

PGG II - Ukraine: Strengthening measures to counter money laundering and financing of terrorism

| Planned | |
|-------------|--|
| Postponed | |
| Implemented | |
| On-going | |
| Cancelled | |

| | | | | | | Cancelled | | | | | | | | | | | | | | | | | | | |
|--|--------------|--|--|---|--|---------------------|---|-------|----------------|--|---------|-------------|-----------|-------------|------|---------|-------|------------------|--|--|---------------------------------------|--------|--|-----------|------------------|
| Overall objective | Outcome i | indicators | Status Sources of verification | Assumption | /Risks | Total cost | | | 20 | 019 | | | | | | 2020 | | | | | | | 2021 | | |
| To strengthen legislative and | - National I | legislation in line with international standards; | - EU Annual Progress Reports | - Political stability; | | 500,000 EURO | Jan Feb Mar | Apr N | lay Jun | Jul Au | ug Sep | Oct Nov Dec | Jan Feb N | 1ar Apr | May | Jun Jul | Aug S | Sep Oct | Nov Dec | Jan Feb | Mar Apr | May | Jun Jul | Aug Sep | Oct Nov Dec |
| institutional frameworks to counter | - Level of c | compliance with relevant MONEYVAL recommendations; | - MONEYVAL reports | - Political will and continuity to carry out ref | forms; | | | | | | | | | | | | | | | | | | | | |
| money laundering (ML) and terrorism | - Level of e | effectiveness of AML/CFT supervisory process enhanced; | - Official reports/statistics of key | - Willingness of national authorities to adap | ot policies in line with applicable | | | | | | | | | | | | | | | | | | | | |
| _ : : | | s to verify beneficial ownership information enhanced; | institutions | international standards and good practices; | | | | | | | | | | | | | | | | | | | | | |
| | - Capacitie | s to investigate and adjudicate economic crimes strengthened | - Project progress and activity | - National authorities continue to pursue the | e policy of integration and comply | | | | | | | | | | | | | | | | | | | | |
| and other international standards | | | 1 ' | with commitments | | | | | | | | | | | | | | | | | | | | | |
| | | | - Inventory of technical papers | | | | | | | | | | | | | | | | | | | | | | |
| | | | prepared through the project | | | | | | | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | | | | | | | | | | |
| Outcome No. 1 | | Outcome indicators | Status Means of verification | Risks and Assumptions | Target Description | Target Value (2019) |) Ian Feb Mar | Apr M | lav lun | Jul Au | ug Sen | Oct Nov Dec | Ian Feb M | lar Apr | May | lun lul | Aug 9 | Sen Oct | Nov Dec | lan Feb | Mar Apr | May | lun lul | Aug Sen | Oct Nov Dec |
| Legislative framework on AML/CFT | - Recomme | endations for amendments to AML/CFT laws in line with international and | - Project progress and activity | - Political will to pass relevant legislation | -Technical papers available | 1 technical paper/1 | , | | , Jun | 7.0 | ag jock | 1000 1000 | 100 | 101 / 1p1 | inay | | 71.08 | | | | / / / / / / / / / / / / / / / / / / / | iolay | | riag Joep | |
| aligned to European and | | standards available; | | continues to exist; | providing legal opinion and | workshop | | | | | | | | | | | | | | | | | | | |
| international standards | | | - MONEVAL reports | - Will exists in all beneficiary institutions to | recommendations to align | | | | | | | | | | | | | | | | | | | | |
| | | | - Reports of key national | effectively cooperate and absorb CoE | AML/CFT legislative framework | | | | | | | | | | | | | | | | | | | | |
| | | | I I | project deliverables | with international standards and | | | | | | | | | | | | | | | | | | | | |
| | | | - Reports by partner institutions | | good practices | | | | | | | | | | | | | | | | | | | | |
| | - Level of u | ptake of recommendations in the draft legislation | | | recommendations proposed by | at least 50% of | | | | | | | | | | | | | | | | | | | |
| | | | | | the Project to strengthen | recommendations | | | | | | | | | | | | | | | | | | | |
