



Workshop 'Good Practices for Prevention of Corruption in Public Administration and Tools for Strengthening the Law Enforcement Agencies'

5-6 October 2011

Hotel 'Altai'

Moscow, Russian Federation

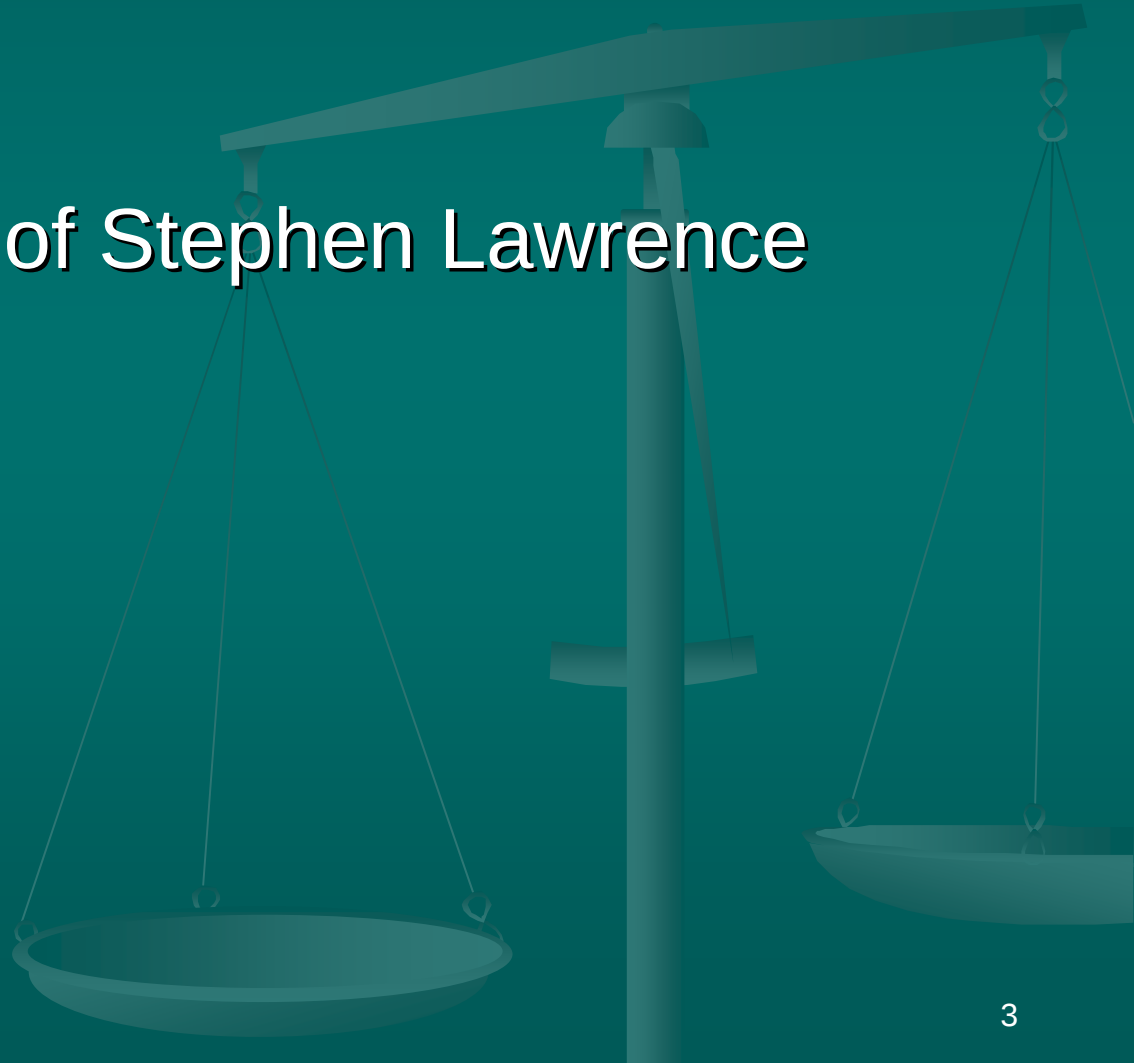
Financial Investigation and The Investigation of Serious Crime in the United Kingdom



