour in educational settings. AI is already used in school safeguarding, fraud and plagiarism detection tools, and technology used to steer intent from thoughts for “safety and wellbeing” from written words, even those that are deleted by the student and not shared with any other person. Emerging tools are being marketed at schools to measure brain activity and infer attentiveness. Regulations fail today to address educational technology designed to influence opinions, or that interfere with the right to keep our thoughts private, the right to keep our thoughts free from manipulation and the right not to be penalised for our thoughts.

In educational settings, the role of guardian can fall to educators or even institutions *in loco parentis* as they navigate the digital environment and make decisions on behalf of children (e.g., which services will be used, and which data will those services collect). Sometimes choices made in the best interests of the institution, educator or even parent may fail to adequately align with the child's views or consider future implications for the child (e.g., the posting of images of learners online or in school marketing).³⁸ It is not enough to just think about protecting the child in its current situation, especially in a digital environment. Instead, it is important to also protect the child's future self. This means understanding the impact that interactions with AIED can have on a child's future and their experiences of that future. This is not properly addressed in other regulation or data protection law.

34. The United Nations (UN) Universal Declaration of Human Rights, available at www.un.org/en/about-us/universal-declaration-of-human-rights, accessed 23 April 2024.

35. The UN Convention on the Rights of the Child, available at www.ohchr.org/en/instruments-mechanisms/instruments/convention-rights-child, accessed 23 April 2024.

36. <https://hudoc.echr.coe.int/fre#%7B%22itemid%22%3A%5B%22001-80075%22%5D%7D>.

37. <https://hudoc.echr.coe.int/fre#%7B%22itemid%22%3A%5B%22001-181202%22%5D%7D>.

38. UN Human Rights Office of the High Commissioner (2021), A/HRC/46/37: Artificial intelligence and privacy, and children's privacy – Report of the Special Rapporteur on the right to privacy, available at <https://www.ohchr.org/en/documents/thematic-reports/ahrc4637-artificial-intelligence-and-privacy-and-childrens-privacy>, accessed 23 April 2024.

One approach, the Montreal Declaration,³⁹ does seek to address the future, approaching it from three perspectives: the effect on life (including emotional and psychological wellbeing), the effect on reputation and the detrimental effects on children's future (including their democratic participation). It is important to note that children's reputations not only influence their interpersonal relationships but may also have an impact on their ability to access services and employment as they enter adulthood.⁴⁰

Current regulations do not take the protection of the child and its future self adequately into consideration, especially regarding choices made by those in guardian roles (e.g., education institutions). This is also true when education authorities determine how they can monitor and address their obligations centred on the impact of the business sector on children's rights (UN General Comment No.16 2013).⁴¹

For example, the right to privacy and protection of family life is also a right to the protection of reputation that is inadequately considered in educational settings where inferences from automated decision making may be carried forward throughout a child's school life in ways that were not previously possible. This is especially important when dealing with products that are offered "for free" but where learners, educators and institutions effectively become the product of their own work. Their data are used, covertly and without the knowledge of the user, to train the AIED system. For example, AIED users are often required to input the product of their own efforts into the system (i.e., they undertake unpaid labour), the provider of which has a clear commercial interest in owning and using these data. A common methodology for technology impact assessment and enforcement is vital in ensuring that the impact of AIED technologies on human rights, cognitive development and pedagogy can be thoroughly assessed before implementation.

Consent

Considering the general recommendations for competence building⁴² regarding what AI is and what it is capable of, it is unrealistic to assume that minors or indeed the majority of students of any age can understand or make fully informed consent decisions regarding the potentially far-reaching consequences of personal data being used to shape their cognitive development, in AIED for training models, facial recognition datasets and other possibilities.

39. The Montreal Declaration for a Responsible Development of Artificial Intelligence was announced on 3 November 2017 at the conclusion of the Forum on the Socially Responsible Development of AI, held at the Palais des Congrès de Montréal, available at https://declarationmontreal-iaresponsable.com/wp-content/uploads/2023/04/UdeM_Decl_IA-Resp_LA-Declaration-ENG_WEB_09-07-19.pdf, accessed 23 April 2024.

40. UNICEF (2018), *Industry toolkit: Children's online privacy and freedom of expression*, available at [https://web.archive.org/web/20210308214931/https://sites.unicef.org/csr/files/UNICEF_Childrens_Online_Privacy_and_Freedom_of_Expression\(1\).pdf](https://web.archive.org/web/20210308214931/https://sites.unicef.org/csr/files/UNICEF_Childrens_Online_Privacy_and_Freedom_of_Expression(1).pdf), accessed 23 April 2024.

41. General comment No. 16 (2013) on State obligations regarding the impact of the business sector on children's rights, available at www.refworld.org/legal/general/crc/2013/en/102811, accessed 23 April 2024.

42. Recommendation CM/Rec(2019)10 of the Committee of Ministers to member States on developing and promoting digital citizenship education, available at https://search.coe.int/cm/Pages/result_details.aspx?ObjectID=090000168098de08, accessed 23 April 2024.

While not a feature of the Council of Europe Convention 108,⁴³ for the protection of individuals with regard to the processing of personal data, the GDPR,⁴⁴ Article 8, introduced the concept of a hard boundary of age (16, but that can be modified to 13) regarding the lawful basis of consent for data processing which dictates when parental consent is no longer required for children's data processing.

The notion of age appropriateness recognises that, as children acquire enhanced competencies, there is a diminishing need for protection and a greater capacity for them to take responsibility for decisions affecting their lives.⁴⁵ However, a misinterpretation of a broad "digital age of consent" can be used to justify the removal of parental or guardian's involvement in decision making for older children, contrary to the UNCRC.

Consent only remains valid if informed and freely given, both of which are rarely achievable for minors in the context of educational settings, especially when related to the use of complex technologies. Consent is only valid if it can be withdrawn as easily as it is given. This is impossible once personal data have been incorporated into training datasets for AI systems or used in product development. Mechanisms need to be in place for children to have their rights managed at various levels in a setting where they are effectively disempowered and when their agency is compromised by AI systems.⁴⁶

Furthermore, examples are emerging of school laws being used to circumvent critical data, consent and protection issues in order to implement AIED systems. For example, to implement an AI tool which had received notable criticism, one state in Germany claimed that, so long as the school determines that a teaching and learning tool is mandatory, then no consent of parents or students is necessary.⁴⁷ It becomes important to ensure that there are protections in place for students where, for example, service contracts necessitate AIED use, undermining individual consent.

