



Strasbourg, 11 December 2006

MONEYVAL (2006) 26 rev2

**EUROPEAN COMMITTEE ON CRIME PROBLEMS
(CDPC)**

**COMMITTEE OF EXPERTS ON THE EVALUATION
OF ANTI-MONEY LAUNDERING MEASURES
(MONEYVAL)**

MEETING REPORT

of the 20th Plenary meeting

Strasbourg, 12-15 September 2006

NOTE: In accordance with the Council of Europe recent practice, meeting reports are public documents. The contact details do not appear anymore in the list of participants.

Secretariat Memorandum
prepared by
the Directorate General of Legal Affairs DG I

EXECUTIVE SUMMARY

During its 20th Plenary meeting, held in Strasbourg from 12 to 15 September 2006, the Committee MONEYVAL:

- Agreed that the MONEYVAL delegation to the FATF Plenary meeting in Vancouver (9-13 October 2006) would comprise representatives from Hungary, Malta, Monaco, Poland, Slovakia, the Chairman and the Secretariat;
- Continued the exchange of views on the organisation of the joint plenary with FATF to be held in Strasbourg in February 2007;
- Thanked delegations from Ukraine, USA, Malta, San Marino, Cyprus and the Netherlands who volunteered to participate in the Working Group on Typologies to be chaired by Robert Typa (Poland) and invited them to communicate to the Chairman (and the Secretariat) as soon as possible the names of experts who will be involved in this exercise;
- Heard information on anti money laundering issues in other fora;
- Heard and adopted the progress reports from Slovenia, Hungary and Serbia and invited them to inform the Committee within 3 months from the adoption of the progress reports on their publication;
- Heard information on AML/CFT issues in MONEYVAL countries;
- Discussed and adopted the 3rd round mutual evaluation report on Slovakia and its draft summary;
- Discussed and adopted the 3rd round mutual evaluation report on Latvia and its draft summary, as drafted by the IMF team as well as the report on compliance with the European Union anti-money laundering directives prepared by a MONEYVAL representative;
- Took note of the forthcoming evaluation visits in Croatia (26-30 September 2006) and Monaco (6-11 November 2006) and possibly of the mission to Moldova (December 2006);
- Took note of the forthcoming pre-meetings which will be organised in November with delegations from Poland and Lithuania;
- Agreed that at the 21st Plenary meeting the 3rd round reports of Poland and Lithuania would be considered and a progress report would be received from Bosnia and Herzegovina for consideration.

INTRODUCTION

1. MONEYVAL held its 20th Plenary meeting at the Council of Europe in Strasbourg from 12 to 15 September 2006, under the Chairmanship of Dr Vasil KIROV (Bulgaria).
2. The list of participants is appended to this report (Appendix I).

SUMMARY ACCOUNT OF THE PROCEEDINGS

Items 1 and 2 – Opening of the plenary meeting and adoption of the agenda

3. The meeting was opened by the Chairman following which the Committee adopted the agenda as it appears in Appendix II.

Item 3 – Information from the Chairman

4. The Chairman informed the Plenary of the positive results of the pre-meetings held in relation to the two draft reports which will be considered at this meeting.
5. As regards the compliance enhancing procedures, he reported that Armenia and Azerbaijan received a letter confirming the decision taken by the MONEYVAL Committee at its 19th Plenary meeting to retain Step 1 of the procedures and informing them that they should provide a report on its progress by 20 November 2006, for consideration at the next Plenary meeting.
6. The Committee was also informed that a letter was sent to the President of FATF to express the views of the MONEYVAL Committee on Plen.39Rev1 dealing with procedures in respect of which there are concerns in relation to international co-operation as agreed upon during the July plenary meeting.

Item 4 – Information from the Secretariat

7. The Secretariat informed the delegates that a pre-meeting with the Slovak authorities was organised in August 2006, following which the draft report was revised and circulated to the Committee members. As regards the report on Latvia, the IMF's pre-meeting started on 11 September and the report would be available shortly.

4.1. Agenda of evaluations and meetings for 2006

8. The Secretariat announced that evaluation visits will be carried out in Croatia (September 2006) and Monaco (November 2006). As regards Moldova, the specific questionnaire would be sent out in the week before the end of September, a mission being tentatively scheduled to take place in December.

4.2. Participation in other events

9. MONEYVAL received an invitation to send an evaluator to the first on-site evaluation of the Republic of Kyrgyzstan by the Eurasian Group on combating money laundering and financing of terrorism (EAG). It was anticipated that MONEYVAL could support them.

4.3. MONEYVAL evaluators

10. The Secretariat informed the Committee that the list of nominated evaluators who would be selected to participate in the training seminar organised by the FATF in Washington from 16-20 October 2006 would be finalised before the end of the Plenary meeting.

Item 5 – Associate Membership of FATF – arrangements for Vancouver meeting

11. The Secretariat thanked the delegations for the numerous nominations received for participation in the Vancouver meeting. In accordance with the decision taken at the last plenary, the MONEYVAL delegation would comprise representatives from Hungary, Malta, Monaco, Poland and Slovakia. The participation of the other countries which put forward nominations (Moldova, Romania and Ukraine) would be taken into consideration for the next FATF plenary meeting.

Item 6 – Joint Plenary with the FATF

12. The Secretariat informed the Committee that the agenda of the meeting could include the discussion and adoption of two reports, one from MONEYVAL and one from the FATF. The Georgian authorities had agreed to be one of the two countries to have its report discussed during this Joint Plenary. The MONEYVAL delegations' contributions to the meeting and participation in the various working groups were still to be decided, in the light of the final agenda.

Item 7 – Working Group on Typologies

13. The terms of reference of the Working Group chaired by Robert Typa (Poland) were circulated. The Committee was informed that Ukraine, the USA, Malta, San Marino, Cyprus and The Netherlands volunteered to join the Working Group on Typologies.
14. The Chair of the Working Group invited the Heads of these delegations to communicate the names of their experts with a view to constituting the group as soon as possible.