Simon Goddard - UK

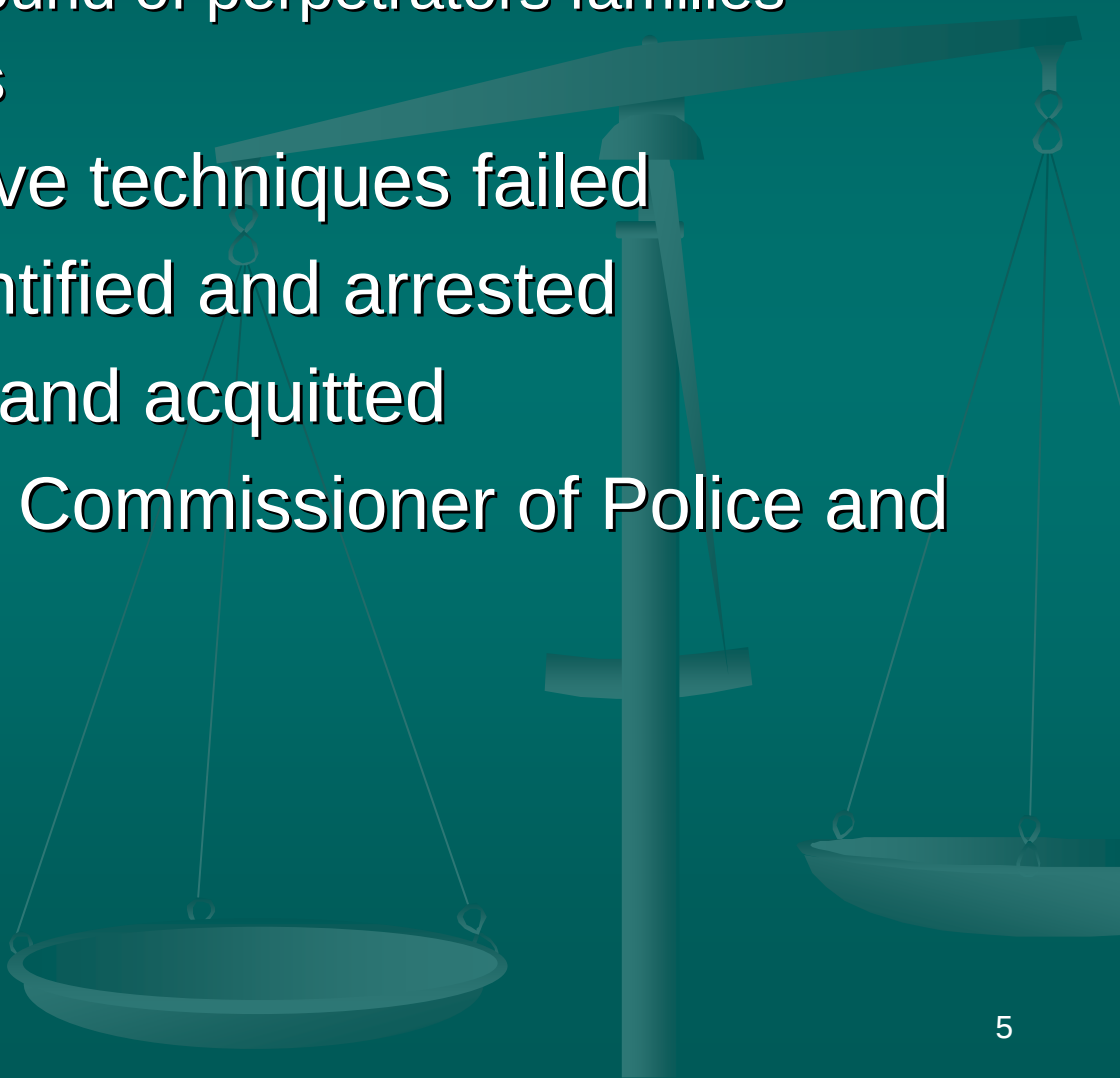
The Defining Moment

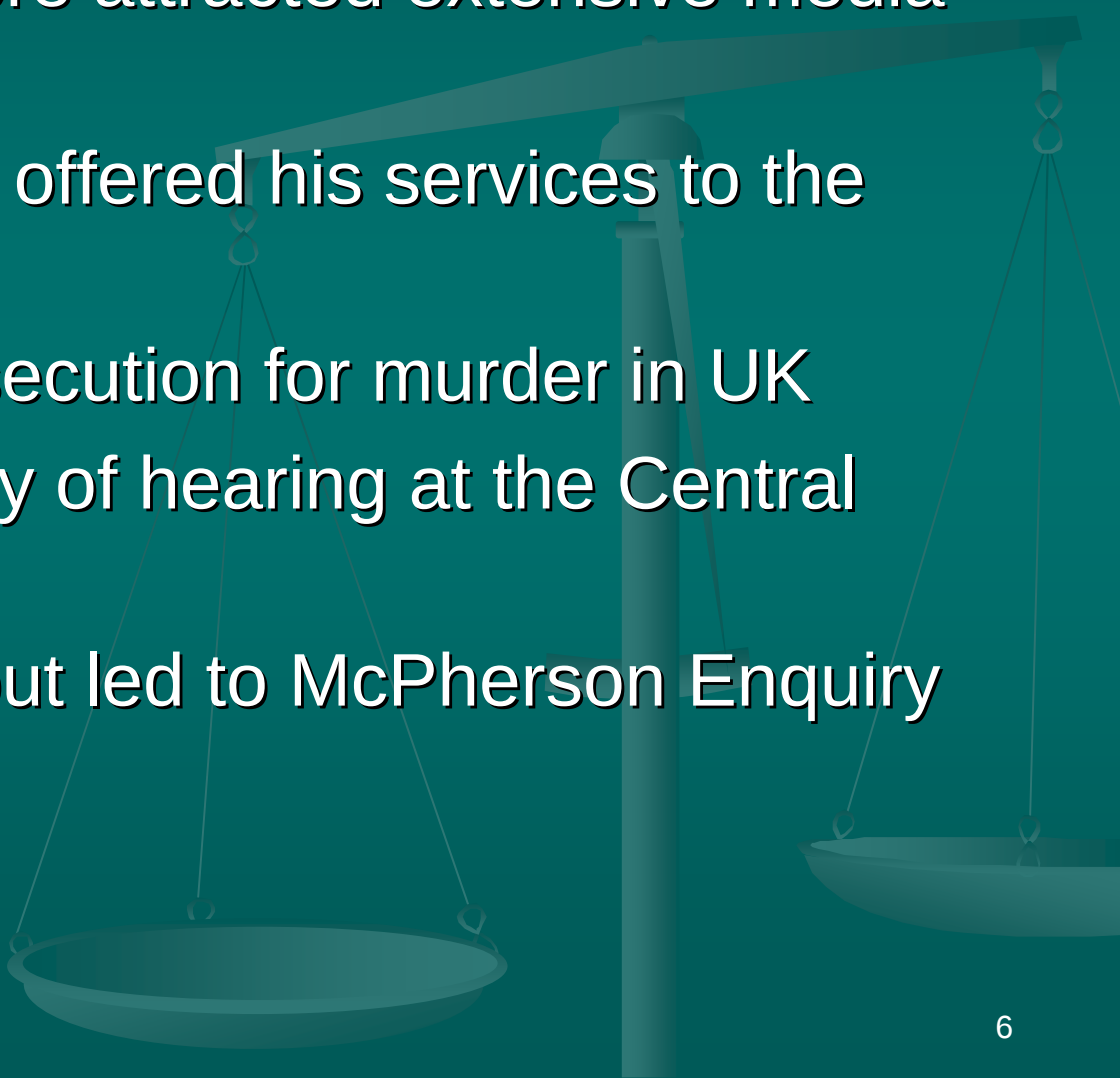
The Murder of Stephen Lawrence



The Case

- Stephen was a young black man who was assaulted by a group of young white men in South London in the mid 1990's
- He was stabbed and bled to death from his injuries
- Insensitively handled from the outset
- Investigated by local detectives – no set procedure