Procurement and accountability

Procurement, purchasing and implementation practices for education technology tools differ between countries. This becomes increasingly important given the fact that many AIED companies work cross-borders and the technologies themselves are available online. Currently, it remains unclear to whom the companies are accountable

43. Convention for the Protection of Individuals with regard to Automatic Processing of Personal Data (CETS 108), available at www.coe.int/en/web/data-protection/convention108-and-protocol, accessed 23 April 2024.

44. Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (GDPR), available at <https://eur-lex.europa.eu/eli/reg/2016/679/oj>, accessed 23 April 2024.

45. UNICEF (2005), *The evolving capacities of the child*, Innocenti Insights, No. 11, available at <https://digitallibrary.un.org/record/556609?ln=en&v=pdf>, accessed 23 April 2024.

46. Tuomi I. (2023). *Measuring the impact of large language models on agency development*, in review; Tuomi I., Cachia R. and Villar-Onrubia D. (2023), *On the futures of technology in education: emerging trends and policy challenges*, JRC Science for Policy Reports, European Commission, in press.

47. Table Bildung (2024), *Datenschützer: Eltern müssen KI in der Schule nicht zustimmen* (Data protection office: parents do not have to agree to AI in school), available at <https://table.media/bildung/news/datenschuetzer-eltern-muessen-ki-in-der-schule-nicht-zustimmen/>, accessed 23 April 2024.

when we talk of fairness, safety and transparency in AIED. Is the responsibility to the school as purchaser, the parent as legal guardian, or to the child as user? It will be important to determine adequate accountability for all stakeholders, particularly for those whose use of a tool is made mandatory or where consent is diminished.

There is also a rapid development of ecosystems of AIED applications built based on other applications or embedded in existing products through plugins. As a result, a number of different AIED products can co-exist in one product and each may influence the other. This will make it increasingly difficult but important to determine where accountability for child protection lies and who within this chain of development has responsibility for specific AIED use cases. Furthermore, there are legal uncertainties, for example, whether content created using generative AI tools is the responsibility of the user or the tool's maker or provider, and who should be liable for any infringement.⁴⁸ Companies are often based in different locations from their users and the storage location of source data, which may have been sourced without consent, and which may bring added complexity to how educators or learners understand copyright.⁴⁹

The integration of AI systems and functions into either existing education technology products (EdTech) or standard software such as Microsoft Office and web browsers that are used in educational settings is problematic. Especially when a de-activation of these AI systems and functions is not made possible and there is no requirement to transparently inform users of the fact AI is being used within these tools. Such instances of embedded AI systems can provide direct communication channels and data transfer to the AI providers without the end users (e.g., pupils or students) being properly informed. Service level agreements do not always exist, and contracts often contain small print that limits user rights, or contracts are signed at a state or national level without the possibility of individual review.

Commercial influence and stability

The potential influence of commercial entities on AIED tools and their potential for exploiting children's engagement for profit are concerning. Indeed, "AI's true pedagogical use is still questionable, but its political economy is much clearer."⁵⁰ Legal uncertainties also exist when companies use learning analytics data for their product development, particularly regarding due-diligence obligations. AIED tools

48. Walsh K. (2023), *How we think about copyright and AI art*, Electronic Frontier Foundation, available at www.eff.org/deeplinks/2023/04/how-we-think-about-copyright-and-ai-art-0, accessed 23 April 2024.

49. Noting that the Digital Markets Act is without prejudice to the Copyright Directive and its transposition in the member states, with regards to the EU Digital Single Market Directive there are considerations for copyright exceptions and using data for machine learning in the EU. The overlap with education in terms of the digital delivery of lectures and teaching and certainly implications for learners' work are less frequently considered in intellectual property discussion than the content of written papers. See <https://mse.dlapipe.com/post/102ivrx/training-ai-models-content-copyright-and-the-eu-and-uk-tdm-exceptions>, accessed 23 April 2024. The US Copyright Office position may differ, see www.copyright.gov/ai/?ref=maginitive.com, accessed 23 April 2024.

50. Veale M. (2023), *Schools must resist big EdTech – but it won't be easy*, Education Data Futures, available at <https://educationdatafutures.digitalfuturescommission.org.uk/essays/competing-interests-in-education-data/schools-must-resist-big-edtech>, accessed 23 April 2024.

are granted large amounts of control and influence over the provision, delivery and access to education for millions of children, but are mostly outside of any democratic control. In other words, decisions are made by individuals or individual corporations for the use by many and generally without broader consultation. Policymakers must also pay attention to anti-competitive practices, including the effect of proprietary freeware on innovation and small and medium-sized enterprises growth, vertical integration in the market, control of distribution and devices, and global political and policy influence.

Commercial AIED tools, like other EdTech systems, can be affected by regulatory decisions (e.g., when a product is deemed to not be compliant with the GDPR). However, without a centralised manner of addressing this with requirements for grace periods, safe data transfer or assessing budgeting issues for replacement services, this can create instability for educational institutions, educators and learners, and can have wide-ranging impact within the ecosystem.

Academic integrity

Questions of authenticity, plagiarism, intellectual property protection and appropriate acknowledgement of original sources, or indeed the definition of originality, do not currently find satisfactory answers in existing recommendations (e.g., Recommendation CM/Rec(2022)18 on countering education fraud).⁵¹ The UK higher education admissions body UCAS, for example, has stated that, if their anti-plagiarism software detects elements of a personal statement that may have been generated by AI, it may harm an applicant's prospects for admission.⁵² Yet, there are already many pieces of research that question the accuracy of detecting generative AI outputs.⁵³ In fact, OpenAI clearly states that AI detectors do not work⁵⁴ and withdrew its own AI-enabled technology aimed to distinguish between AI-written and human-written text due to its low rate of accuracy.⁵⁵ Current education and regulatory frameworks do not adequately address the challenges for staff either, when innocent students are wrongly accused by one computer-driven black box, claiming that the student has cheated.