| | | | | | AML/CFT legal acts and | taken on board | | | | | | | | | | | | | | | | | | | |
| | | | | | regulations | | | | | | | | | | | | | | | | | | | | |
| Outputs | Activities | | Progress Inputs/Actions | Beneficiary Institutions | Target Groups | Numbers: F/ M | Jan Feb Mar | Apr N | lay Jun | Jul Au | ug Sep | Oct Nov Dec | Jan Feb N | 1ar Apr | May | Jun Jul | Aug S | Sep Oct | Nov Dec | Jan Feb | Mar Apr | May | Jun Jul | Aug Sep | Oct Nov Dec |
| Output 1.1. | 1.1.1 | Provide assistance in drafting legislative proposals to align Ukraine's | - Expert opinion/legal review | | | N/A | | | | | | | | | | | | | | | | | | | |
| | | AML/CFT legal framework (including Criminal Code of Ukraine, Code of | - Legal proposals/technical | | Policy makers, Financial | | | | | | | | | | | | | | | | | | | | |
| Legislative proposals available to | | Criminal Procedure of Ukraine, Special Confiscation law, Tax code, Trust | | Policy makers, Financial Intelligence Unit, | Intelligence Unit, relevant | | | | | | | | | | | | | | | | | | | | |
| address gaps identified in MONEYVAL | | Regulations etc.) more closely with the FATF Recommendations | | relevant Parliamentary Committee(s) | Parliamentary Committee(s) | | + + + | + | | | | | | | | | | | | | | | | | |
| evaluation | 1.1.2 | Organise workshop/roundtable for policy makers on legislative proposals | - Workshops/roundtables | | | | | | | | | | | | | | | | | | | | | | |
| Outcome No. 2 | | Outcome indicators | Status Means of verification | Risks and Assumptions | Target Description | Target Value (2019) |) Jan Feb Mar | Apr N | lay Jun | Jul Au | ug Sep | Oct Nov Dec | Jan Feb N | 1ar Apr | May | Jun Jul | Aug | Sep Oct | Nov Dec | Jan Feh | Mar Apr | May | Jun Jul | Aug Sen | Oct Nov Dec |
| Comprehensive measures on | - Level of | application of Risk based approach to supervision of non-bank institutions, | | - Beneficiary institutions committed to | - Staff of competent authorities | | | | | | | | 1 1 1 | | | | | | | | | 1330.7 | | | |
| prevention and detection of money | DNFBPs an | nd NPOs | | adopting and applying recommended | trained and provided with tools | | | | | | | | | | | | | | | | | | | | |
| laundering/terrorist financing | | | | measures and guidance | for improved compliance levels | | | | | | | | | | | | | | | | | | | | |
| | | | | - High-level commitment exists to prosecute | e for non-bank institutions, DNFBPs | s | | | | | | | | | | | | | | | | | | | |
| | - Decrease | in the level of staff turnover at the FIU | | money laundering and pursue deterrent | -FIU staff trained in accordance | N/A | | | | | | | | | | | | | | | | | | | |
| | | | | confiscation - Beneficiary institutions committed to | with personnel development | | | | | | | | | | | | | | | | | | | | |
| | | | | applying acquired expertise and tools to | plans | | _ | | | | | | | | | | | | | | | | | | |
| | | which financial investigation methods and non-conviction based confiscation | | effectively investigate and prosecute ML | - Practitioners are trained on the | N/A | | | | | | | | | | | | | | | | | | | |
| | regime are | e applied | | - FIU continues to implement the personnel | use of parallel financial investigations in ML cases and | | | | | | | | | | | | | | | | | | | | |
| | | | | development plans | non-conviction based confiscation | | | | | | | | | | | | | | | | | | | | |
| | | | | - FIU provided with sufficient financial | | | _ | | | | | | | | | | | | | | | | | | |
| | | ory and Continuous education on ML/TF investigations and prosecutions | | resources to upgrade staff remuneration | - National training facilities | at least 1 training | | | | | | | | | | | | | | | | | | | |
| | available | | | - High-level commitment exists to prosecute money laundering and pursue deterrent | 1 , | course developed | | | | | | | | | | | | | | | | | | | |
| | | | | confiscation | and continuous training on investigations and prosecutions o | of l | | | | | | | | | | | | | | | | | | | |
| | | | | - Beneficiary institutions committed to | MI /TE | " | _ | | | | | | | | | | | | | | | | | | |
