

HUMAN RIGHTS,  
DEMOCRACY  
AND THE RULE OF LAW

COUNCIL OF EUROPE



CONSEIL DE L'EUROPE

DROITS DE L'HOMME,  
DÉMOCRATIE  
ET ÉTAT DE DROIT

*elsa*

European Law Students' Association

Webinars on Law  
and Technology

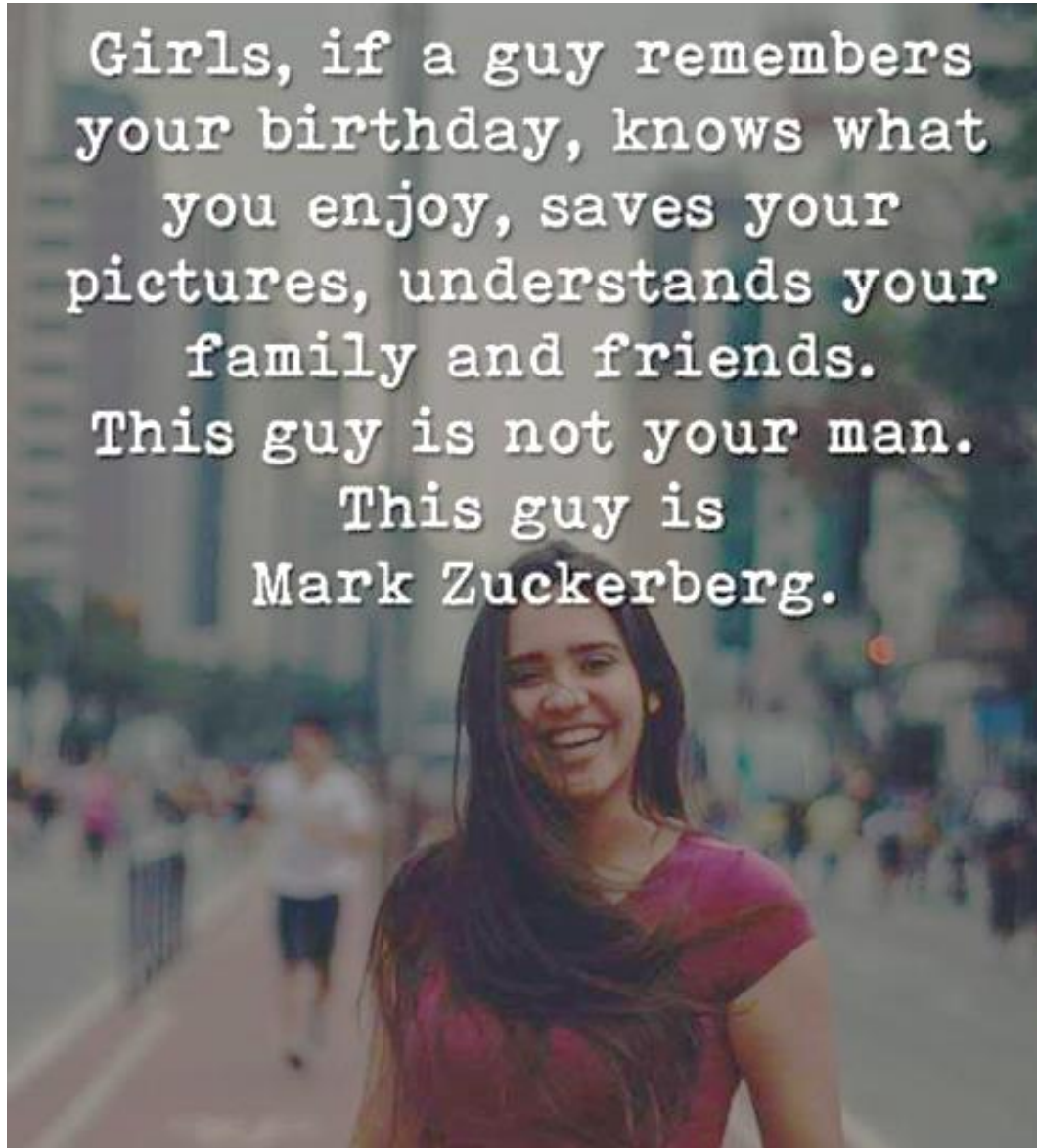
Presentation  
26 May 2020

## Artificial Intelligence and Human Rights

Patrick Penninckx

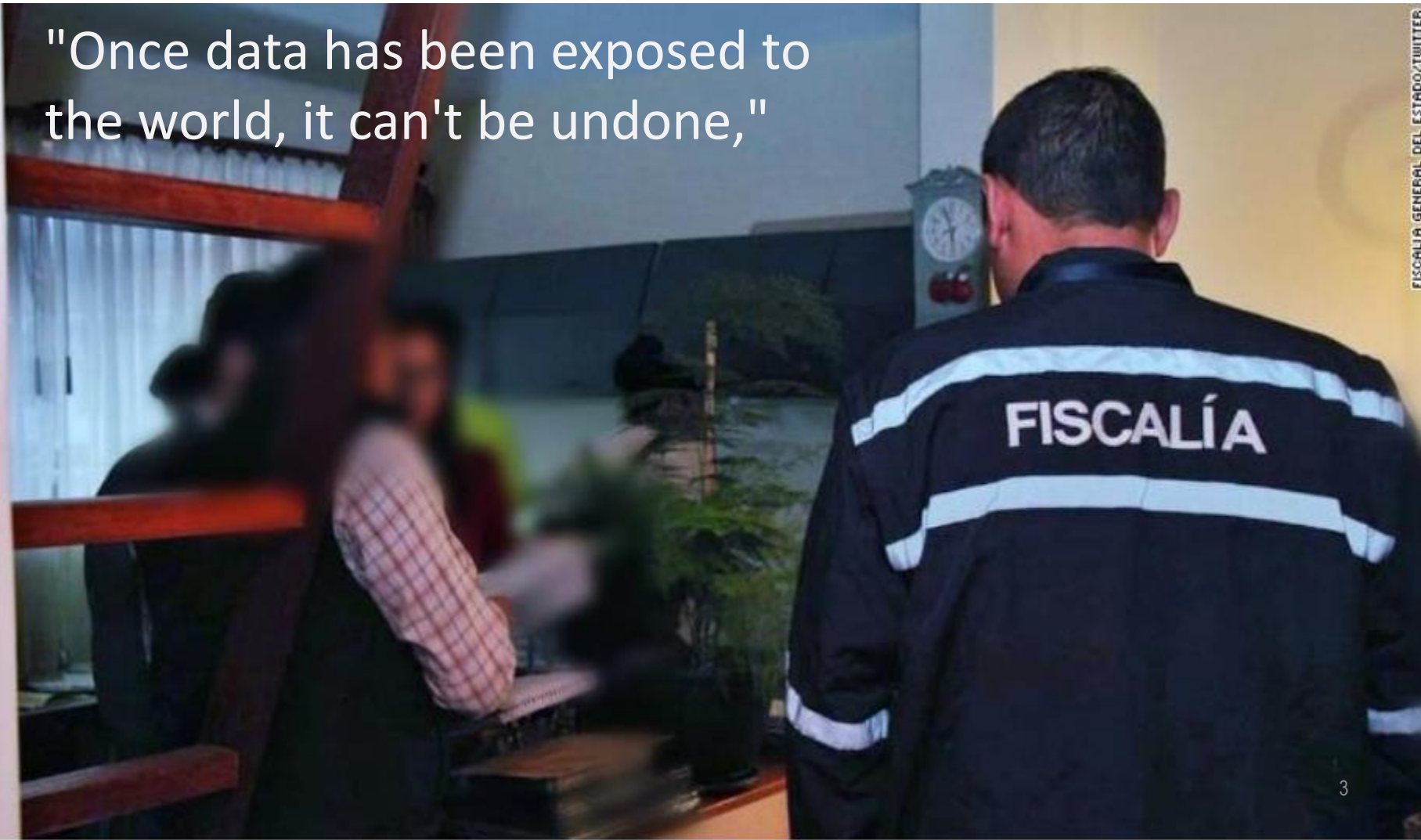