51. Recommendation CM/Rec(2022)18 of the Committee of Ministers to member States on countering education fraud addresses the need for a common European approach to ethics, integrity and transparency in education, available at <https://rm.coe.int/ok-prems-137222-gbr-2512-cmrec-2022-18-et-expose-motifs-a5-web-1-/1680a96147>, accessed 23 April 2024.

52. UCAS (2023), *A guide to using AI and ChatGPT with your personal statement*, available at wwwucas.com/undergraduate/applying-university/writing-your-personal-statement/guide-using-ai-and-chatgpt-your-personal-statement, accessed 23 April 2024.

53. Dalalah D. and Dalalah O. M. A. (2023), "The false positives and false negatives of generative AI detection tools in education and academic research: The case of ChatGPT", *The International Journal of Management Education*, Volume 21, Issue 2, available at <https://doi.org/10.1016/j.ijme.2023.100822>, accessed 23 April 2024.

54. OpenAI (n.d.), *How can educators respond to students presenting AI-generated content as their own?*, available at <https://help.openai.com/en/articles/8313351-how-can-educators-respond-to-students-presenting-ai-generated-content-as-their-own>, accessed 23 April 2024.

55. OpenAI (2023), *New AI classifier for indicating AI-written text*, available at <https://openai.com/blog/new-ai-classifier-for-indicating-ai-written-text>, accessed 23 April 2024.

“Personalised learning” and social scoring

Many claims of benefits to be found in AIED focus on the promise of “personalised” education for all⁵⁶ or the ability to increase student engagement.⁵⁷ However, there is only weak evidence to support the claim that AI systems genuinely personalise learning or even that personalised learning is beneficial to learners,⁵⁸ as learning must also be collaborative, emotional and social. This has been reaffirmed in the most recent Programme for International Student Assessment (PISA) results, with student success being correlated with the connection learners had with their teachers and the support they received from their social environments.⁵⁹

In addition, to enable so-called personalised learning and teaching pathways, many AIED tools must, by default, carry out some degree of social scoring (e.g., comparing behaviours, characteristics and outcomes of learners and educators). This is in direct contrast to both the proposal from the High-Level Expert Working Group on Artificial Intelligence that children should be ensured a free unmonitored space of development,⁶⁰ and the EU AI Act (see 3.1.1. above).

Further areas of high risk specific to education

It may not be possible to adequately define what “high-risk” use cases are, using the current approach outlined in the EU AI Act where risks are unevenly distributed across a population. This becomes clear in educational contexts. For example, while it is argued that biometric technologies (such as AI-enabled identity verification) may be important to guarantee access to education, if based on facial recognition, keystroke tracking, or motion detection, this may also create a barrier for disabled learners (leaving aside issues of unregulated surveillance). How should teachers therefore assess differentiated risk without discrimination if an AIED tool is to be equitably deployed by a whole class of learners? In fact, in the education environment, something that is perceived as high risk to one set of learners may be necessary to uphold the right to access education of others. For example, AI-enabled eye-tracking technologies may be intrusive when creating profiles or enabling social scoring systems, but essential in assisting disabled learners. Other areas of contention include e-proctoring systems (AI systems designed to maintain academic integrity during online exams), which appear only to meet the wants of institutions, are less ready to

56. The Atlantic (2017), *Artificial intelligence promises a personalized education for all*, available at www.theatlantic.com/sponsored/vmware-2017/personalized-education/1667/, accessed 23 April 2024.

57. Zia T. (2023), *Transforming education: AI-powered personalized learning revolution*, Techopedia, available at www.techopedia.com/transforming-education-ai-powered-personalized-learning-revolution, accessed 23 April 2024.

58. Herold B. (2017), *The case(s) against personalized learning*, Education Week, available at www.edweek.org/technology/the-cases-against-personalized-learning/2017/11, accessed 23 April 2024.

59. OECD PISA, available at www.oecd-ilibrary.org/education/pisa-2022-results-volume-i_53f23881-en, accessed 23 April 2024.

60. European Commission, *High-Level Expert Group on Artificial Intelligence*, available at <https://digital-strategy.ec.europa.eu/en/policies/expert-group-ai>, accessed 23 April 2024.

address the needs of users, and have exhibited negative consequences for student mental health,⁶¹ automated discrimination and large data breaches.⁶²

It is also important to establish whether a risk is to be considered higher if the “harm” affects a large number of students only a small amount, or only a small number of students a large amount. As has been noted, the right to education is indispensable to the furtherance of human rights. However, there is currently no consistent approach to the obligations of educational settings to use AIED systems that uphold the language of teaching or minority languages (important given that many AIED are only in English), or that do not detrimentally affect parents’ understanding of the tools used by pupils for homework.

There are many other examples in which a child’s human development and future may be affected by AI and algorithms in educational settings, beyond their use in teaching and learning, which data regulations do not properly address. These include:

- ▶ tools that claim to monitor children’s behaviour, thought, mood and emotions, and suggest measurements of engagement and focus;⁶³
- ▶ school safety technology that uses AI to automate surveillance of children’s digital activity and generate risk profiles suggesting self-harm, harms to others or indicators of interests in terrorism and extremism;⁶⁴
- ▶ children’s image scraping from school websites and their AI-assisted abuse at scale;⁶⁵ advertising connected with AI-enabled products;⁶⁶ and
- ▶ interactions with robots in school premises that may reinforce social norms and influence children’s values.⁶⁷

3.3. Regulation

There have been several calls for further regulation of AI in general and with specific reference to children. For example, one of the key conclusions of the Rome Strategy (2022-2027), as referenced in the Council of Europe Strategy for the Rights of the

61. Retta M. (2020), *Exam surveillance tools monitor, record students during tests*, Teen Vogue, available at www.teenvogue.com/story/exam-surveillance-tools-remote-learning, accessed 23 April 2024.

62. Abrams L. (2020), *ProctorU confirms data breach after database leaked online*, Bleeping Computer, available at www.bleepingcomputer.com/news/security/proctoru-confirms-data-breach-after-database-leaked-online/, accessed 23 April 2024.