Item 8 – Information from the European Union

8.1. European Commission

15. The representative from the European Commission informed the Committee about the adoption of the Commission Directive 2006/70/EC on 1 August 2006 laying down implementing measures for Directive 2005/60/EC of the European Parliament and of the Council as regards the definition of "politically exposed person" and the technical criteria for simplified customer due diligence and for exemption on grounds of a financial activity conducted on an occasional or very limited basis (OJ L 214/29, 4.8.2006).

Politically Exposed Persons (PEPs)

16. The implementing measures (IM) in relation to PEPs involve 4 elements:
 - a) a definition of PEPs (IM, Article 2(1));
 - b) a definition of immediate family members of PEPs (IM, Article 2(2));
 - c) a definition of persons known to be close associates of PEPs (IM, Article 2(3)); and
 - d) the period PEPs are still considered to be a PEP, although having left office (IM, Article 2(4)).

The definition of PEPs includes roughly heads of government and ministers, members of parliament, members of high-level judicial bodies whose decisions in principle are not subject to further appeal, members of courts of auditors and high ranking officials.

Immediate family members include the spouse, any partner considered as equivalent to the spouse, the children and their partners and the parents.

Close associates of PEPs include a person who is known as having a joint beneficial ownership with a PEP and a person who is known operating in a construction as beneficial owner, but for the benefit of a PEP.

PEPs are still considered to be PEPs after having left office for a period of at least one year.

Situations of simplified due diligence

17. Article 11 of the 3rd EU anti-money laundering and anti-terrorist financing directive specifies 8 concrete situations of simplified CDD. Article 11(2) and (5) of the 3rd directive open the possibility of applying simplified CDD in additional situations. Article 3 of the IM specifies that Member States may additionally apply simplified CDD a) when a situation of low risk exists *and* b) when the criteria mentioned in Article 3(2) of the IM in relation to customers and Article 3(3) in relation to products have been fulfilled. There are no other situations of simplified CDD allowed.

Financial activity on an occasional or very limited basis

18. Article 4 of the IM, subject to strict criteria, aims to facilitate currency exchange on an occasional or very limited basis by such entities as hotels, camp sites, ferries and shops. Other derogations to the scope are not permitted.

8.2. Council of the European Union

19. The representative from the Council of the European Union informed the Plenary of the on-going work on the criteria for the listing and de-listing of persons in relation to freezing of assets. An EU-US Workshop will be organised on the transparency and fairness in listing and de-listing under the Finnish presidency in late September.

Item 9 – Information concerning anti money laundering initiatives in other fora

European Bank for Reconstruction and Development (EBRD)

20. A written report from EBRD was circulated.

FATF

21. The representative of FATF informed the Committee of the unanimous decision for holding a joint plenary meeting and the constructive dialogue in the preparation of a joint agenda.
22. He pointed out that the FATF had completed at their last plenary the evaluations of Denmark, United States and Spain and that 2 reports are available on the website, with the expectation that Denmark will soon agree to make its report public.
23. He informed the delegates that FATF had invited the Republic of Korea to join the Task Force as an observer, the first step on the path to full membership and as such to participate at the next FATF Plenary meeting in Vancouver.
24. He also welcomed the participation of 5 representatives from MONEYVAL to the Vancouver Plenary meeting and the nominations of participants to the forthcoming training seminar in Washington.
25. Finally, he invited interested delegations to attend the forthcoming Typologies meeting which would be organised in cooperation with the Eurasian Group in Shanghai from 28 to 30 November 2006.

Egmont Group

26. The process of institutionalisation of the Egmont Group continues. Besides the decision of location of the permanent Secretariat in Canada, it is expected that the Executive Director would be appointed before the end of 2006.

International Monetary Fund (IMF)

27. The Committee was informed that following organizational changes, AML/CFT activities were centralised within an operational Department which is structured

into regional teams and comprises more than 30 experts on AML/CFT issues.

28. The Executive Board in May 2006 called on IMF staff to cooperate more closely with the FATF and the FSRBs with a view to improving the quality of assessments. It authorised the possibility to involve in assessments as observer members of FSRBs and reiterated the importance of assessments under the Financial Sector Assessment Program (FSAP) or Offshore Financial Center (OFC).
29. The evaluation of Liechtenstein by IMF is scheduled for March 2007.
30. As regards technical assistance activities, it was brought to the attention of the delegates that Terry Donovan continues to be the focal point for liaising with MONEYVAL and its State members. 7 assistance missions were carried out and one national seminar. A regional seminar on IT technologies for FIUs is currently planned, as well as another regional seminar for the supervisors focusing on on-site inspections. Other tools under consideration include confidential risk assessments on AML/CFT and auditing services on the effectiveness of certain AML mechanisms.

World Bank/ United Nations Office on Drugs and Crime (UNODC)

31. As regards UNODC, a new model AML/CFT law will be posted on the website in Russian language.
32. The World Bank representatives proposed to contribute with names of experts for MONEYVAL evaluations in case of need.

Eurasian Group on Combating Money Laundering and Terrorist Financing (EAG)

33. The representative of World Bank and UNODC reported on behalf of the EAG. As regards developments in Central Asia, Uzbekistan and the Republic of Kyrgyzstan adopted AML legislation. Draft laws are currently being prepared in Kazakhstan and Tajikistan. Kyrgyzstan is the first country having set up an FIU, which now employs 20 staff members. Tajikistani authorities are currently working on defining the FIU's roles and responsibilities, and MONEYVAL experts were invited to contribute to the current work.
34. A monthly newsletter for Central Asia can be received by email in both English and Russian languages, and MONEYVAL members and observers were invited to contribute to it through short articles on AML/CFT issues.
35. EAG completed an extensive training programme of evaluators, supported by several institutions (World Bank, UNODC, IMF, etc).