Head of the Information Society Department

Girls, if a guy remembers  
your birthday, knows what  
you enjoy, saves your  
pictures, understands your  
family and friends.  
This guy is not your man.  
This guy is  
Mark Zuckerberg.

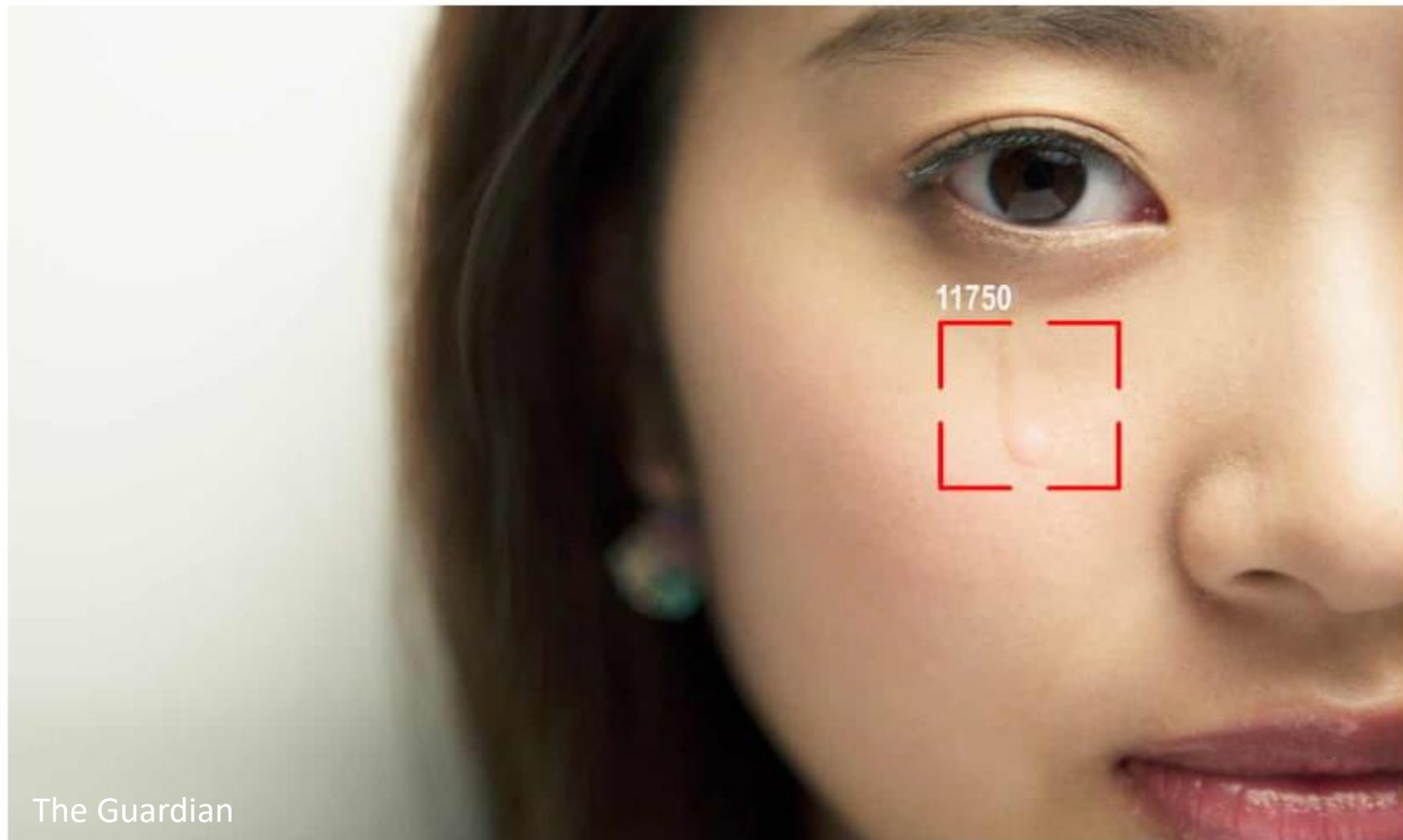


## Almost entire population of Ecuador had data leaked !

"Once data has been exposed to the world, it can't be undone,"