63. Campos G. (2020), *ViewSonic brings AI-based mood reading to Bett*, AV Magazine, available at [WWW.AVINTERACTIVE.COM/NEWS/PRODUCTS/VIEWSONIC-BRINGS-AI-BASED-MOOD-READING-TO-BETT-28-01-2020/](https://www.avinteractive.com/news/products/viewsonic-brings-ai-based-mood-reading-to-bett-28-01-2020/), accessed 23 April 2024.

64. Center for Democracy & Technology (2021), *Views on student activity monitoring software: research and analysis from online surveys of teachers, parents, and students*, available at <https://cdt.org/wp-content/uploads/2021/09/Student-Activity-Monitoring-Software-Polling-Research-Slides.pdf>, accessed 23 April 2024.

65. Council of Europe (2001), Explanatory Report to the Convention on Cybercrime (the Budapest Convention), ETS No. 185, available at <https://rm.coe.int/16800cce5b>, accessed 23 April 2024.

66. Council of Europe (2001), Explanatory Report to the Convention on Cybercrime (the Budapest Convention), ETS No. 185, available at <https://rm.coe.int/16800cce5b>, accessed 23 April 2024.

67. Gendered Innovations in Science, Health & Medicine, Engineering, and Environment (n.d.), *Domestic robots: intersectional approaches*, available at <https://genderedinnovations.stanford.edu/case-studies/domesticrobots.html#tabs-2>, accessed 23 April 2024.

Child,⁶⁸ is “to address the need for legally binding frameworks for AI specifically used by children or for systems that affect children up to the age of 18”. Meanwhile, the Recommendation on digital citizenship education,⁶⁹ adopted by the Council of Europe’s Committee of Ministers in 2019, also looked at AI systems being used in education, calling for an awareness of the strengths, weaknesses, benefits and challenges of using AI in learning and schools. The need to protect children’s privacy in digital environments is clear. And yet, there are many facets to AIED tools that are not explicitly defined and where a clear path to regulation does not yet exist. The Council of Europe Framework Convention on Artificial Intelligence, Human Rights, Democracy and the Rule of Law⁷⁰ (adopted by the Committee of Ministers in May 2024), developed by the Council of Europe’s Committee on Artificial Intelligence, for example, does not specifically mention the use of AI in educational settings (the only reference to “education” is in the context of training AI engineers).

Globally, there are more than 300 policy initiatives in more than 60 countries which aim to govern AI.⁷¹ Education, however, is predominantly only mentioned as part of larger, national AI strategies and there is a paucity of AIED strategies or policies across Europe. In fact, in a recent Council of Europe study of member states, only one in five said that the use of AI in education was regulated, while more than half of member states did not respond to the question.⁷² Furthermore, while many existing initiatives deal with the application of AI in the context of how it is applied at the implementation stage, they do not address the systemic or structural implications for the education sector, or its political context and capital at local, national and international levels.⁷³ In short, addressing how the full range of children’s human rights and their future lives may be affected by AIED tools is not yet adequately covered with existing policy. When it comes to children, who have a right to quality education, it is important that an anticipatory rather than a reactive approach underpins risk assessment.

Government regulation

There are various instruments being developed nationally and internationally to provide general governance over AI use, covering all market sectors. However, this delayed rush for governments and ministries to focus on AI and national issues means that there is less focus on consistent international alignment. Globally, countries are

68. Council of Europe Strategy for the Rights of the Child (2022-2027), available at www.coe.int/en/web/children/strategy-for-the-rights-of-the-child, accessed 23 April 2024.

69. Recommendation CM/Rec(2019)10 of the Committee of Ministers to member States on developing and promoting digital citizenship education, available at https://search.coe.int/cm/Pages/result_details.aspx?ObjectID=090000168098de08, accessed 23 April 2024.

70. Council of Europe Committee on Artificial Intelligence (2024). The Framework Convention on Artificial Intelligence, Human Rights, Democracy and the Rule of Law, available at <https://rm.coe.int/1680afae3c>, accessed 1 June 2024.

71. OECD repository of over 1 000 AI policy initiatives from 69 countries, territories and the EU, available at <https://oecd.ai/en/dashboards/overview>, accessed 23 April 2024.

72. Available at <https://rm.coe.int/ai-coe-survey-report-latest-2753-8190-7209-v-1/1680aec34c>, accessed 23 April 2024.

73. Veale M. (2023), *Schools must resist big EdTech – but it won’t be easy*, Education Data Futures, available at <https://educationdatafutures.digitalfuturescommission.org.uk/essays/competing-interests-in-education-data/schools-must-resist-big-edtech>, accessed 23 April 2024.

dealing with AI regulation in different ways. In the United States of America, there has been a focus on a centralised mandate for action (an executive order), which outlines the role that AI will play in important areas of society. This builds on the AI Bill of Rights, and the US industry agreement.⁷⁴ While education is mentioned, there is no detail regarding what this will entail. In the United Kingdom, there is no intention to develop new regulation, as the belief is that the impact of AI is covered by existing legislation (which, as we have shown, is often not the case). Other examples of national strategies are from China, Germany, India, South Korea and Malta.

Meanwhile, it has been noted that “if resources related to AI are concentrated in a specific country, we must not have a society where unfair data collection and infringement of sovereignty are performed under that country’s dominant position.”⁷⁵ In other words, developing cross-border approaches is increasingly important. The AI Safety Summit 2023, held in the United Kingdom, brought together international governments and AI companies together with a much smaller number of research experts and civil society to discuss risks and potential mitigation strategies, which might be addressed through international co-operation. The summit resulted in the Bletchley Declaration,⁷⁶ which outlined a commitment to building shared scientific, evidence-based understanding of impact and to developing risk-based policies in respective national contexts. However, it is important to note that no AI and education experts or non-governmental organisations were invited to participate in that summit, which means educational issues are barely mentioned in the declaration.

European Union AI Act

The EU AI Act, a landmark law for artificial intelligence, was finalised by the EU Council and Parliament in early December 2023.⁷⁷ This Act outlines responsibilities and ways for AI providers and users to address issues. Its rules vary depending on the potential risk associated with AI usage.

In the field of education, AI raises ethical concerns, especially regarding influencing or manipulating human cognition. As a result, some AI applications used in education are now considered “high risk”. This classification was further defined following amendments by the European Parliament on 14 June 2023, to include career guidance AI applications, applications used to determine access or materially influence admissions decisions, and applications used for testing appropriate levels of education or monitoring behaviour during testing.