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- 'Wall of silence from resident community'
 - Criminal background of perpetrators families
 - Racial overtones
 - Standard detective techniques failed
 - Perpetrators identified and arrested
 - Two prosecuted and acquitted
 - Family visited by Commissioner of Police and Nelson Mandela

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- Demonstrations and disturbances in London
 - The case therefore attracted extensive media coverage
 - A leading lawyer offered his services to the family
 - First private prosecution for murder in UK
 - Failed on first day of hearing at the Central Criminal Court
 - Subsequent fallout led to McPherson Enquiry

- Criticised management of major enquiries
- Lack of family liaison
- Poor training
 - Senior managers
 - Investigators
- Racial Awareness
- Completely revised investigation procedures



Revised Senior Investigation Officer Training

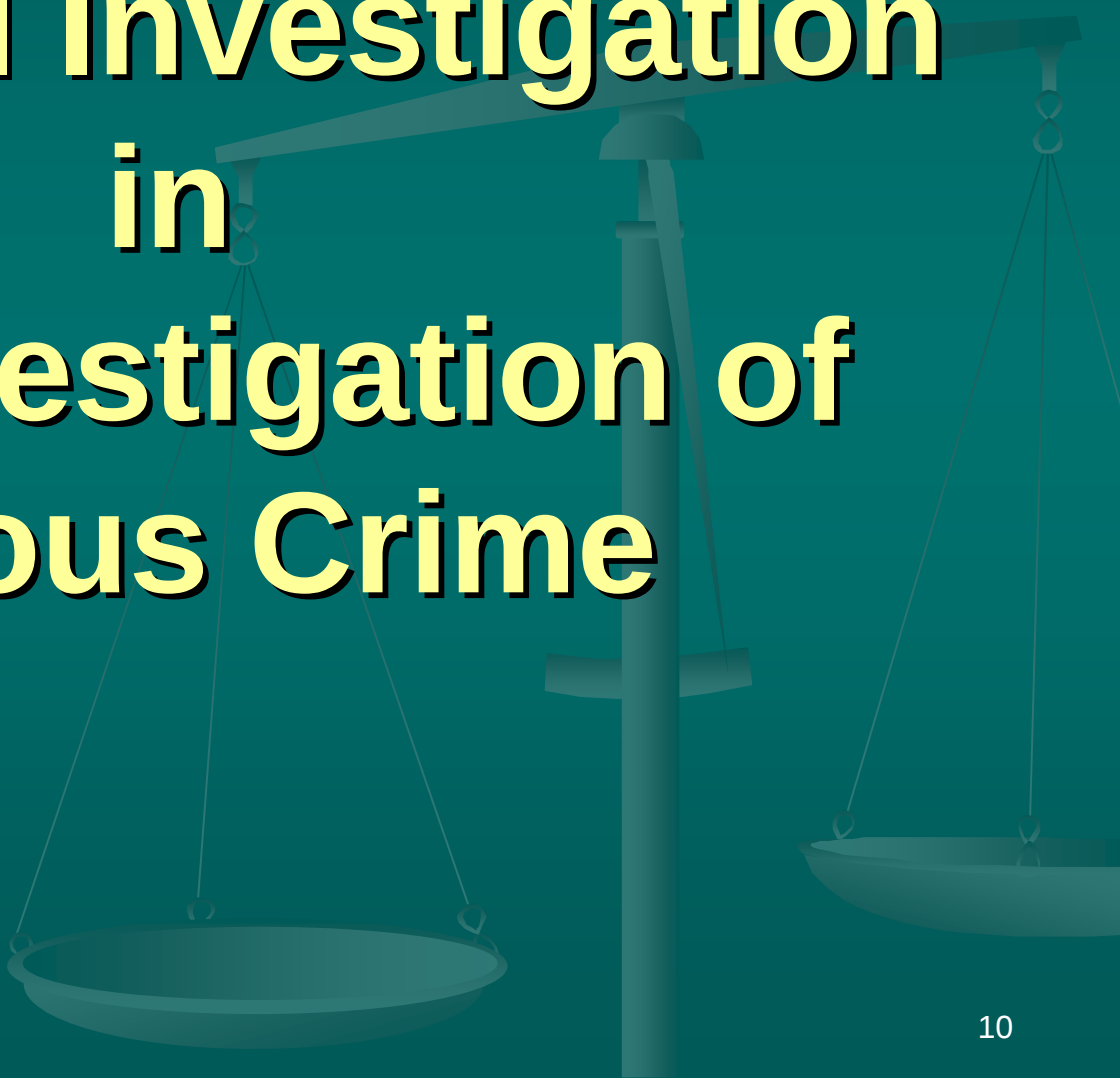
- Four Modules – one week each
- Legal
- Procedural
- Intelligence
- Forensic



Intelligence

- National Intelligence Process
- Informant handling procedures
- Technical & Conventional Surveillance
- Undercover Operations
- Risk Analysis
- Intelligence Analysis
- Prison Intelligence
- Financial Intelligence

Financial Investigation in The Investigation of Serious Crime



Aim

- To enable Senior Investigating Officers to successfully integrate Financial Investigation into their enquiries