Machines can now allegedly identify anger, fear, disgust and sadness. 'Emotion detection' has grown from a research project to a \$20bn industry



## Your face: a \$20bn industry

The Guardian



▲ Monitors display a video showing facial recognition software in use at the headquarters of the artificial intelligence company Megvii, in Beijing. Photograph: New York Times/eyevine



## A database of 7.5m faces from 87 countries

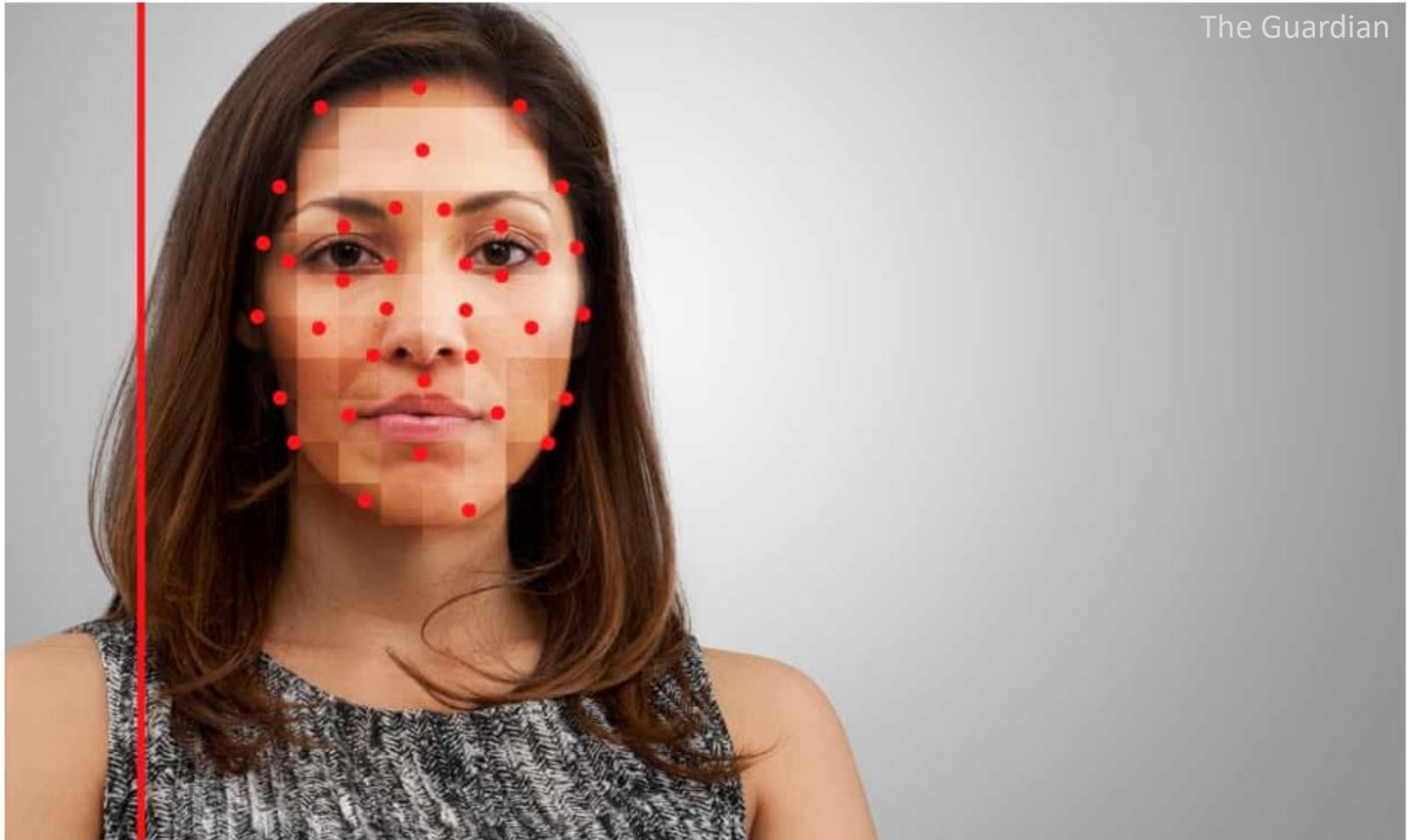
The Guardian



▲ Visitors check their phones behind the screen advertising facial recognition software during Global Mobile

As with most machine learning applications, progress in emotion detection depends on accessing more high-quality data.





The Guardian

▲ 'You're already seeing recruitment companies using these techniques to gauge whether a candidate is a good hire or not'. Photograph: John Lund/Getty Images/Blend Images



I am constantly profiled...







Who does  
not use a  
smartphone ?