74. The White House, *Blueprint for an AI Bill of Rights*, available at www.whitehouse.gov/ostp/ai-bill-of-rights/, accessed 23 April 2024.

75. UNICEF (2021), *Policy guidance on AI for children*, available at www.unicef.org/globalinsight/media/2356/file/UNICEF-Global-Insight-policy-guidance-AI-children-2.0-2021.pdf, accessed 23 April 2024.

76. *The Bletchley Declaration by countries attending the AI Safety Summit*, 1-2 November 2023, available at www.gov.uk/government/publications/ai-safety-summit-2023-the-bletchley-declaration/the-bletchley-declaration-by-countries-attending-the-ai-safety-summit-1-2-november-2023, accessed 23 April 2024.

77. European Council (2023), *Artificial intelligence act: Council and Parliament strike a deal on the first rules for AI in the world*, available at www.consilium.europa.eu/en/press/press-releases/2023/12/09/artificial-intelligence-act-council-and-parliament-strike-a-deal-on-the-first-worldwide-rules-for-ai/, accessed 23 April 2024.

The reason behind this classification is that “AI systems used in education ... should be considered high-risk, since they may determine the educational and professional course of a person’s life ... When improperly designed and used, such systems may violate the right to education and training as well as the right not to be discriminated against and perpetuate historical patterns of discrimination.”⁷⁸

The amended Article 4 (214) also explicitly requires member states to promote AI literacy and ensure sufficient knowledge and skills about AI systems and their functions, including the different types of products and uses, their risks and benefits. The EU AI Act also prohibits specific AI applications. This includes AI that recognises emotions, real-time biometric systems, systems predicting criminal behaviour and those that assign social scores to individuals.

However, the majority of educational settings will fall outside the definition of public spaces. This means that much of what is restricted in public spaces does not apply and various exemptions within the education-specific text negate its purposes. In education specifically, the ban on emotion recognition (Article 5(1)(dc)) has an exception for health and safety reasons (Article (II)(3)), which is exactly how much of the technology using AI is marketed to serve learners through mental health support or security purposes. There are serious concerns about the underlying scientific basis for claims made around mood and emotional “health” detection in on-screen behavioural monitoring of language, or state of mind, or to “predict” students’ interests in terrorism and extremism. This exception in the act leaves children vulnerable to exposure from some of the most intrusive and high-risk technology to child development, in settings where they cannot refuse to use it. The “risk-based approach” leaves learners and staff exposed to subjective decision making and inconsistent application of the law. Facial detection and facial recognition may either be banned or labelled high risk in some sectors by the act for law enforcement, but in practice they are widely used across educational settings in the detection of “fraud” in examinations. While the act (Article 35) addresses improper use, the classification as high risk suggests some acceptable level of risk, and it is unclear how educational settings should assess this for compatibility with students’ human dignity, or the European Convention on Human Rights, Article 14, and a ban on discrimination. The act points educational settings towards “how” they can identify and use high-risk tools but does not offer educational settings robust rules compatible with the European Convention on Human Rights regarding rights of the child or “if” they should use such tools with minors of vulnerabilities and capacities at all.

GDPR/data protection and AIED

The European Data Protection Board has formed a task force to address questions of lawfulness and cross-border co-operation on generative AI such as ChatGPT, and decisions are expected to follow.⁷⁹ Data protection may enable the use of data in

78. Maynard A. (2023), *What does EU Artificial Intelligence regulation mean for AI in education?*, The Future of Being Human, available at <https://futureofbeinghuman.com/p/eu-ai-act-and-education>, accessed 23 April 2024.

79. Sterling T. (2023), *European privacy watchdog creates ChatGPT task force*, Reuters, available at www.reuters.com/technology/european-data-protection-board-discussing-ai-policy-thursday-meeting-2023-04-13/, accessed 23 April 2024.

many cases within the law, but in ways that can fail to uphold fundamental human rights to privacy, or that are detrimental to human dignity and the promotion of young people's flourishing. For example, in the use of biometric technology in schools, there is inconsistent practice reliant on current EU data protection law. While some countries have made court or regulatory decisions governing this,⁸⁰ there is fundamental criticism, for example, that "the law does not provide the legal guardrails necessary to ensure that facial recognition technology (FRT) is developed and deployed in ways that uphold basic human rights."⁸¹

Principles

Hundreds of voluntary or non-binding principles documents have been created aiming to guide AI strategy and policy. A study on rights-based approaches to AI, however, found that, while 64% of all the gathered principle frameworks made reference to specific human rights-related documents, only five documents actually employed a human rights framework.⁸² Both public concern and a lack of research or experience regarding the societal effects of AI have led to a strong focus on ethical AI principles, often at the sake of all other potentially important topics.⁸³

There is also a distinct lack of frameworks specifically addressing education. The ones that do largely focus on developing competences for AI in teaching and learning,⁸⁴ AI literacy including academic integrity,⁸⁵ and socio-developmental ethics.⁸⁶ Importantly, a review of national AI policy strategies related to the role of education and ethics indicates that "these documents tend to focus on Education for AI (i.e., training) rather than on AI for Education (AIED)".⁸⁷ Overall, there is a distinct lack of resources with a focus on education-specific, rights-based challenges such as child's rights, child