Item 10 – Progress reports

36. The Plenary heard presentations from the delegations of Slovenia, Hungary and Serbia and discussed a number of clarifications and the latest developments in the light of the written progress reports submitted by these countries.
37. In accordance with its Rules of procedures, all three reports were adopted by MONEYVAL and delegations were informed that, countries are expected to send their agreement on publication within 3 months of receipt of the adopted report (i.e. by 15 December 2006).

Items 11 & 16 - Information on AML/CFT initiatives in other MONEYVAL countries (tour de table)

38. Albania: reported that it is currently redrafting its AML law and that it is in the process of receiving feedback on the draft text from all relevant institutions. It informed the Committee that its third round evaluation report is public and available on MONEYVAL's webpage.
39. Armenia: reported that IMF technical mission is revising the AML/CFT regime with a view to assisting in developing a package of amendments to be passed to Parliament in 2006-2007. A series of AML/CFT regulations are expected to be adopted soon. In relation to the Compliance Enhancing Procedure, the draft AML/CFT amendments are being circulated to the various agencies and progress will be reported at the next Plenary meeting. The FIU applied for Egmont Group membership, sponsored by Russia.
40. Azerbaijan: AML/CFT regulations of the Central Bank have been finalised and are expected to be adopted in the forthcoming week. Rules on cash transactions and stricter identification, as well as on the opening of bank accounts were amended. An AML/CFT law is expected to be adopted by the end of the year and will be followed by the setting up of the FIU.
41. Bosnia and Herzegovina: The State FIU is operational since the end of 2004. 17 persons were employed and there are plans to increase the number of staff to 40. The new analytical software has been installed and is now in the 2nd phase. The key issue remains the training of staff. The FIU received 130.000 reports and 140 cases were opened and 108.000 Euros blocked. 3 final judgements were rendered. 20 international information exchanges took place, including in relation to financing of terrorism cases.
42. Bulgaria: reported that the Criminal Code was amended. The definition of money laundering was changed. The Law on Credit Institutions was adopted and will enter into force in 2007. There is a new regulation on implementation of measures of the AML law covering CDD measures, beneficial owner definition, identification and verification issues. Finally, there are new rules of procedures of the FIU on enhanced supervision, focusing on DNFBPs.

43. Croatia: preparations for the 3rd evaluation round are underway. A CARDS twinning project with Austria on money laundering issues is on-going, with a very intensive schedule of trainings.
44. Cyprus: there are no significant changes reported. A meeting of the Advisory Committee on money laundering was held. The issue of transposing the 3rd Directive was discussed in conjunction with the third round evaluation report. The domestic legislation will be changed in relation to the regulation on control of cash and the Customs Directorate was assigned the task to prepare the relevant amendments.
45. Czech Republic: reported that they are in the process of implementing the 3rd EU directive. They participated in the Egmont Group meeting in Cyprus in June 2006. The analytical report on the work of the FIU was finalised and is available in English.
46. Estonia: informed that a MoU with Russia is about to be finalised and signed which will enable direct communication between FIUs. The new AML Commission within the Ministry of Finance held its 2nd meeting in August 2006. A new specialised department on preventing and combating money laundering and financial crimes was established. Finally, the twinning project with the Netherlands was extended and is expected to introduce an expertise center to assist also supervisory authorities.
47. Georgia: article 194 (legalisation of illicit income) of the Criminal Code was amended to introduce the criminal liability of legal persons. Article 331(1) criminalises terrorist financing. Article 389(1) was amended in July and covers trade in influence. One additional commercial bank was closed since the last evaluation and investigations are on-going. MoUs will be signed shortly with Croatia and Moldova.
48. Liechtenstein: no changes reported.
49. Lithuania: reported that pending the adoption of the evaluation report, the work on the draft law on the prevention of money laundering is still in final stages of preparation.
50. Malta: reported that the Government applied for FATF membership. New premises for the FIU were identified where it will move. A 3 years plan was submitted to the Ministry of Finance. The FIU concluded an agreement with the lotteries and gambling authorities which will conduct on-site inspection on behalf of the FIU and will report back to the FIU on findings.
51. Moldova: the law on the national institute of judges, initial and continuous training was adopted. A draft AML/CFT strategy and a new draft AML/CFT law

were drafted with assistance from the IMF. A regulation was amended to cover provision of feedback and issues in relation to PEPs. Guidelines were finalised for financial and non financial institutions to implement the UN resolutions.

52. Monaco: the discussions with the private sector were successful in June 2006. A Sovereign Order was adopted in August 2006 covering the identification of clients, the interdiction of anonymous accounts, third party measures, banking correspondents, interdiction of shell banks, specific measures on PEPs. Also a Sovereign Order on implementing measures in relation to the UNTOC Convention is now in force. Finally, the amendment to article 218 of the Criminal Code broadening the scope of the predicate offence for money laundering is pending with the National Council.
53. Poland: no changes reported.
54. Romania: reported that the amendments to the Criminal Code and Criminal Procedure Code (covering the criminal liability of legal persons, the conflict of interests, confiscation) are now in force. In July, the anti-money laundering secondary legislation for non-financial reporting entities was adopted by the Romanian FIU, as supervisory authority of these entities. A Working Group was set up to draft new legislation on gambling activities. New training plans are considered focusing on casinos.
55. Russian Federation: reported that preparations are underway for the 2007 evaluation by FATF and MONEYVAL. It participated in August 2006 in the Working Group of Eurasia and prepared the evaluation of the Republic of Kyrgyzstan. A technical assistance project with the Council of Europe (MOLIRU 2) is under preparation and is expected to start at the end of 2006.
56. San Marino: reported that the FIU received 12 STR and sent 1 case to judicial authorities. 5 requests for information were received from foreign FIUs. Ongoing works cover the preparation of a regulation for financial and non-financial institutions. MoUs are being prepared with the FIUs from Switzerland and Russia.
57. “The former Yugoslav Republic of Macedonia”: the 2 assistance projects are now finishing. The IT equipment was set up and should be operational by the end of September 2006. A new twinning project under CARDS 2005 was prepared for signature with Spain by the end of September 2006.
58. Ukraine: Guidelines for reporting entities, banks and financial institutions were issued with a directory of categories of suspicious transactions.