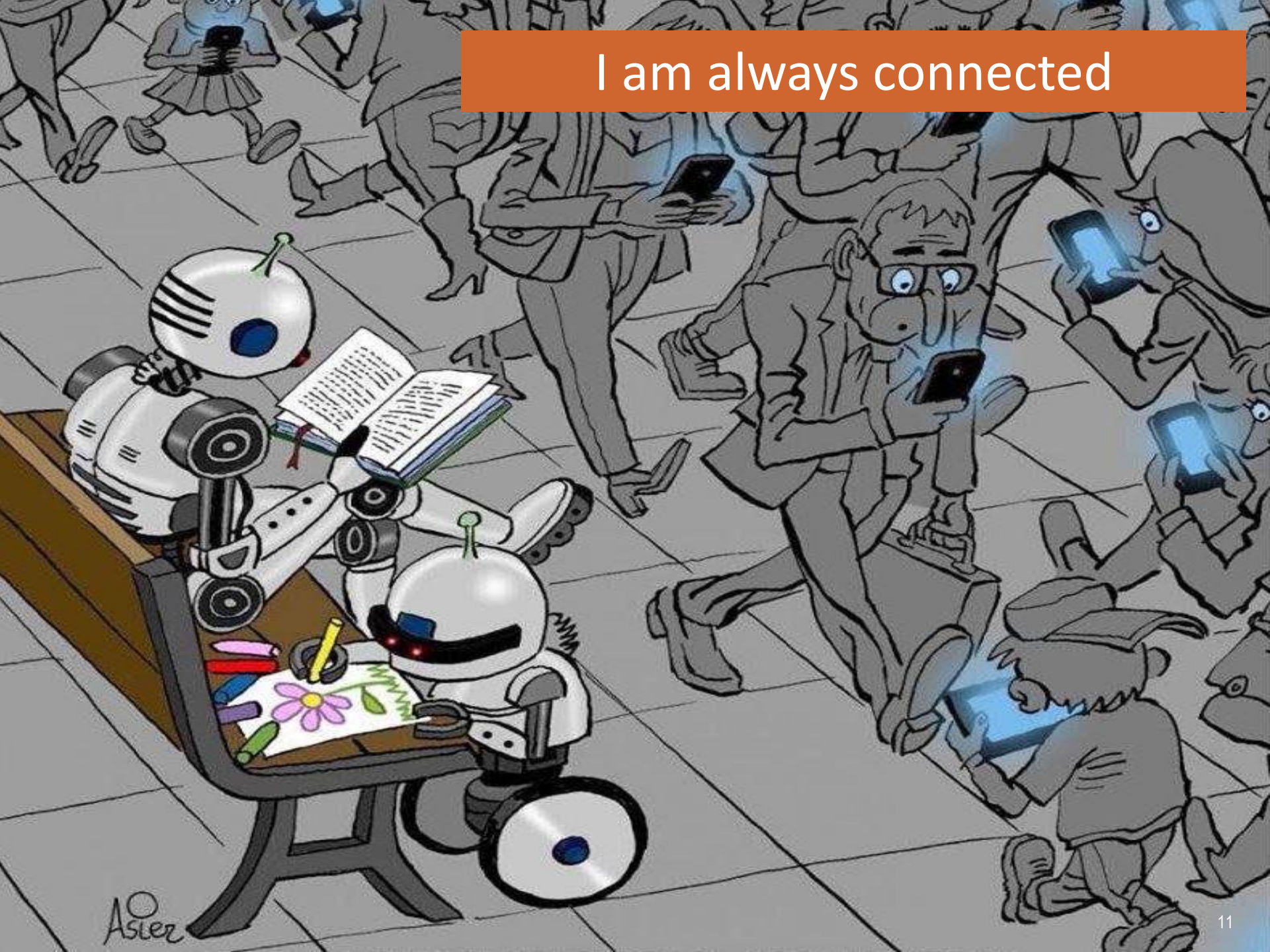
# My phone spies on me



Source: Internet

## 14 sensors!

I am always connected



## FACEBOOK Transparency Report

**NUDITY**  
21 million  
removals  
**99,8% by AI**

**HATE SPEECH**  
2,5 million  
removals  
**38% by AI**

**TERRORIST  
CONTENT**  
1,9 million  
removals  
**99,5% by AI**

**GRAPHIC  
VIOLENCE**  
3,4 million  
removals  
**85,6% by AI**

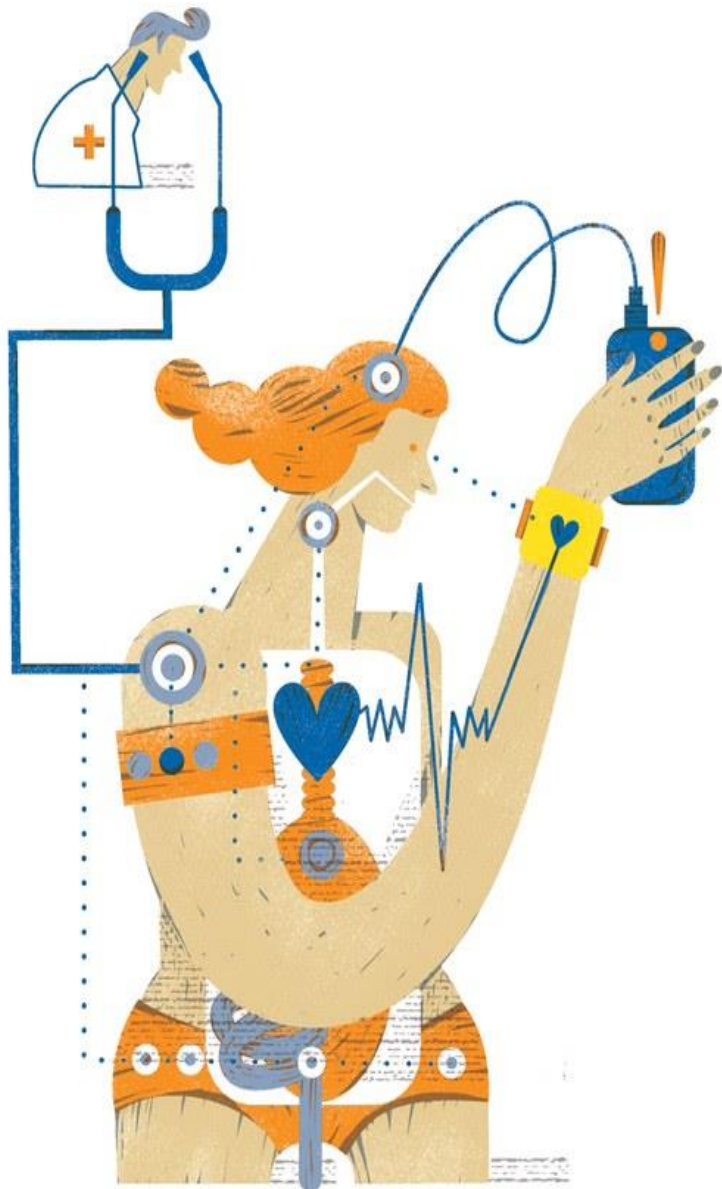


# DID GOOGLE MANIPULATE 'MILLIONS' OF 2016 VOTES FOR HILLARY?

I know what you did there.