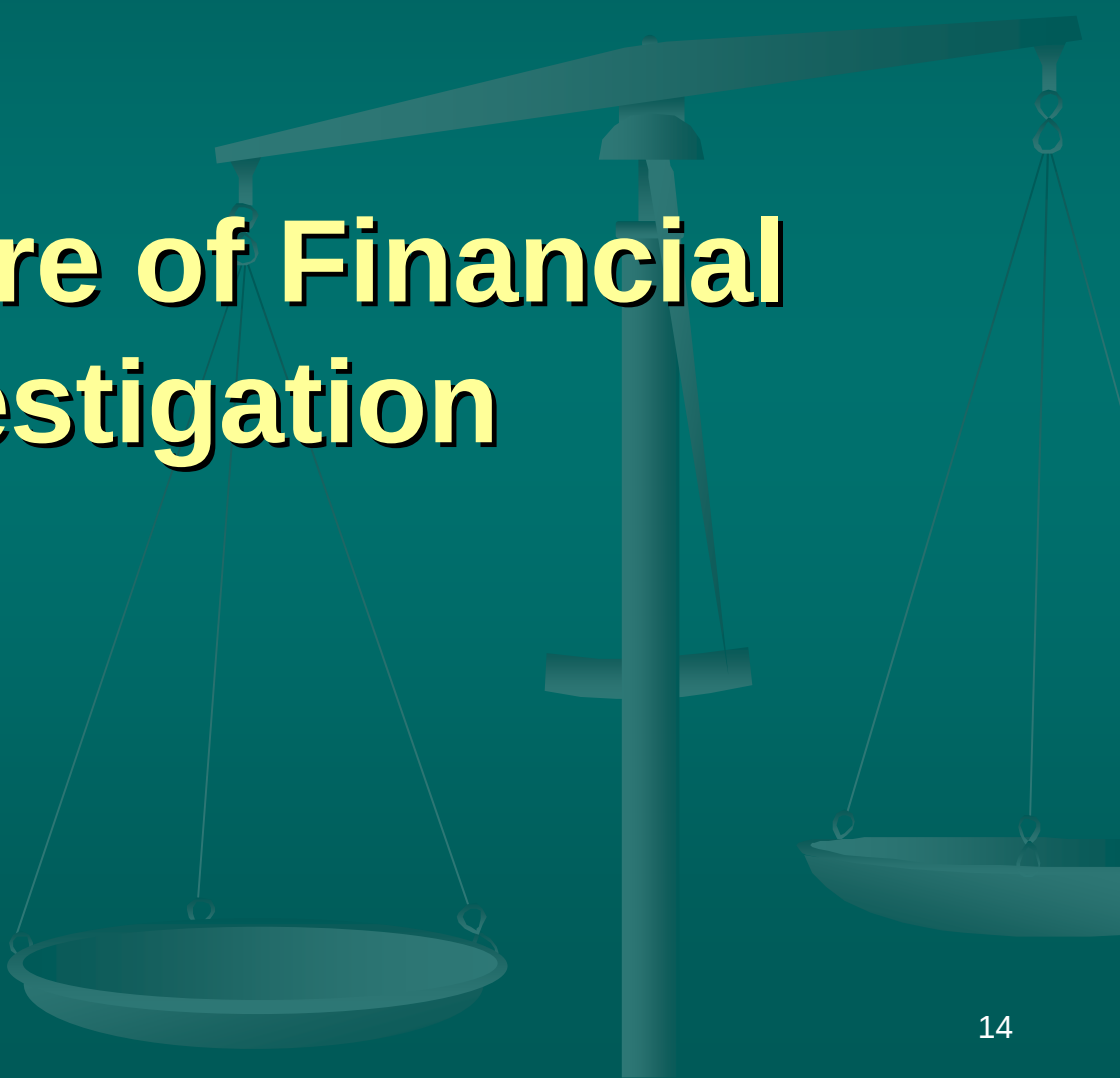
Topics

- The Nature of Financial Investigation
- Strategies for Financial Investigation within the Overall Enquiry
- Appropriate Tactics
- Evaluation and Review

Examples of Serious Crime

- Murder and assaults causing serious harm
- Rape and other serious sexual assaults
- Armed Robbery
- Kidnapping
- Blackmail
- Arson and serious criminal damage