| | | perational cooperation among competent authorities in the area of | | applying acquired expertise and tools | - Competent authorities | N/A | | | | | | | | | | | | | | | | | | | |
| | prevention | and counteraction to proliferation financing | | | implement recommendations | | | | | | | | | | | | | | | | | | | | |
| Outputs | Activities | | | Beneficiary Institutions | Target Groups | Numbers: F/ M | Jan Feb Mar | Apr N | lay Jun | Jul Au | ug Sep | Oct Nov Dec | Jan Feb N | lar Apr | May | Jun Jul | Aug S | Sep Oct | Nov Dec | Jan Feb | Mar Apr | May | Jun Jul | Aug Sep | Oct Nov Dec |
| Output 2.1 | | Training on financial investigations and confiscation of proceeds from crime | _ | Law enforcement, judiciary and Academy | Investigators, prosecutors, judges | S | | | | | | | | | | | | | | | | | | | |
| Deinfersing conscition in financial | | for law enforcement and judiciary | - Training materials | for Financial Monitoring, NSJ | | | | | | | | | | | | | | | | | | | | | |
| Reinforcing capacities in financial investigations and investigative | 2.1.2 | Training for law enforcement agencies on FATF standards on ML | -Training; | Law enforcement, judiciary and Academy | Representatives of LEAs | | | + | | | | | | | | | | | | | | | | + + - | |
| techniques and enhancing | 2.1.2 | criminalisation and Ukraine's obligations under the Warsaw Convention | 1 5 | for Financial Monitoring | Representatives of LEAS | | | | | | | | | | | | | | | | | | | | |
| information sharing for the law | | | | | | | | | | | | | | | | | | | | | | | | | |
| enforcement authorities | 2.1.3 | Development of training programme for the National Academy of PPO | - Introductory training curriculum | National Academy of Public Prosecutor's | Prosecutors | | 1 | | | | | | | | | | | | | | | | | | |
| | | (NAPU) on investigation and prosecution of the ML/FT cases | and manual on ML/TF | Office | | | | | | | | | | | | | | | | | | | | | |
| | | | investigations and prosecution | | | | | | | | | | | | | | | | | | | | | | |
| | | | - Continuing education | | | | | | | | | | | | | | | | | | | | | | |
| | | | curriculum and manual on ML/TF investigation and prosecution | | | | | | | | | | | | | | | | | | | | | | |
| | | | - 4 Trainings (2 pilot and 2 ToTs) | | | | | | | | | | | | | | | | | | | | | | |
| | 2.1.4 | Totale of Figure in a fitnessian data still and investigation | | Consider Complete of Illumina FILL and | Danier and Alice of CCU TILL ATAM | . 1 | | + | | | | | | | - | | | | | | | | | 1 | |
| | 2.1.4 | Training on Financing of Terrorism detection and investigation | 1 | Security Service of Ukraine, FIU and Academy for Financial Monitoring | Representatives of SSU, FIU, AFM | ' | | | | | | | | | | | | | | | | | | | |
| | 2.1.5 | Support the Inter-agency working group in the development of financial | - Available ToT programme on | FIU, Law enforcement agencies | FIU, Law enforcement agencies | + | + + + | + | - | + + | | | | | + | | + | - - | | | | | | + + - | |
| | | investigation guidelines | financial investigations | , and amore ment agencies | , zan emoreement agencies | | | | | | | | | | | | | | | | | | | | |
| | | | - Workshop | | | | | | | | | | | | | | | | | | | | | | |
| | 2.1.6 | Training on detection and investigation of Money Laundering | -Training; | National Police and FIU | Detectives, investigators | | 1 | 7 1 | | | | | | | | | | | | | | | | | |
| | | | - Training materials | | | | | | | | | | | | | | | | | | | | | | |
| | 2.1.7 | Assessement of existing statistical reporting on AML/FT, providing | 1 | FIU, Law enforcement agencies | FIU, Law enforcement agencies | | | | | | | | | | | | | | | | $\begin{bmatrix} 1 \end{bmatrix}$ | | | | |
| | | recommendations on set of statistical indicators, to facilitate | - Round table | | | | | | | | | | | | | | | | | | | | | | |
| | | implementation of a periodically updated national statistical reporting | | | | | | | | | | | | | | | | | | | <u> </u> | | | | |