Items 12& 13 - Discussion on the draft third mutual evaluation report on Slovakia

59. The Secretariat introduced briefly the evaluation team members, the background

of the on-site visit and the results of the pre-meetings on the draft report, outlining the major changes to the report. He presented also the position taken in relation to recommendations 9, 18, 19, 23, 16, 20 SR III, SR VIII and SR IX, and the elements submitted for consideration by the Consistency Review Group. The evaluators presented a summary of their key findings.

60. The Head of the Slovakia delegation informed the Committee that the report reflects the situation as of May 2005. Through the adoption of the new Criminal Procedure Code a number of issues were already rectified and the general financial sector oversight is since January 2006 under the umbrella of the National Bank. She also expressed their satisfaction with the pre-meetings.
61. The three intervener countries were: Croatia (legal aspects), Cyprus (law enforcement aspects) and the Czech Republic (financial aspects). In discussions on the draft report on Slovakia, the interveners and the Plenary sought further clarification and information on the following issues:
 - The national action plan and its section on preventive measures on terrorism financing,
 - further details on the seizure regime, in particular regarding seizure of equivalent value assets and substitute assets,
 - details on confiscation and forfeiture from third parties,
 - the list of financial institutions as covered by the AML Law, in particular the National Bank, lending companies and all types of credit institutions,
 - the legal obligation of the internal audit and control unit of a bank to analyse and evaluate the AML regime and submit a report to the National Bank, as well as the obligation of an external auditor to report on AML issues,
 - the concept of unusual business activities,
 - the statistics in relation to money laundering (cases, convictions) as well as related opinion on the overall effectiveness of the AML/CFT system,
 - the powers of the FIU to receive information regarding transaction records and identification data from financial institutions.
62. As a result of the discussions, the draft report was amended so as to incorporate the relevant clarifications. The draft report and summary, as amended (and subject to consequential editorial changes by the Secretariat) were adopted.

Item 14& 15 - Discussion on the draft third mutual evaluation report on Latvia

63. In accordance with the procedures agreed by the MONEYVAL Committee and the IMF, Latvia was evaluated by an IMF expert team (composed of staff of the IMF) as part of its FSAP programme and by a representative of MONEYVAL (Dr. Vasil Kirov) as regards compliance with the EU anti-money laundering directives. Following the introduction of the team members, the IMF representatives presented briefly their key findings and major ratings. The Consistency Review Group provided written comments on recommendations 1,

3, 35, 38, 39 and SR II, SR III, SR V and SR IX as regards the legal and law enforcement aspects and on R5 (5.3, 5.5, 5.9), R11, R13, R22, R34 and SR IV as regards the financial aspects.

64. The three intervener countries were: Estonia (legal aspects), Georgia (law enforcement aspects) and Hungary (financial aspects). In discussions on the draft report, the interveners and the Plenary sought further clarifications and information on a series of issues, including:
- further detailing the analysis of compliance with R3 (e.g. whether all designated categories of predicate offences are covered by the confiscation regime and if the regime covers also the laundered property as corpus of the money laundering offence in case of a stand-alone ML prosecution; details on confiscation and forfeiture from third parties),
 - the inclusion of an analysis of compliance with criterion SR.III.11 in the report,
 - the application of the reversed burden of proof,
 - the seizure regime, in particular regarding seizure of equivalent value assets and substitute assets,
 - compliance with a foreign request for equivalent value seizure of untainted property,
 - mechanisms in place to control the cash transportation across the border,
 - absence of a reporting obligation for suspicious transactions in relation to terrorist financing,
 - further detailing in the text of the report and/or summary the assessment of compliance with criterion 5.2 and 5.3.
65. As a result of the discussions, the IMF advised that within their review procedures, the issues raised by the plenary would be taken into account so as to incorporate the relevant clarifications and issues raised by the plenary into the final report. On the basis of this assurance the draft report and summary, as to be amended were adopted. The annex to include the EC Directives was also adopted.

Item 18 - Joint Plenary with the FATF – further discussion

66. The Secretariat reported that discussions will continue in Vancouver on the agenda of the joint plenary, which should include on the first two days the FATF working groups meetings. A decision will be made during the next MONEYVAL Plenary regarding the attendance by MONEYVAL delegations at these working groups. The approach which would be presented for approval to the Vancouver FATF meeting would be, as far as possible, to open up items of the agenda on issues such as evaluation reports, compliance procedures, policy aspects of both bodies to each other so as to make this a truly joint plenary. As regards the discussion of the FATF and MONEYVAL reports, consideration might be given to reciprocal arrangements on the review groups for each of the 2 reports.

Item 19 – Further consideration of evaluators for 2006 on-site visits and list of evaluators requiring training

67. The Secretariat confirmed that a small number of MONEYVAL evaluators would take part in the training seminar organised in Washington in October 2006. The Bureau discussed the criteria for training and decided that a first priority is the training of nominated financial evaluators. Consequently a provisional list of evaluators was discussed in the Plenary, on the understanding that the final list would be agreed upon subject to the exact number of spaces available and finances confirmation.
68. Also, the Secretariat recalled that evaluators sent to Washington should commit to take part in an evaluation in 2007.

Item 20 - Finance and Staffing

69. The Secretariat informed the Committee that the budget for 2007 is under preparations and it should include funding for 4 plenary meeting and of a number of pre-meetings. Discussions are under way for a further secondment, hopefully bringing the possibility for at least 2 secondees in 2007.