The Nature of Financial Investigation

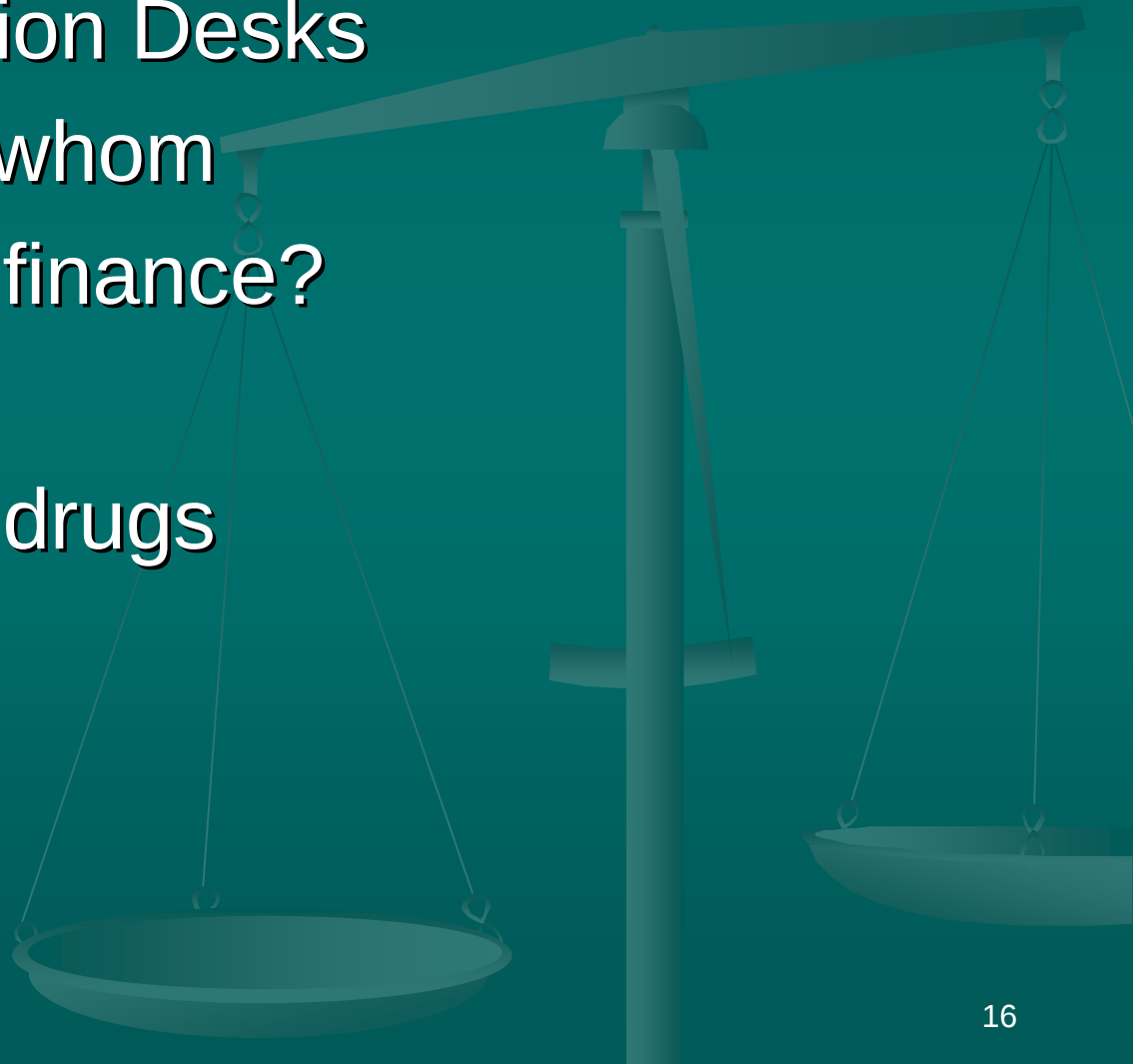


Popular Concepts

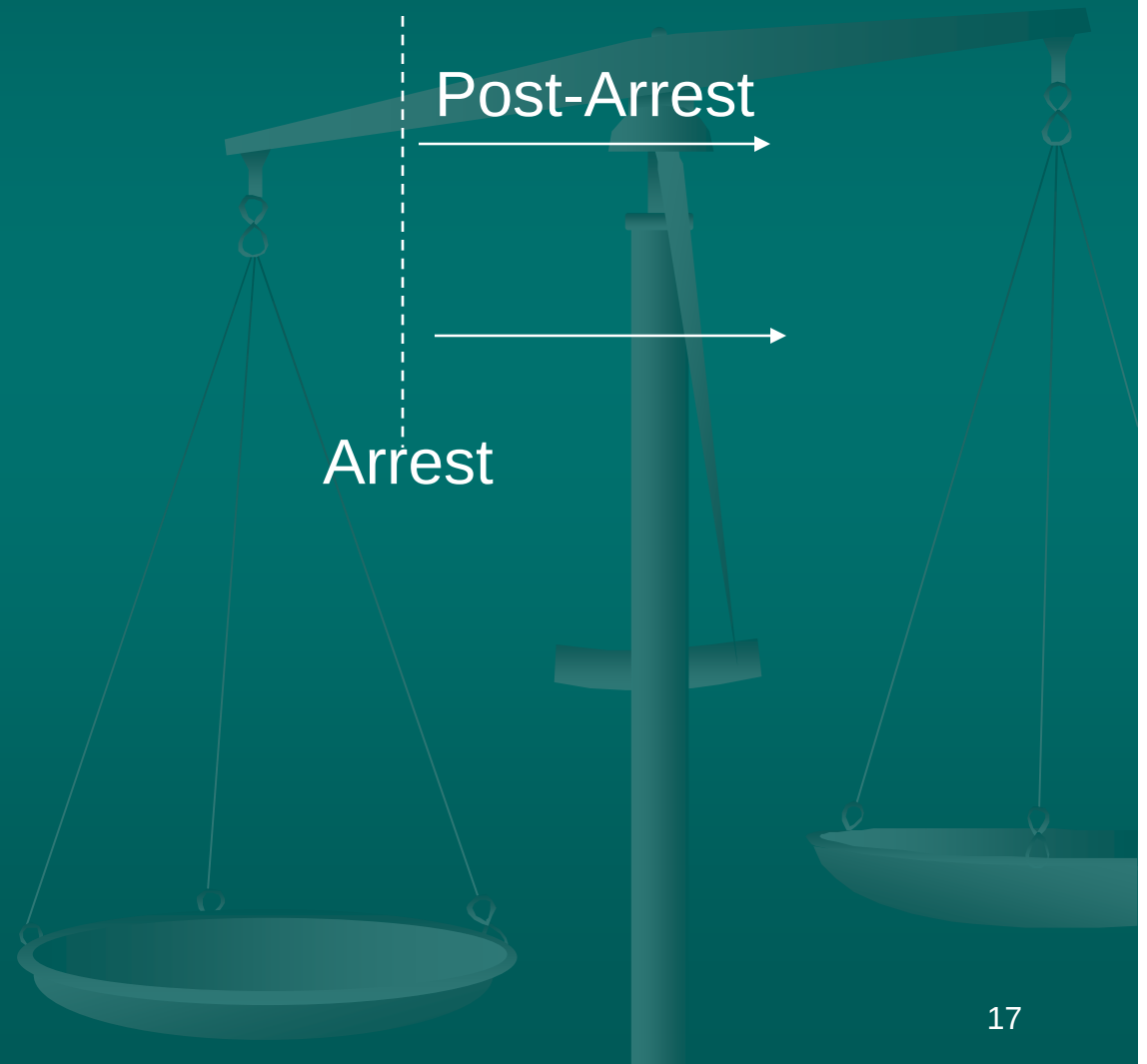
- Financial Investigators are only:
- Highly trained
- Detectives
- Who react after arrest
- To take money off of drug traffickers
- Or investigate fraud
- And the whole process takes an inordinately long time