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80. Swedish Data Protection Authority case against Skellefteå Municipality, Secondary Education Board DI-2019-2221, available at https://gdprhub.eu/index.php?title=KamR_Stockholm_-_Case_No._5888-20, accessed 23 April 2024.
 81. Davis P. N., Perry L. and Santow P. E. (2022). *Facial recognition technology: Towards a model law*. Human Technology Institute, The University of Technology Sydney, available at www.uts.edu.au/human-technology-institute/projects/facial-recognition-technology-towards-model-law, accessed 23 April 2024.
 82. Fjeld J. et al. (2020), *Principled Artificial Intelligence: mapping consensus in ethical and rights-based approaches to principles for AI*, Berkman Klein Center Research Publication No. 2020-1, available <http://dx.doi.org/10.2139/ssrn.3518482>, accessed 23 April 2024.
 83. Holmes W. and Porayska-Pomsta K. (eds) (2023), *The ethics of AI in education. Practices, challenges, and debates*, Routledge, available at www.routledge.com/The-Ethics-of-Artificial-Intelligence-in-Education-Practices-Challenges/Holmes-Porayska-Pomsta/p/book/9780367349721, accessed 23 April 2024.
 84. European Union (2022), *Final report of the Commission expert group on Artificial Intelligence and data in education and training*, available at <https://op.europa.eu/en/publication-detail/-/publication/7f64223f-540d-11ed-92ed-01aa75ed71a1/language-en/format-PDF/source-search>, accessed 23 April 2024.
 85. Russell Group (2023), *New principles on use of AI in education*, available at <https://russellgroup.ac.uk/news/new-principles-on-use-of-ai-in-education/>, accessed 23 April 2024.
 86. For example, e.g., Tuomi I. (2023), "A framework for socio-developmental ethics in educational AI", *Proceedings of the 56th Hawaii International Conference on System Sciences*, 6208-6217, available at <https://hdl.handle.net/10125/103386>, accessed 23 April 2024.
 87. Schiff D. (2022), "Education for AI, not AI for education: The role of education and ethics in national AI policy strategies", *International Journal of Artificial Intelligence in Education*, Volume 32, pp. 527-563, available at <https://doi.org/10.1007/s40593-021-00270-2>, accessed 23 April 2024.

and youth development, and societal aspects. The fact that students travel across geographical boundaries to study and work may cause confusion in practice where national acceptable and lawful practices diverge.

Self-regulation

Self-regulation and self-imposed guidelines or frameworks can be used to convince legislators that stakeholders can self-govern and that specific legal instruments are not necessary.⁸⁸ Following this strategy, AI providers as well as their customers and users of AI technologies can claim to address and be aware of ethical questions and concerns but without any implications and effects on the AI applications and practices. This raises multiple issues, such as lack of an enforcement process, lack of accountability and lack of a clear responsibility to society.

UNESCO calls for governments and ministries of education to develop their own capacities for review and validation of AI to reduce any reliance on industry self-regulation.⁸⁹ An analysis of 22 major ethics guidelines further highlights a common issue in that “AI ethics – or ethics in general – lacks mechanisms to reinforce its own normative claims” and that principle frameworks “are rather weak and pose no eminent threat”⁹⁰ to any of the stakeholders.

With the precedent being set this year by the ability of OpenAI’s leadership to overthrow the safeguards of their advisory board,⁹¹ it is essential to ensure safeguard structures exist, which can go beyond the benefits of shareholders or individual companies and look to the requirements of learners, educators and the societies within which they find themselves.

Standards

Standards will play a vital role in supporting compliance to any regulations by defining concrete technical requirements to adhere to. However, even with standards in place, mechanisms must still be developed to help all stakeholders ensure they are compliant, and participation must be ensured by those most interested in protecting fundamental human rights and public interest.⁹²

General AI standards rarely address education-specific issues which can increase issues of access and inclusion in educational settings, and the devaluation of regional or minority languages and their protection and promotion, contributing to the building

88. Calo R. (2017), “Artificial intelligence policy: A primer and roadmap”, *SSRN Journal*, pp. 1-28, available at https://papers.ssrn.com/sol3/papers.cfm?abstract_id=3015350, accessed 23 April 2024.

89. UNESCO (2021), *AI and education: guidance for policy-makers*, available at <https://unesdoc.unesco.org/ark:/48223/pf0000376709>, accessed 23 April 2024.

90. Hagendorff T. (2020), “The ethics of AI ethics: An evaluation of guidelines”, *Minds and Machines*, Volume 30, pp. 99-120, available at <https://doi.org/10.1007/s11023-020-09517-8>, accessed 23 April 2024.

91. Dave P. (2023), *How OpenAI’s bizarre structure gave 4 people the power to fire Sam Altman*, *Wired*, available at www.wired.com/story/openai-bizarre-structure-4-people-the-power-to-fire-sam-altman/, accessed 23 April 2024.

92. McFadden, M., Jones, K., Taylor, E., & Osborn, G. (2021). *Harmonising Artificial Intelligence*. Working paper 2021.5. Available at <https://oxaig.ox.ac.uk/wp-content/uploads/sites/11/2021/12/Harmonising-AI-OXIL.pdf>, accessed 23 April 2024

of a Europe based on democracy and cultural diversity.⁹³ Design and technology standards are also not obliged to uphold SDG 4 or to ensure that EdTech is inclusive, equitable and open to all, which could unintentionally exacerbate issues of unfairness, exclusion and poor pedagogical practice. While there are some AI standards under development,⁹⁴ it is important to note that standards like these are voluntary, non-binding instruments and often exist behind a paywall.

Wide-ranging ecosystem support

In the public sector, numerous papers and frameworks from UNESCO, United Nations Children's Fund (UNICEF), OECD and the European Commission, explore different aspects of AIED implementation and policy. These range from global analyses of current policy and AI curricula to high-level policy recommendations, technical recommendations for AI implementation and teacher training playbooks.

Industry representatives from Google, Microsoft and OpenAI (among others) have called for regulation beyond self-regulation practices. In April 2023, hundreds of industry initiatives and researchers, including many affiliated with the Future of Life Institute (FLI), called for a pause in the development of AI technologies as "[p]owerful AI systems should be developed only once we are confident that their effects will be positive and their risks will be manageable".⁹⁵ This suggestion was widely ignored.

A need for better understanding and greater literacy around AI, focusing not only on the technological dimension (how it works and how to create it) but also on its human dimension (its funders and their values, and intended and unintended human and societal impacts), has driven several third-sector or civil society efforts⁹⁶ which focus on supporting educators and learners in using AI for teaching through guidelines, curricula, training programmes and toolkits.⁹⁷ It is important to recognise any industry dependencies, including financial and lobbying activities, that may influence these initiatives, and that there may only be an indirect interest in human rights, democracy, social justice, or the expression and development of human agency.

93. The European Charter for Regional or Minority Languages, available at www.coe.int/en/web/european-charter-regional-or-minority-languages/about-the-charter, accessed 23 April 2024. Together with the Framework Convention for the Protection of National Minorities it constitutes the Council of Europe's commitment to the protection of national minorities.