Item 21 – Miscellaneous

70. Pre-meetings with Poland and Lithuania will be scheduled in November. If possible, pre-meetings will also be arranged for other pending reports before the end of 2006.
71. The Committee will also have to consider reviewing its policy on compliance enhancing procedures. The Secretariat will prepare a draft paper setting out policy options with the new format of progress report. The Plenary was informed that this paper would be circulated at the next meeting for additional reflection.
72. It was agreed that at the 21th Plenary meeting the 3rd round evaluation reports of Poland and Lithuania would be considered, as well as a progress report from Bosnia and Herzegovina.

APPENDIX I - LIST OF PARTICIPANTS / LISTE DES PARTICIPANTS

ALBANIA / ALBANIE

Mr Adriatik ISLAMAJ **Head of Delegation**
General Director, Financial Intelligence Unit (FIU)

Mr Alion CENOLLI
Director of International Affairs, Ministry of Justice, Blvd. ZOG 1, ALB - TIRANA

Mr Arben KRAJA
Prosecutor, Prosecutor General's Office, Organised Crime Department

ANDORRA / ANDORRE

Apologised / Excusé

ARMENIA / ARMENIE

Mr Armen KROYAN
Senior Prosecutor of Anti-Corruption Department, Prosecutor General's Office

Mr Armen MALKHASYAN
Methodologist, Financial Monitoring Center, Central Bank of Armenia

Mr Armen SANOYAN
Head of the Department of International Legal Relations, Ministry of Justice

AZERBAIJAN / AZERBAÏDJAN

Mr Ramil MAMMADOV
Chief Economist of the Anti-money Laundering Group, Banking Supervision Department

Mr Mehdi MEHDIYEV
Ministry of National Security, Parliament Avenue, 2, AZ – 1014 BAKU

Mr Anar SALMANOV
Senior Adviser, Department of Legislation, Ministry of Justice

BOSNIA AND HERZEGOVINA / BOSNIE-HERZÉGOVINE

Mr Sinisa KARAN **Head of Delegation**
Head of the Financial Intelligence Department (FIU), Ministry of Security

Ms Sandra MALESIC
Head of Department of Legislation and Harmonisation with the EU

Mr Samir OMERHODZIC
Director for Monitoring of Insurance Companies, B&H Insurance Agency

BULGARIA / BULGARIE

Mr Vasil KIROV

EVALUATOR FOR LATVIA

Director General, Financial Intelligence Agency

Head of Delegation

CHAIRMAN / PRÉSIDENT

Mr Evgeni EVGENIEV

Chief Expert, International Co-operation and Training Programs Division,
Financial Intelligence Agency

Ms Sonia KLISSARSKA

Chief Expert, National Service for Combating Organised Crime, Ministry of the
Interior

CROATIA / CROATIE

Mr Ivan PLEVKO

Deputy District Attorney, District Attorney's Office

Head of Delegation

Mr Damir BOLTA

Chief Inspector, Economic Crime and Corruption Department

Mr Goran SIROVEC

Deputy Head, Anti-Money Laundering Department, Ministry of Finance

CYPRUS / CHYPRE

Mr Theodoros STAVROU

Police Officer, Unit for Combating Money Laundering, Financial Intelligence Unit (FIU)

Mr Michalis STYLIANOU

Senior Officer, Banking Supervision and Regulation Division

CZECH REPUBLIC / RÉPUBLIQUE TCHÈQUE

Mr Jaromir NEUZIL

Head of International Co-operation Department, Financial Analytical Unit

Head of Delegation

Mr Stanislav POTOČEK

Public Prosecutor, Head of Department of Criminal Proceedings

Mrs Iva STROUHALOVA

Legal Expert, Banking Supervision, Czech National Bank

ESTONIA / ESTONIE

Mr Andres PALUMAA

EVALUATOR FOR SLOVAKIA

Financial Auditor, Business Conduct Supervision

Head of Delegation

Mr Kurmet OJAMAA
Head of Division, Entrepreneurship Division, Financial Policy Department

Mr Raul VAHTRA
Chief Superintendent, Head of Financial Intelligence Unit, Central Criminal Police

Ms Laura VAIK
State Prosecutor, Office of the Prosecutor General

FRANCE

Ms Stéphanie TALBOT
Adjointe au Chef du Bureau Système financier international et préparation des
Sommets,
Direction Générale du Trésor et de la politique économique, Ministère de l'Economie,
des Finances et de l'Industrie

GEORGIA / GEORGIE

Mr Nikoloz GEGUCHADZE
Head of the Financial Monitoring Service

Head of Delegation

Mr Giorgi CHANTURIDZE
Prosecutor of the Anti-Money Laundering Unit

Mr Irakli KOIAVA
Head of the Legal Department, Ministry of Justice

HUNGARY / HONGRIE

Mr Árpád KIRALY
Head of Department, EU and International Affairs,
Hungarian Financial Supervisory Authority (HFSA)

Head of Delegation

Ms Csilla ALFÖLDI
Financial Intelligence Unit (FIU), National Police Headquarters,

Mr Zsófia PAPP
Head of European Integration and Anti-Money Laundering Unit,
Ministry of Finance

Mr Peter SCHIFFER
Deputy Director General, Hungarian Financial Supervisory Authority (HFSA)

Ms Agnes VARGHA
Assistant Secretary of State, Head of Department, Ministry of Finance

LATVIA / LETTONIE

Mr Viesturs BURKANS
Head of the Office for Prevention of Laundering of Proceeds derived from criminal

Head of Delegation

activity, Prosecutor General's Office

Ms Indra GRATKOVSKA
Administrative and Criminal Justice Department

Ms Ina PANASOVA, Deputy Head of the Enterprise Register, Ministry of Finance

Mr Maris URBANS
Prosecutor General's Office

Mr Kaspars VALPETERIS
State Revenue Service, Financial Police, Ministry of Finance