How did these concepts arise

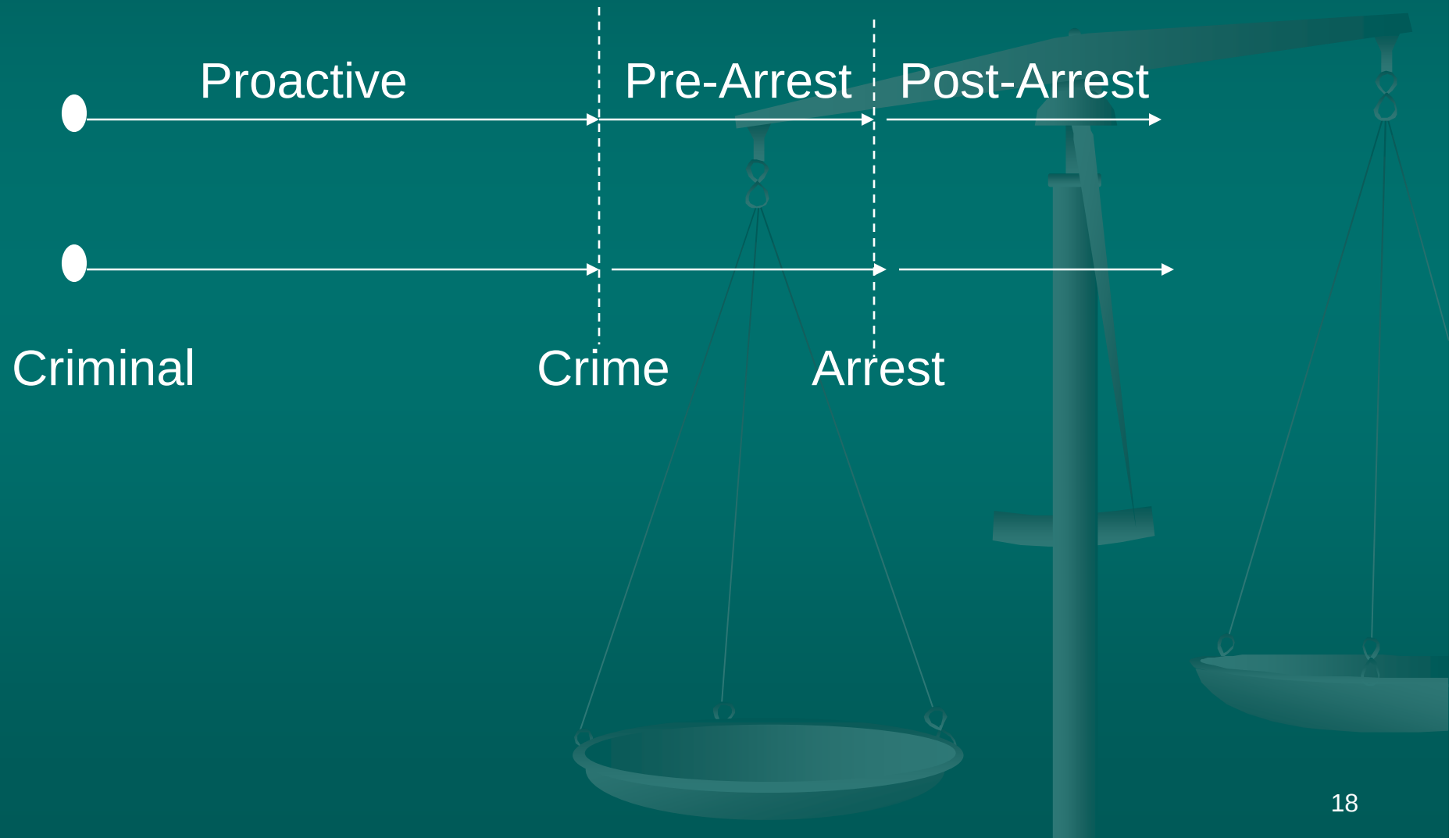
- Asset Confiscation Desks
- Responsible to whom
- Who deals with finance?
- Fraud Squad
- Who deals with drugs
- Drugs Squad