You don't have any proof!





**Medical wearables:**  
will your doctor soon  
prescribe a fit bit ?





**respect each other in all walks of life.**

Sofia, a humanoid robot, gives interviews, increasing policy and consumer attention towards AI

**Saudi Arabia has become the first country to give a robot, Sofia, citizenship** (Independent)

**Estonia considers legalising Artificial Intelligence** (Medium)

**OECD assesses how governments should regulate AI** (The Conversation)

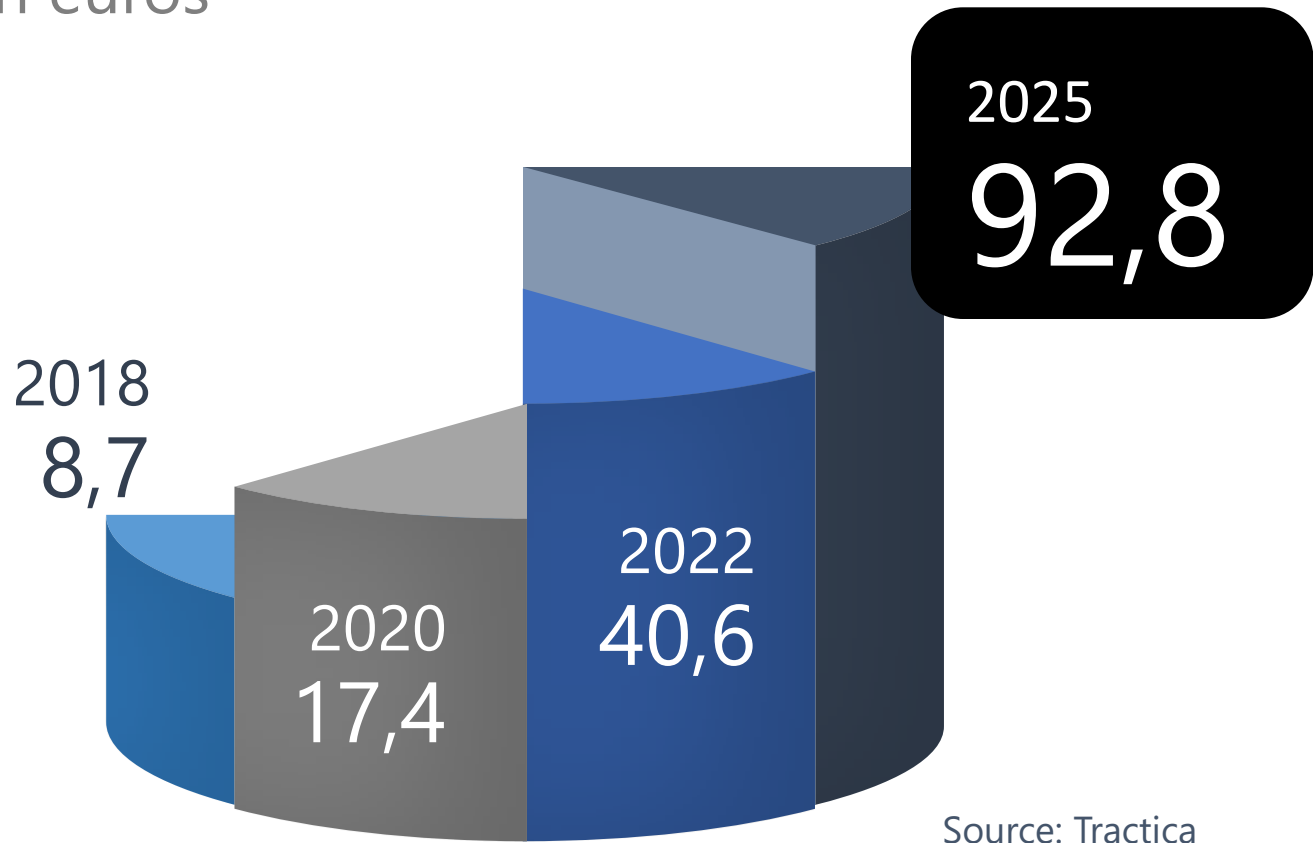
**“Law requires reshaping as AI and robotics alter employment”** (International Bar Association)

**Predictive policing was secretly tested in New Orleans** (The Verge)

**“Academia must step up and educate lawmakers on regulating algorithms!”** (New York Times)

**“Everything we teach should be different from machines”** (Jack Ma)

## Global AI market in billion euros







**Artificial intelligence, quantum computing, 5G and the rise of the Internet of Things are just some of the emerging technologies that could aid cybercriminals in ways that could make them more dangerous than ever – and law enforcement must innovate quickly in order to help keep citizens safe.**

# What is at risk?

- ✓ **Right to private and family life**
- ✓ **Freedom of Expression**
- ✓ **Right to participate in democratic processes, such as elections, free from undue influences**