Ms Daina VASERMANE
Chief Supervision Expert, Supervision Department

LIECHTENSTEIN

Mr Stephan OCHSNER
Chief Executive Officer, Financial Market Authority

Head of Delegation

Mr René BRUELHART
EVALUATOR FOR SLOVAKIA
Director, Financial Intelligence Unit (FIU)

Mr Lothar HAGEN
President of the Criminal Court, Fürstliches Landgericht

LITHUANIA / LITUANIE

Mr Liutauras ZYGAS
Chief Legal Adviser, Legal Division, Bank of Lithuania

Head of Delegation

Ms Diana BUKANTAITE
Chief Specialist, Department of International Law, Ministry of Justice

Mr Vilius PECKAITIS
Head of the Second Subdivision, Money Laundering Prevention Division,
Financial Crime Investigation Service, Ministry of Interior

MALTA / MALTE

Mr Herbert ZAMMIT LAFERLA
Director, Financial Stability Division, Central Bank of Malta

Head of Delegation

Mr Anton BARTOLO
Registrar of Companies and Director Corporate Services

Mr Michael CASSAR
Assistant Commissioner of Police, Police General Headquarters

MOLDOVA

Ms Oxana GISCA **Head of Delegation**
Principal Inspector, Office for Prevention and Control of Money Laundering,
Center for Combating Economic Crimes and Corruption

Ms Stela BUIUC
Legal Adviser, International Relations and European Integration Department

Mr Anatol PIRNAU
Public Prosecutor, Director, International Co-operation and European Integration
Department, Office of the Prosecutor General

Mr Valerii SIRCU
Head, Office for Prevention and Control of Money Laundering,
Center for Combating Economic Crimes and Corruption

MONACO

Mme Ariane PICCO-MARCOSSIAN **Head of Delegation**
Directeur, Service d'Information et de Contrôle sur les Circuits Financiers (SICCFIN)

Mme Danielle MEZZANA-GHENASSIA
Conseiller technique SICCFIN
Service d'Information et de Contrôle sur les Circuits Financiers

MONTENEGRO

Mr Predrag MITROVIC **Head of Delegation**
Director, Directorate for the Prevention of Money Laundering

Mr Vesko LEKIC
Head, Internal and International Co-operation Department,
Directorate for the Prevention of Money Laundering

Mr Ivan MASULOVIC
Head, Directorate of Criminal Police

NETHERLANDS / PAYS-BAS

Ms Anne-Chris VISSER
Senior Policy Adviser, Integrity Division, Financial Markets Policy Directorate,
Ministry of Finance

POLAND / POLOGNE

Mr Robert TYPA **Head of Delegation**
Minister Counsellor, Department of Financial Information

Mr Jacek BILEWICZ
Prosecutor, General Prosecutor's Office, Organised Crime Bureau

Mr Przemyslaw RABCZUK
Senior Specialist, General Inspectorate of Banking Supervision,
National Bank of Poland

ROMANIA / ROUMANIE

Mrs Alina BICA
Prosecutor Chief Service, General Prosecutor's Office,
High Court of Cassation and Justice

Mr Radu Liviu DUMITRU
Senior Member of the Board,
National Office for Prevention and Control of Money Laundering (NOPCML)

Mr Bogdan Mihai MARTIMOF
Senior Member of the Board,
National Office for Prevention and Control of Money Laundering (NOPCML)

Ms Catalina STROE
Counsellor, National Office for Prevention and Control of Money Laundering (NOPCML)

RUSSIAN FEDERATION / FÉDÉRATION DE RUSSIE

Mr German GONSO
Senior Prosecutor, Prosecutor General's Office

Mr Daniil MOKIN
First Secretary, Department for New Challenges and Threats,
Ministry of Foreign Affairs

Mr Stanislav SMOLYAR
Head of Section, International Co-operation Department,
Federal Financial Monitoring Service

Ms Yulia TORMAGOVA
EVALUATOR FOR SLOVAKIA
Deputy Head of Legal Department, Federal Financial Monitoring Service

SAN MARINO / SAINT-MARIN

Mr Nicola MUCCIOLI
Deputy Head of the Anti-Money Laundering Service,
Financial Intelligence Unit, Banca Centrale della Repubblica

Head of Delegation

SERBIA / SERBIE

Mrs Tatjana DJURASINOVIC
Head of Section for Internal and International Co-operation

Head of Delegation

Mr Vlatko BOZOVIC
Section for the Prevention of Money Laundering,
Department for Combating Financial Organised Crime

Mr Vladimir CEKLIC
Adviser, Section for harmonisation with legal acts with EU
Department for Normative Work and International Co-operation

Ms Silviya DUVANCIC GUJANICIC
Head of Division for Control of Payment and Exchange Operations,
National Bank of Serbia

Mr Goran KUPRESANIN
Adviser, Department for Supervision of Transactions in Financial Market,
Commission for Securities

Mr Carol MESHESKE
Resident Enforcement Adviser, United States Treasury Department

Mr Julije MILADINOVIC
Director, Department for the Prevention of Money Laundering

Mr Milovan MILOVANOVIC
Adviser, Section for National and International Co-operation,
Department for the Prevention of Money Laundering

Ms Lidija SMILJANIC
Assistant Minister of Finance, Foreign Currency Inspectorate

Ms Vesna STOJISAVLJEVIC
Project Coordinator, Enforcement Project, United States Treasury Department

SLOVAKIA / SLOVAQUIE

Ms Izabela FENDEKOVA
Supervisor, Banking Supervision Division, National Bank of Slovakia

Head of Delegation

Ms Andrea HAVELKOVA
International Co-operation Department, Financial Intelligence Unit (FIU)

Mrs Lucia KUNOVA
Financial Market Administration Department, Ministry of Finance

Mr Radovan MARAS
Prosecutor, Higher Military Prosecutor's Office

Mr Peter PALUDA
National Member for Slovakia at EUROJUST, Maanweg

Mrs Iva PAVLOVICOVA
Lawyer, EU and OECD Agenda Coordinator, Financial Market Administration Dept,
Ministry of Finance