Role of the Financial Investigator

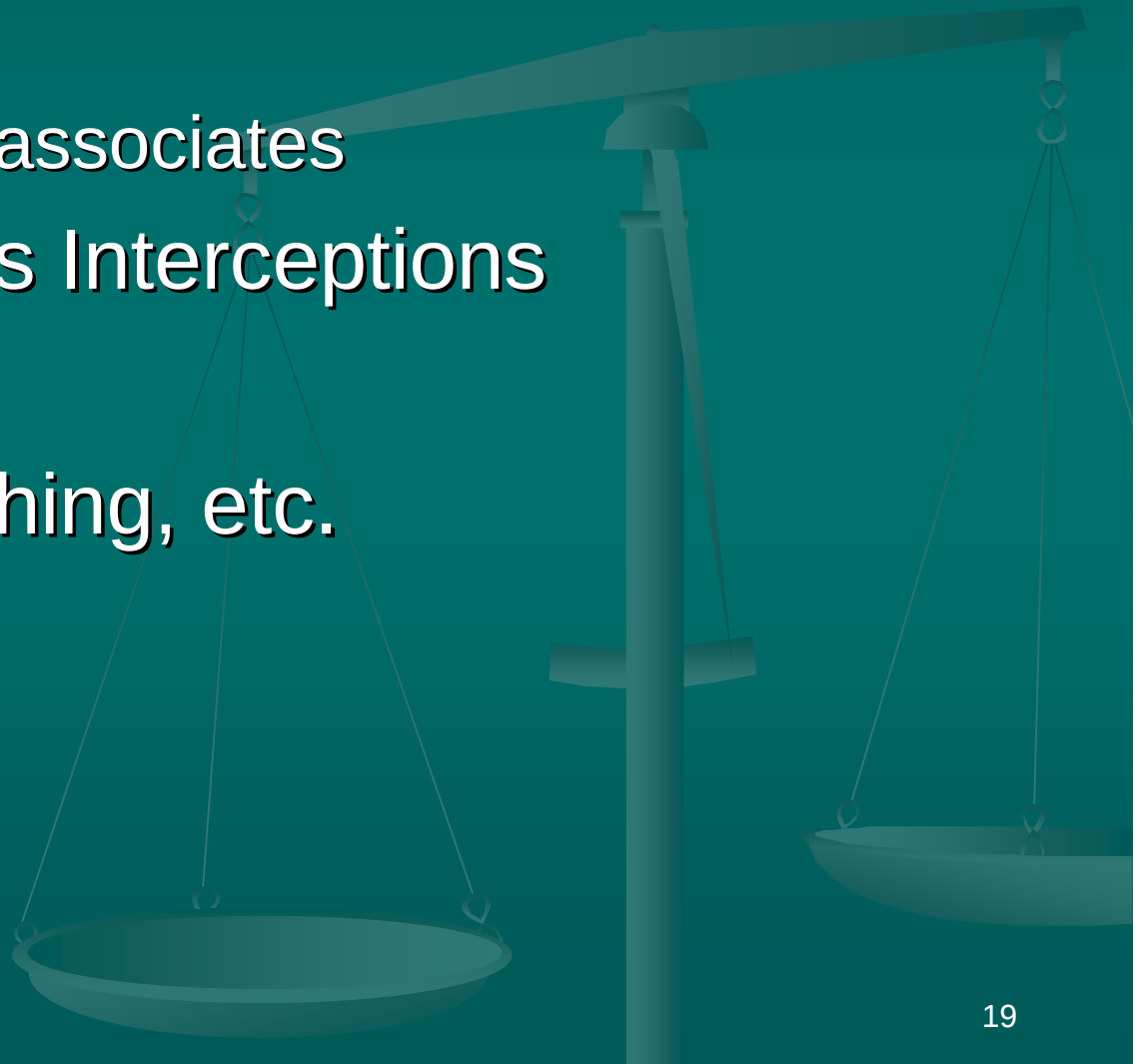


Role of the Financial Investigator



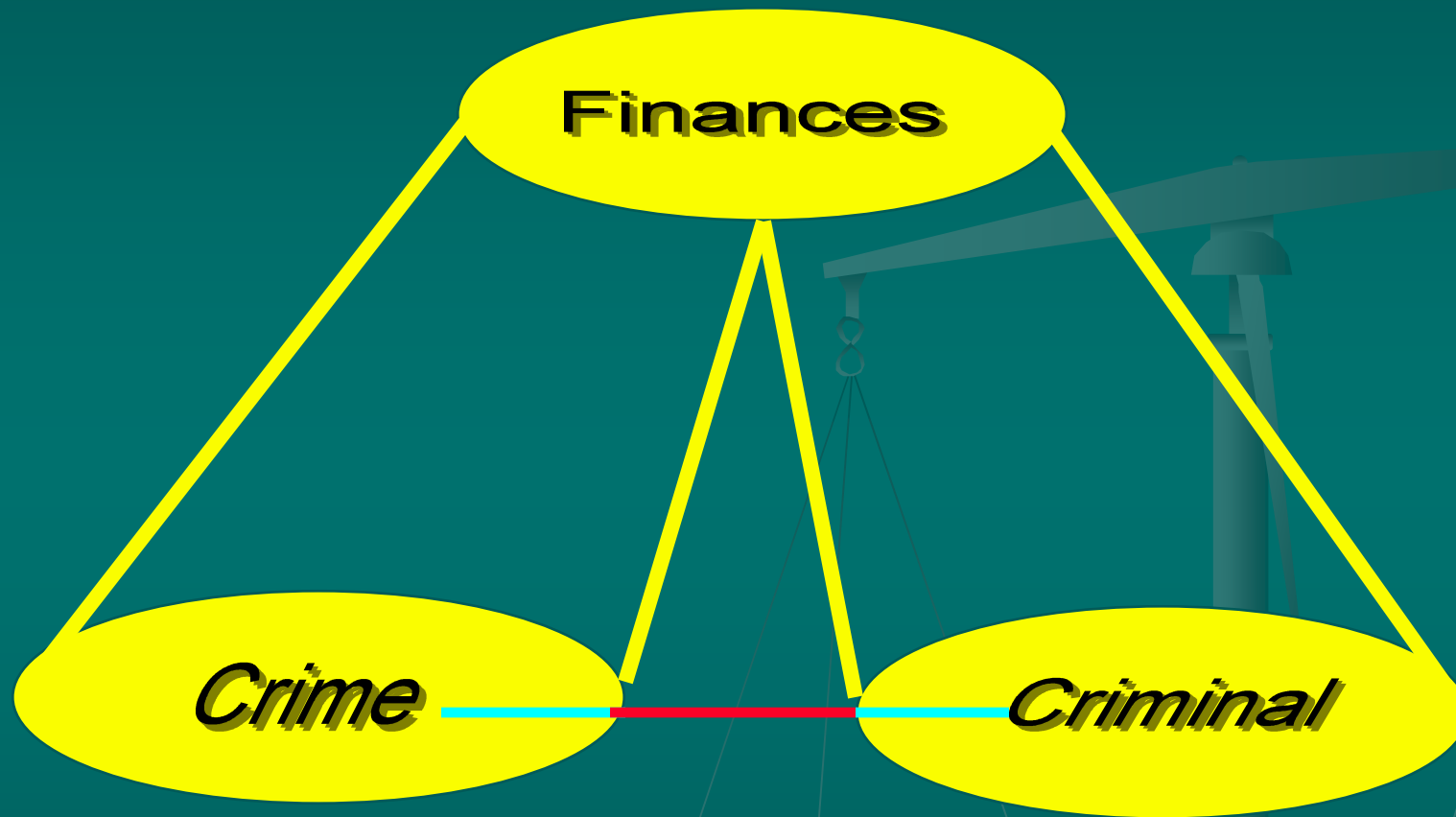
Traditional Methods of Obtaining Intelligence

- Surveillance
 - on subject and associates
- Communications Interceptions
- Informants
- Stopping, searching, etc.
- Observation
- Infiltration





Crime ————— *Criminal*



Another club in the intelligence bag ?

- Assist in the location of suspects
- Trace witnesses
- Identification of associates
- Establishing movements
- Estimation of their criminal activity
- Establish motives for crime
- Determine lifestyle
- Cost Effective