94. For example, the International Standardization Organization (ISO) in sub-committee JTC1/SC42, available at www.iso.org/committee/6794475.html, accessed 23 April 2024.

95. Narayan J. et al. (2023), *Elon Musk and others urge AI pause, citing 'risks to society'*, Reuters, available at www.reuters.com/technology/musk-experts-urge-pause-training-ai-systems-that-can-outperform-gpt-4-2023-03-29/, accessed 23 April 2024. It is to note that authors of one of the papers cited in the letter "On the dangers of stochastic parrots: can language models be too big?", including Emily M. Bender, Timnit Gebru and Margaret Mitchell, criticised the letter. Mitchell claimed that "by treating a lot of questionable ideas as a given, the letter asserts a set of priorities and a narrative on AI that benefits the supporters of FLI. Ignoring active harms right now is a privilege that some of us don't have."

96. Access Now (2023), *Human rights protections...with exceptions: what's (not) in the EU's AI Act deal*, available at www.accessnow.org/whats-not-in-the-eu-ai-act-deal/, accessed 23 April 2024.

97. The International Society for Technology in Education (ISTE) provides resources for understanding and implementing AI in schools. The Consortium for School Networking (COSN) emphasises leadership guidelines, training, policy development and integrating privacy and security measures. Multistakeholder initiatives like the World Economic Forum's AI Governance Alliance and the Association for the Advancement of Artificial Intelligence, along with newer organisations like AIEdu and Code.org's TeachAI, are developing safe AI designs, policies and curricula.

Council of Europe's unique role

Unlike AI ethics frameworks, human rights are enforceable by law, and, therefore, more fitting to govern AI throughout its life cycle.⁹⁸ The central values behind the human rights-based approach is reflected in the Council of Europe Framework Convention on Artificial Intelligence, Human Rights, Democracy and the Rule of Law,⁶⁸ which provides high-level coverage of all key issues related to AI governance. The convention also takes a risk-based approach to AI.

3.4. Why a legal instrument to regulate the use of AI in education?

The Council of Europe's international conventions offer a range of significant benefits that contribute to the respect, fulfilment, and promotion and protection of human rights, democratic values and the rule of law. These benefits are particularly evident in three key areas:

- ▶ harmonisation of approach within a human rights-based framework;
- ▶ facilitation of co-operation across member states and observer states; and
- ▶ enhanced legitimacy and credibility through enforcement frameworks.

The global landscape of AI regulatory practices governing use is multifaceted. As we have noted, there are hundreds of documents outlining proposed ethical principles for use⁹⁹ and several recommendations exploring good regulatory practice in general, but not making specific recommendations for education due to the unique nature of education environments and structures.

3.5. Possible areas of AI regulation specific to education

In this section, we set out some areas specific to education possibly needing AI regulation. There are various others.

Protecting the human rights of learners before AI systems are introduced into classrooms

Existing AI regulatory practices seek to address recourse if harm has already been experienced and to ban large issues spanning multiple sectors. But they do not look at stopping human rights violations of learners *before* AI systems enter learning environments. A key human right for children is quality education, whereas many AI systems designed for use in education have limited evidence for their effectiveness or safety.

98. Internet Policy Review (2023), *Future-proofing the city: A human rights-based approach to governing algorithmic, biometric and smart city technologies*, Volume 12, Issue 1, referencing Donahoe and Metzker (2019); McGregor et al. (2019); Yeung et al. (2020); Smuha (2020); Cobbe et al. (2020), available at <https://policyreview.info/articles/analysis/future-proofing-the-city>, accessed 23 April 2024.

99. OECD policy repository, available at <https://oecd.ai/en/dashboards/overview/policy>, accessed 23 April 2024.

Possible regulatory needs:

- ▶ including human rights aspects in principles and enforcement mechanisms;
- ▶ focusing on the human rights of minors in the use of AI systems; and
- ▶ requiring robust independent evidence at scale for the effectiveness and safety of any AI-enabled technology before it can be used in an educational setting.

Mitigating harm from inappropriate materials in AI systems

Currently, when safety issues are identified within an AI system (e.g., when they are prompted to provide instructions on self-harm, harming others or committing crimes), the connection made within the system may be throttled.¹⁰⁰ A company's approach in mitigating harms¹⁰¹ can also have unintended consequences as Google found in the 2024 launch of its image generator Gemini.¹⁰²

Possible regulatory needs:

- ▶ the protection of developmentally appropriate environments aligned with the evolving capacities of the child, and the rights of the parent in the exercise by the child of the rights recognised in the UNCRC;¹⁰³
- ▶ the protection of freedom of expression and content accuracy in creative tools; and
- ▶ the successful elimination of illegal materials and consensus of approach in educational tools on inappropriate outputs and influences perpetuating stereotypes in gender, ethnicity and race, and biased content creation.

The complex layers of AI systems and tools built upon them

Needing a niche product, a teacher might introduce an AI-enabled app to their classroom. However, that app might show unintended bias towards certain learners. When trying to seek recourse, how should schools or learners understand where a problem is if the app is built on layers of other applications before even getting to the core AI model behind it, and trained on multiple datasets,¹⁰⁴ which makes it easy for responsibility to fall between parties.¹⁰⁵

100. See www.lesswrong.com/posts/7fYxxtZqjuYXhBA2D/testing-ways-to-bypass-chatgpt-s-safety-features, accessed 23 April 2024.

101. Commercial case study: this company offers training on AI bias mitigation (Holistic AI), available at www.holisticai.com/blog/technical-resources-bias-mitigation, accessed 23 April 2024.

102. Alba D. et al. (2024), *Google left in "terrible bind" by pulling AI feature after right-wing backlash*, Bloomberg, available at <https://www.bloomberg.com/news/articles/2024-02-28/google-left-in-terrible-bind-by-pulling-ai-feature-after-right-wing-backlash>, accessed 23 April 2024.

103. Child Rights International Network (CRIN), *Parental guidance and the child's evolving capacities* (Article 5 of the UNCRC), available at <https://archive.crin.org/en/home/rights/convention/articles/article-5-parental-guidance-and-childs-evolving-capacities.html>, accessed 23 April 2024, and UN OHCHR statement on Article 5, available at www.ohchr.org/sites/default/files/documents/hrbodies/crc/statements/CRC-Article-5-statement.pdf, accessed 23 April 2024.