| Output 2.2 | 2.2.1 | Preparation of personnel development plans for FIU staff | - Needs assessment | | | | | | | | | | | | | | | | | | | | | | |
| | | | - Personnel development plans | | | | | | | | | | | | | | | | | | | | | | |
| Strengthened capacities of the | | | |] | | | | | | | | | | | | | | | | | | | | | |
| Financial Intelligence Unit | 2.2.2 | Provision of capacity building per FIU personnel development plan | -Training; | | | | | | | | | | | | | | | | | | | | | | |
| | | | - Training materials | | | | | | | | | | | | | | | | | | | | | | |
| I | | <u> </u> | <u> </u> | J | 1 | | | | | | | | | | | | | | | | | | | | |

| April Continue C | | 2.2.3 | Provide expert assistance in elaborating/amending internal regulations and instructions of the FIU | - Technical papers with review and recommendations on internal regulations/instructions - Expert meeting(s) | FIU | FIU | | | | | | | | | | | | | | | | | | |
|--|--|------------|--|--|--|---|-------------------------------|-------------|---------|----------|------------|----------|---------|---------|-----------|------------|-----|-------------|---------|---------|--------|--------------------|------------|-----------|
| Mark | | 2.2.4 | the appropriate analytical software to track source of funds and further | - Assessment report | | | | | | | | | | | | | | | | | | | | |
| State Stat | | 2.3.1 | institutions, DNFBP and NPOs supervisory authorities through training and | -Training for supervisory | institutions, DNFBPs and NPOs, Ukrainian | • | | | | | | | | | | | | | | | | | | |
| March Marc | AML/CFT measures and supervision for non-bank financial institutions and designated non-financial businesses | | Raising awareness of obliged entities in the application of AML/CFT | | | Representatives of obliged entities | | | | | | | | | | | | | | | | | | |
| Part | Strengthening the process of cooperation in the area of prevention | 2.4.1 | Assist in the identification of National proliferation financing indicators | recommendations for indicators | SFS, State Service of Export Control (SSoEC), | enforcement and intelligence | | | | | | | | | | | | | | | | | | |
| Part | · | | | -Workshop | SFS, State Service of Export Control (SSoEC), SBGS (State Border Guard Service), FIU, | enforcement and intelligence agencies, AML/CFT supervisors | | | | | | | | | | | | | | | | | | |
| See | · | | at prevention of terrorist financing | - Training materials | | FIU, SSU | | | | | | | | | | | | | | | | | | |
| Significant internal summany surprise personal in Controller surprise for Controller Significant in Controller Significant | Non-Profit Organisation (NPO) | | | review and recommendations | State Fiscal Service of Ukraine, FIU, SSU | • | | | | | | | | | | | | | | | | | | |
| Secretary in process of the process | | | | | Risks and Assumptions | Target Description | Target Value (2019) | Jan Feb Mai | r Apr N | /lay Jun | Jul Aug Se | p Oct N | lov Dec | Jan Feb | Mar Apr M | ay Jun Jul | Aug | Sep Oct Nov | Dec Jan | Feb Mar | Apr Ma | y Jun Jul A | Aug Sep Oc | t Nov Dec |
| Part | framework enforcing beneficial | | d beneficial ownership regulation pursuant to CoE recommendations and | - Follow-up MONEYVAL report | 1 | recommendations by the project on strengthening the transparency of beneficial | IN/A | | | | | | | | | | | | | | | | | |
| Migration Migr | | | | | | - Inter-agency meetings facilitating cooperation between relevant institutions in | · ' | | | | | | | | | | | | | | | | | |
| Consist England and subject of the region of | · | 1 | | - Legal opinion with | | | | Jan Feb Mai | r Apr N | /lay Jun | Jul Aug Se | ep Oct N | lov Dec | Jan Feb | Mar Apr M | ay Jun Jul | Aug | Sep Oct Nov | Dec Jan | Feb Mar | Apr Ma | y Jun Jul A | Aug Sep Oc | t Nov Dec |
| ## 13 Provide passes on the provide provided in control to be provided by the provided provi | operational regime for verification of | | Assessment of the operational capacity of the verification system of | | Ministry of Justice, NBU, SFS, FIU, Bar | Ministry of Justice, NBU, SFS, FIU | N/A | | | | | | | | | | | | | | | | | |