Mr Ivan SNIRER
Head of International Co-operation Department, Financial Intelligence Unit (FIU)

Mr Jozef SZABO
Director of the International Department, Prosecutor General's Office,
Mr Mario TUCHSCHER
Lawyer, Obligated Entities Supervision Subdivision, Financial Intelligence Unit (FIU)

Mr Jan VYHNALIK
Banking Expert, Banking Supervision Division, National Bank of Slovakia

SLOVENIA / SLOVÉNIE

Mr Matej KRUMBERGER **Head of Delegation**
Deputy Director, Banking Supervision Department, Central Bank of Slovenia

Ms Aleksandra CARGO
Head of Department for Prevention and Supervision, Ministry of Finance,
Office for the Prevention of Money Laundering

Ms Vesna GYORKOS
Adviser, International Co-operation Department, Ministry of Finance,
Office for the Prevention of Money Laundering

Ms Andreja LANG
Counsellor to the Government, Ministry of Justice

SPAIN / ESPAGNE

Mrs Concepción CORNEJO GARCIA
EVALUATOR FOR SLOVAKIA
Sous-Directrice Générale Adjointe, Inspection et Contrôle des Mouvements des
Capitaux, Direction Générale du Trésor et de la Politique Financière

"THE FORMER YUGOSLAV REPUBLIC OF MACEDONIA" / "L'EX-RÉPUBLIQUE YOUGOSLAVE DE MACÉDOINE"

Ms Gordana NACEVA **Head of Delegation**
Head of Analytical Department, Money Laundering Prevention Directorate,
Ministry of Finance

Mr Ljubomir MANEV
Chief Inspector, Organised Crime Department, Section for Money Laundering
and Corruption, Ministry of the Interior

Mrs Frosina TASEVSKA
Head of Unit for European Integration, Sector for European Integration
and International Legal Co-operation, Ministry of Justice

UKRAINE / UKRAINE

Mr Stanislav KLIUSHKE
Deputy Head, International Co-operation Department,
State Committee for Financial Monitoring

Head of Delegation

Mr Oleksiy FESHCHENKO
Director, Analytical Department,
State Committee for Financial Monitoring

Mr Ruslan RYABOSHAPKA
Director, Department of Legislation, Law Enforcement and National Security,
Ministry of Justice

UNITED KINGDOM / ROYAUME-UNI

Mr Wayne EVANS
Head of Money Laundering Section, Drugs and International Crime Department,
Foreign and Commonwealth Office

FOREIGN AND COMMONWEALTH OFFICE

Mr Wayne EVANS
Head of Money Laundering Section, Drugs and International Crime Department,
Foreign and Commonwealth Office

FINANCIAL ACTION TASK FORCE (FATF)
GROUPE D'ACTION FINANCIÈRE (GAFI)

M. Alain DAMAIS
Executive Secretary, FATF

SCIENTIFIC EXPERTS / EXPERTS SCIENTIFIQUES

Professor William C. GILMORE *Apologised / Excusé*
Professor of International Criminal Law, Faculty of Law, University of Edinburgh

Mr Giovanni ILACQUA
Senior Financial Adviser, Ufficio Italiano dei Cambi, Anti-Money Laundering Department

Mr Boudewyn VERHELST
Deputy Director CTIF-CFI, Belgium, Attorney General

EUROPEAN COMMUNITY / COMMUNAUTÉ EUROPÉENNE

EUROPEAN COMMISSION / COMMISSION EUROPEENNE

Mr Joeb RIETRAE
Company Law, Corporate Governance and Financial Crime, DG Internal Market,
European Commission

**GENERAL SECRETARIAT OF THE COUNCIL OF THE EUROPEAN UNION /
SÉCRÉTARIAT GÉNÉRAL DU CONSEIL DE L'UNION EUROPÉENNE**

Ms Wilhelmina DE RUITER
National Expert Justice and Home Affairs, European Union

**OBSERVERS WITH THE COUNCIL OF EUROPE
OBSERVATEURS AUPRES DU CONSEIL DE L'EUROPE**

HOLY SEE / SAINT-SIEGE

Apologised / Excusé

UNITED STATES OF AMERICA / ETATS-UNIS D'AMERIQUE

Ms Susan IRELAND
Regional Specialist – Europe/Central Asia, Financial Crimes Enforcement Network
(FinCEN)
United States Department of the Treasury

Ms Abigail SULLIVAN
Policy Adviser for Europe, Office of Terrorist Finance and Financial Crime,
Department of the Treasury

CANADA

Apologised / Excusé

JAPAN / JAPON

Apologised / Excusé

MEXICO / MEXIQUE

Apologised / Excusé

**OBSERVERS WITH THE COMMITTEE
OBSERVATEURS AUPRÈS DU COMITÉ**

ISRAEL / ISRAËL

Mrs Zivit SHALMON-MOZER
Attorney, Money Laundering and Terror Financing Prohibition Authority (IMPA),
Ministry of Justice

EURASIAN GROUP (EAG)

Mr Victor KOCHENOV
Eurasian Group Executive Secretary, EAG Secretariat

Apologised / Excusé

**INTERNATIONAL INTERGOVERNMENTAL ORGANISATIONS
ORGANISATIONS INTERNATIONALES INTERGOUVERNEMENTALES**

UNITED NATIONS OFFICE ON DRUGS AND CRIME (UNODC)

Ms Cari L. VOTAVA
UNODC (GPML Department) / World Bank (FSEFI Department)
AML/CFT Mentor for Central Asia, World Bank, Central Asia Regional Office

UNITED NATIONS COUNTER-TERRORISM COMMITTEE

Apologised / Excusé

EUROPEAN BANK FOR RECONSTRUCTION AND DEVELOPMENT (EBRD)
BANQUE EUROPEENNE DE RECONSTRUCTION ET DE DEVELOPPEMENT (BERD)