104. Thompson A. D. (2022), *What's in my AI? A comprehensive analysis of datasets used to train GPT-1, GPT-2, GPT-3, GPT-NeoX-20B, Megatron-11B, MT-NLG, and Gopher*, available at <https://LifeArchitect.ai/whats-in-my-ai>, accessed 23 April 2024.

105. Thomson A. D. (2023), *The GPT-3 family: 50+ models (Mar/2023)*, available at <https://s10251.pcdn.co/wp-content/uploads/2023/03/2023-Alan-D-Thompson-GPT3-Family-Rev-1.png>, accessed 23 April 2024.

Possible regulatory needs:

- ▶ frameworks defining chains of development and the regulatory responsibility each layer holds or can be held to; and
- ▶ regulatory measures for each layer of development.

Mass-scale manipulation of learners or teaching practices

Generative AI (such as ChatGPT) can produce manipulative media (text, images, voices, etc.) on a large scale. This process can be amplified by tailoring the content to individuals using personal data. Algorithmic manipulation could be significantly amplified by specifically designed models influencing teaching and learning practices.

Possible regulatory needs:

- ▶ provisions to not exploit, interfere with, or manipulate learners or teachers; and
- ▶ provisions to ensure alignment with and checks of pedagogically sound practice.

Clarity about how AI systems are trained and their intent

Due to the “black box” nature of much AI and opacity of automated decision making, it is difficult to trace why a particular recommendation was made or why a particular output was generated. Accordingly, information is necessary about the resources and systems with which the AI-enabled technology was trained, in order to empower sound decision making about trustworthiness and applicability for use. The educational purpose and intent of the technology should be stated, transparent, verifiable and checked.

Possible regulatory needs:

- ▶ measures outlining transparency requirements for training and development of AI systems and their data outputs for use in education; and
- ▶ provisions for transparency of purpose, intent and, where applicable, examples of unintended consequences.

4. Questions for discussion

The following questions may be used as prompts – for readers to consider their own views raised by this paper and to inform discussion.

4.1. AI systems used in education

- ▶ What mechanisms or infrastructure should be put in place to ensure the effectiveness, safety and positive pedagogical impact on the ecosystem of the classroom of AI systems?
- ▶ Whose relationships, agency or authority are changed because of the use of AIED, between the institution, parents, the individual teacher or learner and introduction of companies into a child's education? Are these changes desirable or do they need mitigation?
- ▶ What level of harms, biases, opacity in automated decisions and poor educational experiences is acceptable? What mechanisms and routes for remedy and redress would be appropriate for learners and educators who experience them?
- ▶ What if any special use cases should be considered for communities with shared characteristics or rights such as children with disabilities, minority languages, or the right of parents to have their children educated in conformity with their religious and philosophical convictions?
- ▶ Do the climate and resource implications¹⁰⁶ from the use of AI merit special attention in the education sector and educators' responsibility for their own influence on children's future environment?

4.2. Human rights, democracy and the rule of law

- ▶ Which focus areas of human rights, democracy and the rule of law should be prioritised in education environments and must be addressed by the proposed legal instrument?
- ▶ Is there agreement on the imperative to protect the human rights of children in educational settings?
 - Assuming the incentives for business do not always prioritise children's rights, what would be a suitable measure to evaluate an appropriate "balance" between economic drivers for AI with any duties towards children?
 - A risk-based approach might assume some level of discrimination as a result of the application of AIED is acceptable. Is this in line with Article 14 and

106. Hao K. (2024), *AI is taking water from the desert*, The Atlantic, available at www.theatlantic.com/technology/archive/2024/03/ai-water-climate-microsoft/677602/, accessed 23 April 2024.

Article 1 of Protocol No. 12 of the European Convention on Human Rights that enshrines the right not to be discriminated against?

- Should needs and risks be assessed for each individual in a single classroom, year group or school, or should educators treat children as a homogenous group?
- ▶ How can the Council of Europe ensure that the proposed legal instrument does not exacerbate the digital divide or undermine access to equitable and quality education?
- ▶ Is there consensus on what ethical norms should inform the proposed legal instrument to regulate the use of artificial intelligence systems in education?

4.3. Regulation

- ▶ Given the diversity of educational systems across Council of Europe member states, what opportunities and challenges will there be when harmonising the approach to regulate the use of AI systems in education? How soon would member states commit to addressing these challenges and how?
- ▶ What will be the role of all stakeholders (learners, parents, educators, school leadership and industry) in ensuring the effective implementation of the proposed legal instrument and how should this be operationalised over what time period?
- ▶ How do member states want the Council of Europe to support them to effectively implement the legal instrument, foster collaboration and harmonisation, and monitor its impact on learners, educators and learning environments?
- ▶ Would member states want to participate in monitoring of the instrument to share positive and negative experiences of the emerging outcomes on learners and the teaching community to communicate collective learnings from practice?

The Council of Europe's preparatory study on the development of a legal instrument on regulating artificial intelligence (AI) systems in education addresses the growing intersection of AI technologies and educational practices. As AI tools become increasingly prevalent in classrooms, this study examines their potential impact on children's and learners' rights, educational integrity and the broader values of human rights, democracy and the rule of law. Focusing on the unique requirements of children and educational institutions, the study provides an in-depth analysis of the current challenges and opportunities presented by AI in educational settings, highlighting the urgent need for a robust regulatory framework. It emphasises the importance of developing tailored regulations that enhance transparency and accountability in education while safeguarding the learners' interests.

This study serves as a resource for policy makers, educators and stakeholders who are navigating the complexities of AI in educational environments, offering clear recommendations for future regulatory approaches to ensure that AI systems in education not only enhance learning but also align with the Council of Europe's core values of human rights, democracy and the rule of law.

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The Council of Europe is the continent's leading human rights organisation. It comprises 46 member states, including all members of the European Union. All Council of Europe member states have signed up to the European Convention on Human Rights, a treaty designed to protect human rights, democracy and the rule of law. The European Court of Human Rights oversees the implementation of the Convention in the member states.

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