## Artificial intelligence

Whole discipline  
Including developments  
totally speculative



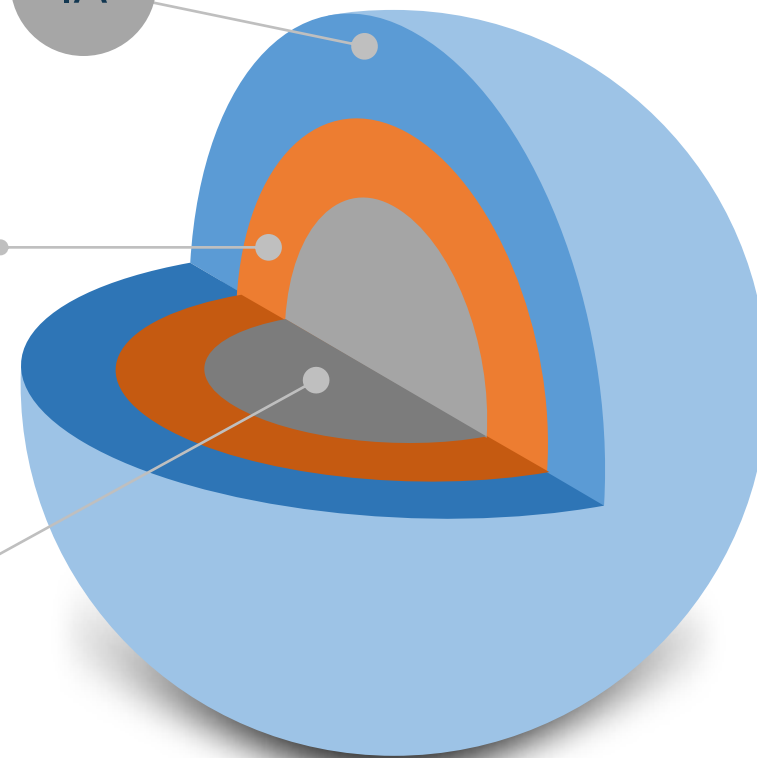
## Machine learning

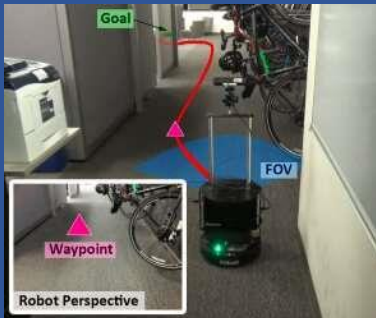
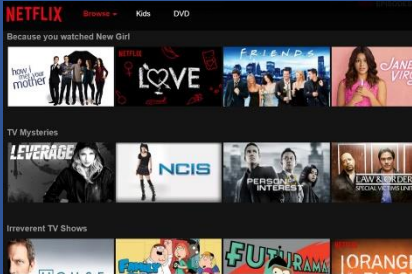
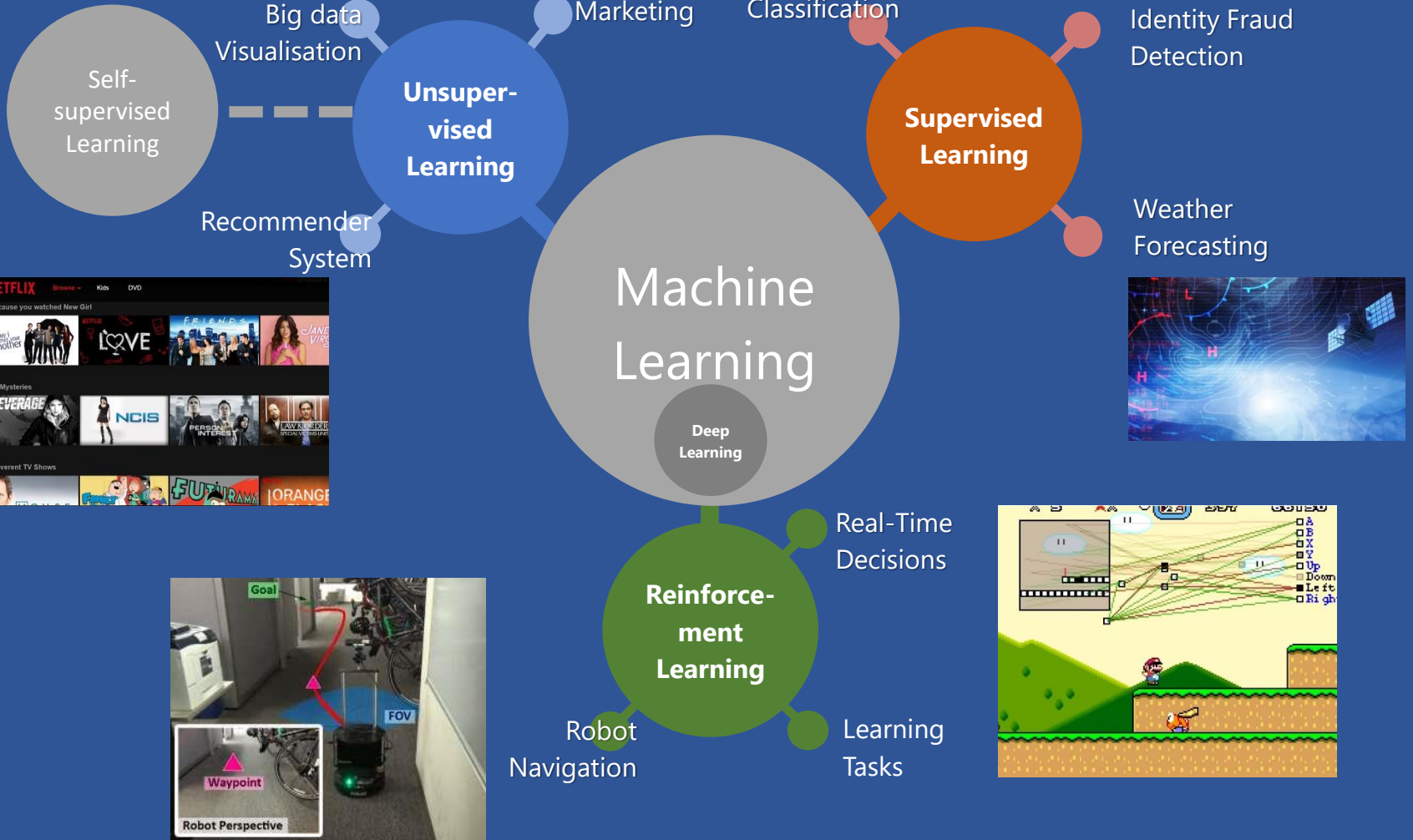
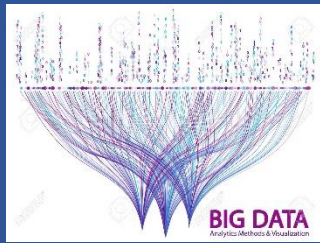
Represents a given environment  
by statistical techniques



## Deep learning

Machine Learning Category,  
based on multiple levels of  
neural networks







A set of sciences, theories and techniques whose aim is to make a machine imitate the cognitive capacities of a human being.