Mr Stefano LOSI
Senior Compliance Officer, EBRD

Apologised / Excusé

INTERNATIONAL MONETARY FUND (IMF) /
FONDS MONÉTAIRE INTERNATIONAL (FMI)

Mr John ABBOTT

Mr Terence DONOVAN
Senior Financial Sector Expert, Financial Markets Integrity Division, Legal Department,

Ms Nadine SCHWARZ

Mr Jean-François THONY
Assistant General Counsel, Legal Department

ICPO-INTERPOL / OIPC-INTERPOL

Apologised / Excusé

OFFSHORE GROUP OF BANKING SUPERVISORS (OGBS)

Apologised / Excusé

WORLD BANK / BANQUE MONDIALE

Mrs Cari L. VOTAVA
World Bank (FSEFI Department) and UNODC (GPML Department),
AML/CFT Mentor for Central Asia, World Bank, Central Asia Regional Office

SECRETARIAT OF THE COUNCIL OF EUROPE /
SECRETARIAT DU CONSEIL DE L'EUROPE

Mr John RINGGUTH **Secretary to MONEYVAL Committee**
Administrator, Directorate General of Legal Affairs - DG I
Department of Crime Problems

Mr Gerhard MILD
Administrator

Ms Livia STOICA-BECHT
Programme Adviser

Mrs Marie-Louise FORNES
Administrative Assistant

Mrs Danielida WEBER
Administrative Assistant to MONEYVAL Committee

INTERPRETERS / INTERPRETES

Mme Sally BAILEY
Mme Isabelle MARCHINI

APPENDIX II – AGENDA

[Monday 11 September 2006 / lundi 11 septembre 2006

Rooms 5 and 9 / Salles 5 et 9

Pre-meetings for the Latvian and Slovakian delegations and the evaluators involved (if required) / Réunions préparatoires pour les délégations de la Lettonie et de la Slovaquie avec les évaluateurs concernés (si nécessaire.)]

Day 1: Tuesday 12 September 2006 / 1^e jour: mardi 12 septembre 2006

Morning / matin

3. **Opening of the Plenary Meeting at 9h30** / *Ouverture de la Réunion Plénière à 9h30*
4. **Adoption of Agenda** / *Adoption de l'Ordre du Jour*
5. **Information from the Chairman** / *Informations communiquées par le Président.*
6. **Information from the Secretariat** / *Informations communiquées par le Secrétariat*
 - 4.1 **Agenda of evaluations and meetings for 2006** / *Agenda des évaluations et réunions en 2006*
 - 4.2 **Participation in other events** / *Participation à des activités divers*
 - 4.3 **MONEYVAL evaluators** / *Evaluateurs pour le comité*
7. **Associate Membership of FATF - arrangements for Vancouver meeting** / *Coopération en tant que membre associé auprès du GAFI - participation à la réunion de Vancouver*
8. **Joint plenary with the FATF** / *Réunion plénière conjointe avec le GAFI*
9. **Working Group on Typologies** / *Groupe de travail sur les Typologies*
10. **Information from the European Union** / *Information de l'Union Européenne*
11. **Information concerning anti-money laundering initiatives in other fora** / *Informations concernant les initiatives anti-blanchiment au niveau d'autres institutions*

EBRD / *BERD*

Egmont group / *Groupe Egmont*

European Union / *Union européenne*

FATF / *GAFI*

IMF and World Bank / *FMI et Banque Mondiale*

Interpol / *Interpol*

UNCTC / *CCTNU*

United Nations / *Nations Unies*

Eurasian Group on Combating Money Laundering and Terrorist Financing (EAG) /

Groupe Eurasie sur le blanchiment de capitaux et le financement du terrorisme (EAG)

Afternoon / après-midi

12. **Progress reports** / *Rapports de suivi*

Slovenia / Slovénie
Hungary / Hongrie
Serbia / Serbie

- 13. Information on AML/CFT initiatives in other MONEYVAL countries (tour de table) / Information sur les initiatives LAB/CFT dans d'autres pays membres de MONEYVAL (tour de table)**

Day 2: Wednesday 13 September 2006 / 2^e jour: mercredi 13 septembre 2006

Morning / matin

- 14. Discussion on the draft third mutual evaluation report on Slovakia / Discussion sur le projet de troisième rapport d'évaluation mutuelle de la Slovaquie**

Afternoon / après-midi

- 15. Continuation of the discussion on the draft third mutual evaluation report on Slovakia (as necessary) / Poursuite de la discussion sur le projet de troisième rapport d'évaluation mutuelle de la Slovaquie (si nécessaire)**

Day 3: Thursday 14 September 2006 / 3^e jour: jeudi 14 septembre 2006

Morning / matin

- 16. Discussion on the draft third mutual evaluation report on Latvia / Discussion sur le projet de troisième rapport d'évaluation mutuelle de la Lettonie**

Afternoon / après-midi

- 17. Continuation of the discussion on the draft third mutual evaluation report on Latvia (as necessary) / Poursuite de la discussion sur le projet de troisième rapport d'évaluation mutuelle de la Lettonie (si nécessaire)**

- 18. Continuation of the Tour de Table (as necessary) / Prolongement du Tour de table (si nécessaire)**

Day 4: Friday 15 September 2006 / 4^e jour: vendredi 15 septembre 2006

- 19. Continuation of the Tour de Table (as necessary) / Poursuite du Tour de Table (si nécessaire)**

- 20. Joint plenary with the FATF – further discussion / Réunion plénière conjointe avec le GAFI – poursuite de la discussion**

- 21. Further consideration of evaluators for 2006 on-site visits and lists of evaluators requiring training / Examen de la question des évaluateurs appelés à participer aux évaluations sur place en 2006 et liste des évaluateurs à former**

- 22. Finance and Staffing / Financement et personnel**

- 23. Miscellaneous / Divers**