| Status Means of verification Status Status Means of verification Status Means of verification Status Means of verification Status Means of verification Status Status Means of verification Status Means of verification Status S | | 3.1.3 | in establishing the BO Information Register | - Inter-agency meetings/Workshop | Assosiation | | N/A | | | | | | | | | | | | | | | | | |
| Commission of Cases Cases Cases Cases Cases Cases Cases Cases Cases | | 3.1.4 | entities | - | • | | | | | | | | | | | | | | | | | | | |
| Assist National School of Judges (NS) in development and delivery of the processing frame course for judges (PMS) in fraining ourse for judges of the Anti-Corruption Court judges from the National School of Judges to provide judicial training in relation to adjudication and funding against economic crimes under NABU investigative for the Value on adjudication and funding in relation to adjudication and adjudication and the Private funding against economic crimes under large funding against economic crime and the Private funding course for judges on adjudication of the Private funding course for judges on adjudication of the Private funding course for judges in Private funding special and private funding course for judges in Private funding c | Improved adjudication of cases | | us training on ML/FT related cases available and provided by the National | | - Trained judges are willing to apply | y - Three training manuals developed and associated trainings provided to the NSJ and | | Jan Feb Mai | r Apr N | May Jun | Jul Aug Se | ep Oct N | lov Dec | Jan Feb | Mar Apr N | ay Jun Jul | Aug | Sep Oct Nov | Dec Jan | Feb Mar | Apr Ma | y Jun Jul <i>A</i> | Aug Sep Oc | t Nov Dec |
| Improved capacities of the National School of Judges to provide judicial ruining in retaining against economic crime and delivery of the Training Academy of FMS in training 4.1.2 Assist NSI in development and delivery of the training course for judges on adjudication of the PT related cases (including spanish committed in MI. related cases (including special committed in MI. related cases (in | • | Activities | | | | | Numbers: F/ M | Jan Feb Mai | r Apr N | /lay Jun | Jul Aug Se | p Oct N | lov Dec | Jan Feb | Mar Apr M | ay Jun Jul | Aug | Sep Oct Nov | Dec Jan | Feb Mar | Apr Ma | y Jun Jul A | Aug Sep Oc | t Nov Dec |
| adjudication of the FT related cases 4.1.3 Assist NSJ in the review, development and delivery of the training course for judges on adjudication of the ML related cases (including special confiscation) National School of Judges, involvement of Judges on adjudication of the ML related cases (including special confiscation) Steering Committee Meeting Inception Report Annual Report Progress Report Annual Report Progress Report Annual Report Inception Process/Report Inception Proc | Improved capacities of the National School of Judges to provide judicial | | specialized training course for judges of the Anti-Corruption Court of Ukraine on adjudication of the economic crimes under NABU investigative | _ | _ | Anti-Corruption Court judges | | | | | | | | | | | | | | | | | | |
| judges on adjudication of the ML related cases (including special confiscation) Steering Committee Meeting Inception Report Inception Report Inception Progress Report Inculation Process/Report Inculation Process/Report Inception Process/Report Inculation Process/Report Inculat | criminal proceedings against | | | | _ | Judges | | | | | | | | | | | | | | | | | | |
| Inception Report | | 4.1.3 | judges on adjudication of the ML related cases (including special | _ | | Judges | | | | | | | | | | | | | | | | | | |
| Progress Report Annual Report Evaluation Process/Report | | • | • | <u> </u> | • | • | | Meeting | | | | | | | | | | | | | | | | |
| Annual Report | | | | | | | Inception Report | | | 1 1 | | | | | | | | | | | | | | |
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| | | | | | | | Progress Report Annual Report | | | | | | | | | | | | | | | | | |