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## Project against Economic Crime in Kosovo\* (PECK II)

[www.coe.int/peck2](http://www.coe.int/peck2)

### Agenda

#### **“Developing Methodological tools on risk-based supervision and risk-based approach to AML/CFT inspections”**

Venue: Sharr Hotel Prevallë

5-6 March 2019

### PROGRAMME BACKGROUND

The Project's overall objective is to contribute to the democracy and the rule of law through the prevention and control of corruption; money laundering; and the financing of terrorism in Kosovo. The Project's purpose is to strengthen institutional capacities to counter corruption; money laundering; and financing of terrorism in Kosovo in accordance with European standards through targeted technical assistance and assessments for improving and streamlining economic crime reforms. The Kosovo Anti-Corruption Agency (KAA) and the Financial Intelligence Unit (FIU) are the main counterpart institutions for this Project.

### OBJECTIVE OF THE WORKSHOP

This workshop is organised in support to the Financial Intelligence Unit, Central Bank and other sectorial supervisors in the area of AML/CFT. The outcome of this activity will be to develop methodological tools and necessary checklists to be used during supervisory activities.

### PARTICIPANTS

Participants to this event are: the Financial Intelligence Unit, Central Bank, Chamber of Notaries, Bar Chamber of Advocates, Department for Registration of NGOs, Society of Certified Auditors and Accountants, Kosovo Metrology Agency, Tax Administration, and Department for Free Professions.

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\* This designation is without prejudice to positions on status, and is in line with UNSC 1244 and the ICJ Opinion on the Kosovo Declaration of Independence.

Monday, 4 March 2019	
16:00- 17:30	<b>Registration of participants &amp; Departure to Prevallè</b>
18:00	Dinner
Tuesday, 5 March 2019	
9:00- 09:15	<b>Introductory remarks:</b> <ul style="list-style-type: none"> <li>▪ Senior Project Officer of PECK II Project</li> <li>▪ FIU</li> </ul>
09:15 - 09:30	<b>Risk-based supervision and risk-based approach to AML/CFT inspections: (an overview of good practices and international perspective)</b> <ul style="list-style-type: none"> <li>▪ Council of Europe Expert</li> </ul>
09:30 – 10:30	<b>A Risk-based supervisory cycle (introduction and discussion)</b> <ul style="list-style-type: none"> <li>▪ Council of Europe Expert</li> </ul>
10:30 – 10:45	<i>Coffee break</i>
10:45-12:30	<b>Risk understanding by Supervisors (sectoral risk assessment risk profiling of individual entities)</b> <ul style="list-style-type: none"> <li>▪ Council of Europe Expert</li> </ul>
12:30-13:30	<i>Lunch</i>
13:30 - 15:00	<b>Development of Risk Assessments &amp; Risk Profiling (group interaction: analysing sectoral ML/TF risks and risk profiles)</b> <ul style="list-style-type: none"> <li>▪ Council of Europe Expert</li> </ul>
15:00 – 15:15	<i>Coffee break</i>
15:15- 16:15	<b>Presentations by Participants on Sectoral ML/TF risks (group interaction: presenting the results on sectoral ML/TF risks and risk profiles)</b> © Council of Europe Expert
16:15-17:00	<b>Consequences for Supervisory Activities ( choosing the appropriate instruments)</b> <ul style="list-style-type: none"> <li>- Council of Europe Expert</li> </ul>

**Wednesday, 6 March 2019**

9:00 - 10:00	<b>Cooperation between Supervisors (how to deal with shared responsibilities in performing AML/CFT supervision)</b> <ul style="list-style-type: none"><li>- <i>Council of Europe Expert</i></li></ul>
10:00 - 10:45	<b>Conducting AML/CFT Inspections (set-up &amp; stages in conducting inspections)</b> <ul style="list-style-type: none"><li>▪ <i>Council of Europe Expert</i></li></ul>
10:45 – 11:00	<i>Coffee break</i>
11:00 12:30	<b>Remedial Action and Sanctions (addressing deficiencies &amp; enforcement policy)</b> <ul style="list-style-type: none"><li>▪ <i>Council of Europe Expert</i></li></ul>
12:30 – 13:30	<i>Lunch</i>
13:30 – 15:00	<b>Setting up an Inspection Plan (group interaction: set up an inspection plan based on identified risks (group interaction: presentation of the inspection plans)</b> <ul style="list-style-type: none"><li>- <i>Council of Europe Expert</i></li></ul>
15:00- 15:15	<i>Coffee break</i>
15:15 – 16:00	<b>Communicating risks &amp; requirements (using appropriate communication mechanisms, channels and practices)</b> <ul style="list-style-type: none"><li>- <i>Council of Europe Expert</i></li></ul>
16:00 – 16:30	<b>Questions and wrap up</b> <ul style="list-style-type: none"><li>▪ <i>Council of Europe Expert</i></li><li>▪ <i>Senior Project Officer</i></li></ul>
17:00-	<ul style="list-style-type: none"><li>▪ <i>Departure to Pristina</i></li></ul>