Council of Europe's website:  
<http://www.coe.int/ai>



Artificial intelligence (AI) systems are **software** (and possibly also hardware) systems designed by humans that, given a complex goal, act in the physical or digital dimension by **perceiving** their environment through data acquisition, **interpreting** the collected structured or unstructured data, **reasoning** on the knowledge, or processing the information, derived from this data and deciding the best action(s) to take to achieve the given goal.

European Commission independent  
High Level Expert group on AI

**Conclusion:** Artificial intelligence is an umbrella term for many algorithmic applications





## Example of AI tools

- Contact tracing
- Biometric and facial recognition
- Predictive policing
- Algorithmic decision-making or support

## Example of domains

- Law enforcement, justice,
- Human Resources management
- Healthcare
- Public services





**Contact Tracing Apps** are being used in 23 countries

**Alternative digital tracking measures** are active in 22 countries

**Physical surveillance technologies** are in use in 10 countries

**COVID-19-related censorship** has been imposed by 12 governments

**Internet shutdowns** continue in 4 countries despite the outbreak

**There are currently 43 contact tracing apps** available globally

India's Aarogya Setu is the most popular, with 50 million downloads

28% of apps have no privacy policy

64% of apps use GPS rather than Bluetooth



## Fundamental rights to be protected:

- ✓ Data protection and privacy
- ✓ Freedom of association
- ✓ Freedom of movement
- ✓ Right to health

## Principles for “democratic tracing” applications:

- ✓ Democratic participation
- ✓ Voluntary base, stimulating engagement
- ✓ Decentralised data, anonymised databases
- ✓ Privacy by design and by default
- ✓ Measures limited in scope and in time
- ✓ Fairness and non-discrimination
- ✓ Critical assessment by the governments

## Articles 5 & 6 of the ECHR:

Right to liberty and security, Right to a fair trial

### Two Petty Theft Arrests



*Borden was rated high risk for future crime after she and a friend took a kid's bike and scooter that were sitting outside. She did not reoffend.*

**Predictive  
policing**



Risk of  
strengthened  
discrimination

**Risk-  
assessment  
tools in  
criminal  
matters**



Discrimination,  
resurgence of  
determinism and lack  
of an individualised  
approach to  
sentencing, breach of  
equality of arms...

**Predictive  
justice**



Risks of undermining  
judicial impartiality

## Article 8 of the ECHR: Respect for private and family life, residence and correspondence



**AI-driven mass surveillance**



Chilling effect  
Link with other freedoms: religion, expression & association

**“Emotion detection” for employment, security and education**



Lacks scientific basis but employed in areas related to individual self-development (education, employment)

**Processing of biometric data**



Under the GDPR, for identification but not for categorisation nor profiling

## Article 10 of the ECHR: Freedom of expression



Content selection and  
"filter bubbles"

"Deep fakes"

Removal of extremist  
content

## POLICY AREAS



- ✓ Data processing and human rights
- ✓ **Use of AI by the Judiciary**
- ✓ Criminal law implications of AI
- ✓ **Bioethics and AI**
- ✓ Environment
- ✓ **Education**
- ✓ Gender equality, Youth
- ✓ **Children**, Anti-discrimination
- ✓ Culture, Eurimages
- ✓ **Elections**
- ✓ Freedom of expression

European Ethical Charter  
on the use of artificial  
intelligence (AI) in judicial  
systems and their  
environment

## 5 principles

- ✓ Principle of **respect of fundamental rights**
- ✓ Principle of **non-discrimination**
- ✓ Principle of **quality and security**
- ✓ Principle of **transparency, neutrality and intellectual integrity**
- ✓ Principle **“under user control”**





This study, entitled "**Discrimination, Artificial Intelligence and Algorithmic Decision Making**", prepared by Professor Frederik Zuiderveen Borgesius for the Council of Europe Anti-Discrimination Department, addresses the risks of discrimination caused by algorithmic decision-making and other types of artificial intelligence.



## CURRENT FOCUS



## NEW PRIORITIES

Promote **Guidelines on Artificial Intelligence** and data protection

Ensure **ratification of the amending protocol** of Convention 108 +

Set guidelines on safeguarding privacy in the fields of:

- **facial recognition**
- **national education systems**
- **abuse of electoral data**
- **profiling**



## Recommendation on the human rights impacts of algorithmic systems

**Obligations of States:** The process of drafting, enacting and evaluating policies and legislation or regulation applicable to the design, development and ongoing deployment of algorithmic systems should be transparent, accountable and inclusive.

**Responsibilities of private sector actors:** Private sector actors engaged in the design, development, sale, deployment, implementation and servicing of algorithmic systems, whether in the public or private sphere, must exercise due diligence in respect of human rights.

## Parliamentary Assembly



## Upcoming Reports

- **The role of AI in policing** and criminal justice systems
- **Preventing discrimination** caused by the use of artificial intelligence
- **Need of democratic governance** of AI
- **The human being-machine connection**: the rights or new threats to fundamental freedoms ?



AI can be regulated by:

- ✓ hard law
- ✓ soft law and ethical frameworks

## Hard law

### Examples of binding legislation

- **Universal Declaration of Human Rights**
- International Covenant on Civil and Political Rights
- **International Convention on the Elimination of All Forms of Racial Discrimination**
- Convention on the Elimination of All Forms of Discrimination against Women
- **Convention for the Protection of Human Rights and Fundamental Freedoms**
- Charter of Fundamental Rights of the European Union

### Limitations

- ✓ **Not specific to AI**
- ✓ **Length of proceedings in courts** – need of expertise, potential for divergent jurisprudence
- ✓ **Do we want to assume the risk of damage to regulate?** (cf. regulation of the internet)

## Soft law and ethical frameworks examples

- **Council of the European Union:** Artificial Intelligence for Europe
- **Independent High-Level Expert Group on Artificial Intelligence** set up by the European Commission: Ethics guidelines for trustworthy AI and Policy and Investment Recommendations
- **G20:** Human-centered Artificial Intelligence
- **OECD:** Principles on AI and Recommendation of the Council on Artificial Intelligence
- **UNESCO:** Preliminary study on the technical and legal aspects relating to the desirability of a standard-setting instrument on the ethics of artificial intelligence

