

## Controlling Corruption through Law Enforcement and Prevention Project (CLEP)

### AGENDA

#### Training of Trainers for banks compliance officers

27-29 May 2019

#### Day 1

08:55 – 09:00 REGISTRATION

09:00 – 09:15 TRAINING OPENING

#### **Speakers:**

**TBC**, Deputy or Deputy Head of Office for Prevention and Fight against Money-Laundering, Republic of Moldova

**Ms. Giulia Re**, Project Advisor, CLEP Project

09:15 – 09:45 **Updates: anti-money laundering in the Moldovan banks**

**Speakers: Oxana Gisca**, Head of Supervision & Compliance Division, Office for Prevention and Fight against Money-Laundering

09:45 – 10:45 **Focus on participants' training experience**

Short round table to present each participant: training experience, types of trainings they need to provide, groups of people typically trained – collecting the specific needs and expectations

**Council of Europe Expert**

10:45– 11:00 COFFEE BREAK

11:00 – 11:45 **How to communicate with the Front Office on the Global Background? "Global trends and expectations in Compliance"**

**Council of Europe Expert**

11:45– 12:30 **The internal setup in a bank – explaining in a nutshell in trainings: Governance, Internal Reporting, Risk analysis, Risk Based Rules and Procedures, Internal compliance controls**

**Council of Europe Expert**

12:30– 13:30 LUNCH

**13:30 – 14:30**      **The core obligations for the front office and how can we improve it.  
Customer Due Diligence and Acceptance, Customer identification  
Council of Europe Expert**

**14:30 – 14:45**      **COFFEE BREAK**

**14:45 – 16:30**      **Compliance officers and the Front Office/Management: Expectations  
and Difficulties on both sides  
Council of Europe Experts**

**16:30 – 17:00**      **Questions from Day 1**

## **Day 2**

**08:55 – 09:00**      **REGISTRATION**

**09:00 – 9:30**      **Start the Day – Questions & Topics – Feedback**

**09:30 - 10:30**      **Clarifying the Front Office Views  
Bank staff obligations and procedures: who does what and when  
Council of Europe Expert**

**10:30 – 10:45**      **COFFEE BREAK**

**10:45 – 11:15**      **The role of the compliance officer and compliance programmes  
Council of Europe Expert**

**11:15 – 12:15**      **Financial Crime Unit – Pros and Cons  
Council of Europe Expert**

**12:15– 13:15**      **LUNCH**

**13:15 – 14:30**      **Beneficial Ownership: what does the front office need to know?  
Mr. Alin Becheanu, Council of Europe Expert**

**14:30 – 15:30**      **How to work with Front Office: practical examples and exercises (Part  
I) – Focus on CDD and BOs  
Council of Europe Experts**

**15:30 – 15:45**      **COFFEE BREAK**

**15:45 – 16:45**      **How to work with Front Office: practical examples and exercises (Part II) – Role of Compliance, Internal Controls, etc.**  
**Council of Europe Experts**

**16:45 – 17:00**      **Questions from Day 2**

### **Day 3**

**08:55 – 09:00**      **REGISTRATION**

**09:00 – 09:30**      **Start the Day – Questions & Topics – Feedback**

**09:30 - 10:45**      **Transactions monitoring and STRs**  
**Tools in Use, Explaining them to Front Office, Ensuring Cooperation in Monitoring**  
**Council of Europe Expert**

**10:45 – 11:00**      **COFFEE BREAK**

**11:00 – 12:00**      **Cooperation with authorities: what does the Front Office need to know?**  
**Council of Europe Expert**

**12:00– 13:00**      **LUNCH**

**13:00 – 15:00**      **Front office and STRs: practical examples and exercises**  
**Council of Europe Experts**

**15:00 – 15:15**      **COFFEE BREAK**

**15:15 – 16:00**      **Summary and Main Take Aways from the Seminar.**  
**Council of Europe Experts**

**16:00 – 16:15**      **Questions and wrap-up of Day 3**  
**Handling of training certificates**