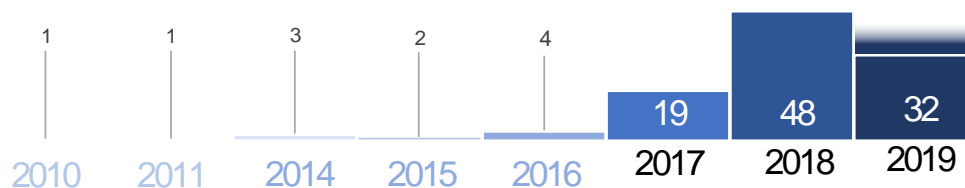
### Limitations

- ✓ **Significant efforts** to set a framework for AI design with non binding principles
- ✓ **No oversight** mechanism
- ✓ **No translation for AI engineers** (how to code dignity?)
- ✓ **Same principle could be interpreted** in many different ways

## Analysis of 84 guidelines

- ✓ No single common principle
- ✓ Eleven overarching ethical values and principles have emerged: the most frequent ones are **transparency, justice and fairness, non-maleficence, responsibility and privacy.**
- ✓ Guidelines tend to agree on some of these generic principles but they sharply disagree over the details of what should be done in practice.

Number of AI frameworks per year



## Conclusion

- ✓ There are many existing frameworks on AI (binding and non-binding)
- ✓ There is room for a new legal framework on AI

### CAHAI



Ad hoc  
Committee  
on Artificial  
Intelligence

- Intergovernmental Committee set up in September 2019
- Mandate delivered by the Committee of Ministers until 31 December 2021

To examine, on the basis of broad multi-stakeholder consultations, the **feasibility** and potential elements of a **legal framework** for the development, design and application of artificial intelligence, **based on Council of Europe standards** in the field of human rights, democracy and the rule of law



### CAHAI



Ad hoc  
Committee  
on Artificial  
Intelligence

- Representatives designated by the 47 **member States**
- Representatives designated by the 5 **observer States** (Canada, Holy See, Japan, Mexico, United States)
- Other bodies and sectors of the Council of Europe
- Other **regional** and **international organisations** (European Union, OECD, United nations, etc.)
- **Private sector** (partnership with digital companies)
- **Civil society** and **academia**

## Integrated approach

### Various Council of Europe's bodies and sectors

Vertical and specialised focus

E.g. Justice, Health, Democracy, Freedom of expression, etc.

All types of legal instruments, based on / feed into CAHAI's definitions and principles



### CAHAI

Horizontal and transversal approach

Definitions and core principles

*Type of legal instrument to be defined*

**01**

Mapping &  
Feasibility

**02**

Consultation

**03**

Regulation

CAHAI roadmap



**01**

Mapping &  
Feasibility

Identify possible gaps in applicability and enforceability of existing frameworks, proposing different types of legal instruments

### CAHAI



Ad hoc  
Committee  
on Artificial  
Intelligence

### First conclusions

- **Risks of lobbying** by some digital companies to prevent regulation
- Risks of multiple and non compatible national regulations
- **Development of AI needs trust:** trust cannot be decreed, but must be proven
- **Council of Europe** is the organisation that could bring the « brick » of regulation to create trust based on human rights, democracy and the rule of law

Thanks for your  
attention !



further resources:

[www.coe.int/freedomofexpression](http://www.coe.int/freedomofexpression)

[www.coe.int/dataprotection](http://www.coe.int/dataprotection)

[www.coe.int/cybercrime](http://www.coe.int/cybercrime)

[www.coe.int/AI](http://www.coe.int/AI)

Facebook Page

**Information Society Group**

 Albania - Albanie Tirana	 Estonia - Estonie Tallinn	 Lithuania - Lituanie Vilnius	 San Marino - Saint-Marin San Marino - Saint-Marin
 Andorra - Andorre Andorre-la-Vieille Andorre-la-Vieille	 Finland - Finlande Helsinki	 Luxembourg Luxembourg	 Serbia - Serbie Belgrade
 Armenia - Arménie Yerevan - Erevan	 France Paris	 Malta - Malte Valletta - La Vallette	 Slovakia - Slovaquie Bratislava
 Austria - Autriche Vienne - Vienne	 Georgia - Géorgie Tbilisi - Tbilissi	 Republic of Moldova - République de Moldova Chişinău	 Slovenia - Slovénie Ljubljana
 Azerbaijan - Azerbaïdjan Baku - Bakou	 Germany - Allemagne Berlin	 Monaco Monaco	 Spain - Espagne Madrid
 Belgium - Belgique Brussels - Bruxelles	 Greece - Grèce Athens - Athènes	 Montenegro - Monténégro Podgorica	 Sweden - Suède Stockholm
 Bosnia and Herzegovina Bosnie-Herzégovine Sarajevo	 Hungary - Hongrie Budapest	 Netherlands - Pays-Bas Amsterdam	 Switzerland - Suisse Bern - Berne
 Bulgaria - Bulgarie Sofia	 Iceland - Islande Reykjavik	 Norway - Norvège Oslo	 "The former Yugoslav Republic of Macedonia" "L'Ex-République yougoslave de Macédoine" Skopje
 Croatia - Croatie Zagreb	 Ireland - Irlande Dublin	 Poland - Pologne Warsaw - Varsovie	 Turkey - Turquie Ankara
 Cyprus - Chypre Nicosia - Nicosie	 Italy - Italie Rome	 Portugal Lisbon - Lisbonne	 Ukraine Kyiv - Kiev
 Czech Republic - République tchèque Prague	 Latvia - Lettonie Riga	 Romania - Roumanie Bucharest - Bucarest	 United Kingdom - Royaume-Uni London - Londres
 Denmark - Danemark Copenhagen - Copenhague	 Liechtenstein Vaduz	 Russian Federation - Fédération de Russie Moscow - Moscou	