Setting Out A Strategy

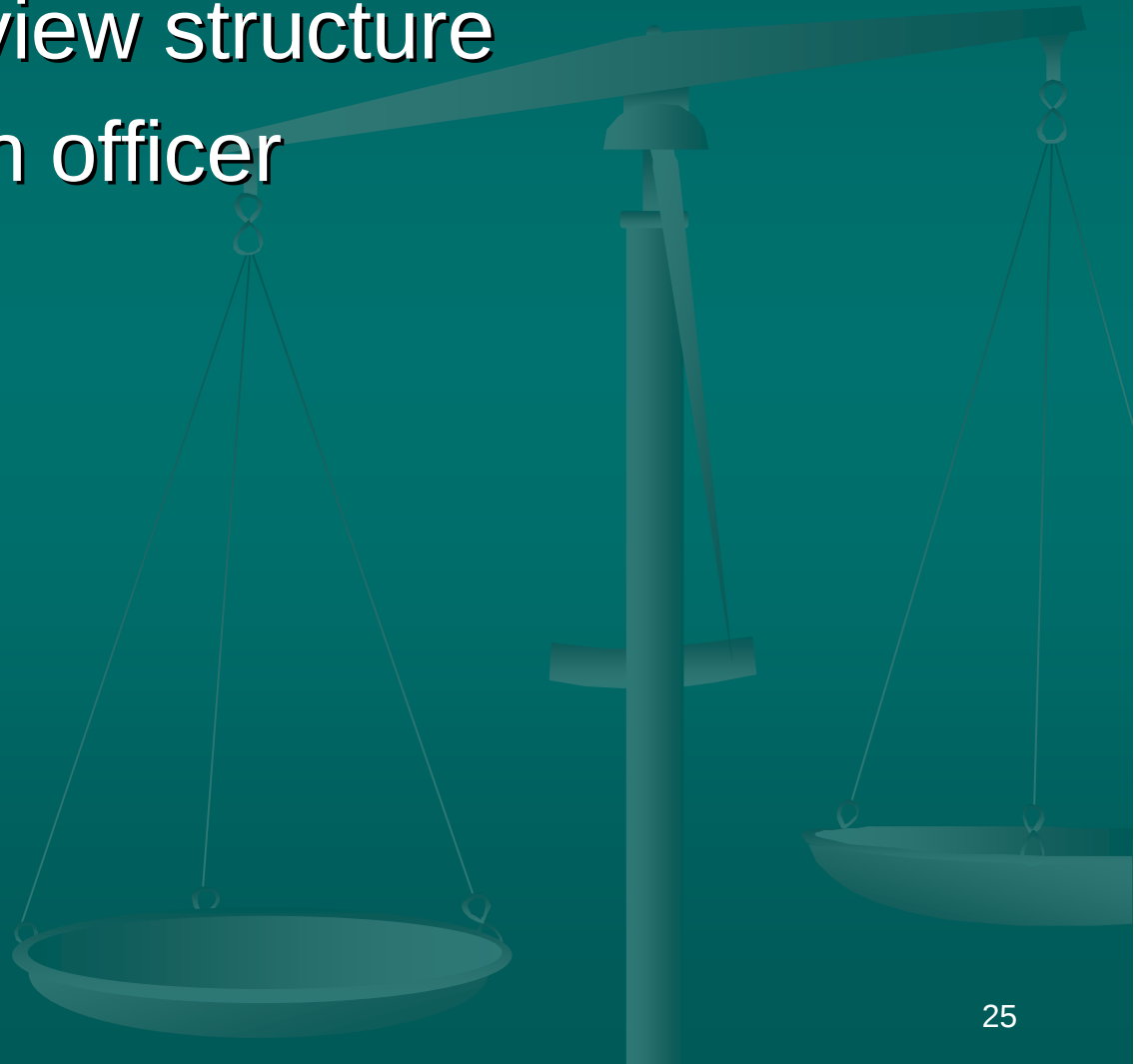
- Initial planning meeting
- Review the work already conducted
- Estimate the scale of the enquiry and assess resources
- Are there any civil rights issues?
- Set Achievable Objectives
 - Short, medium and long term

Setting Out A Strategy

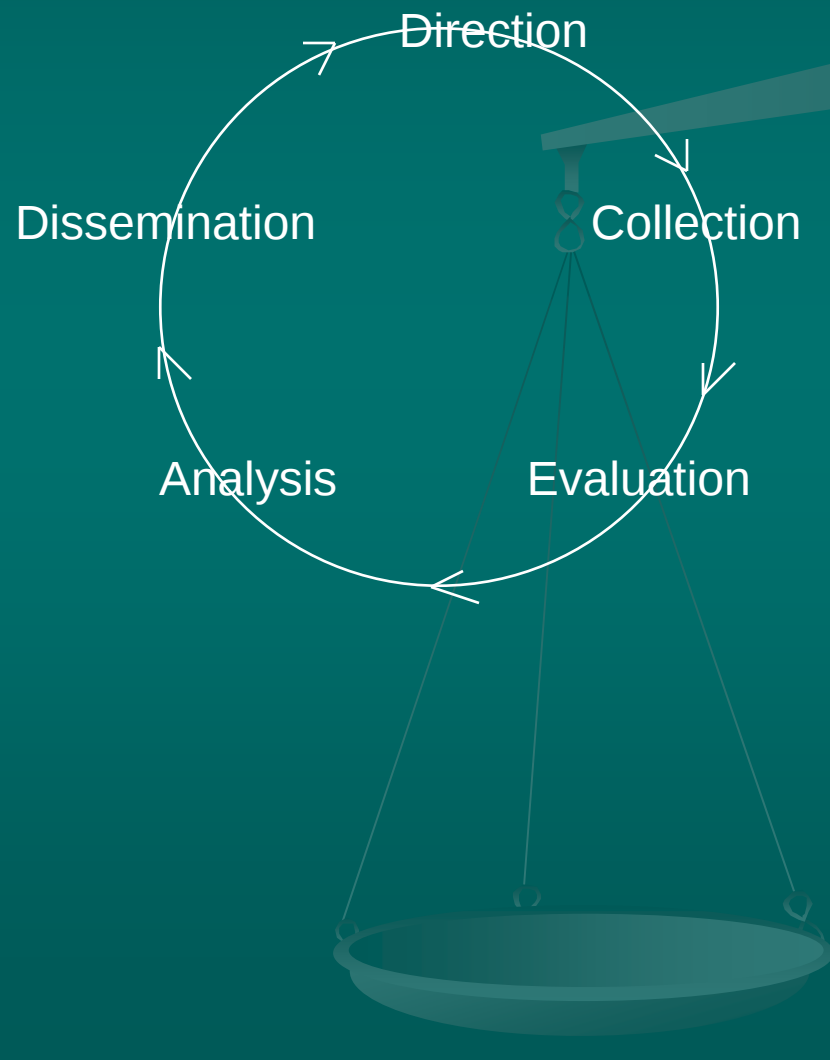
- Determine the style of financial investigation
 - Net worth or 'surveillance'
- Set priorities
- Record Keeping
 - Policy,
 - Disclosure issues,
 - Intelligence Database
- Grade the enquiry and determine tactics

Setting Out A Strategy

- Implement a review structure
- Appoint a liaison officer



The Intelligence Cycle

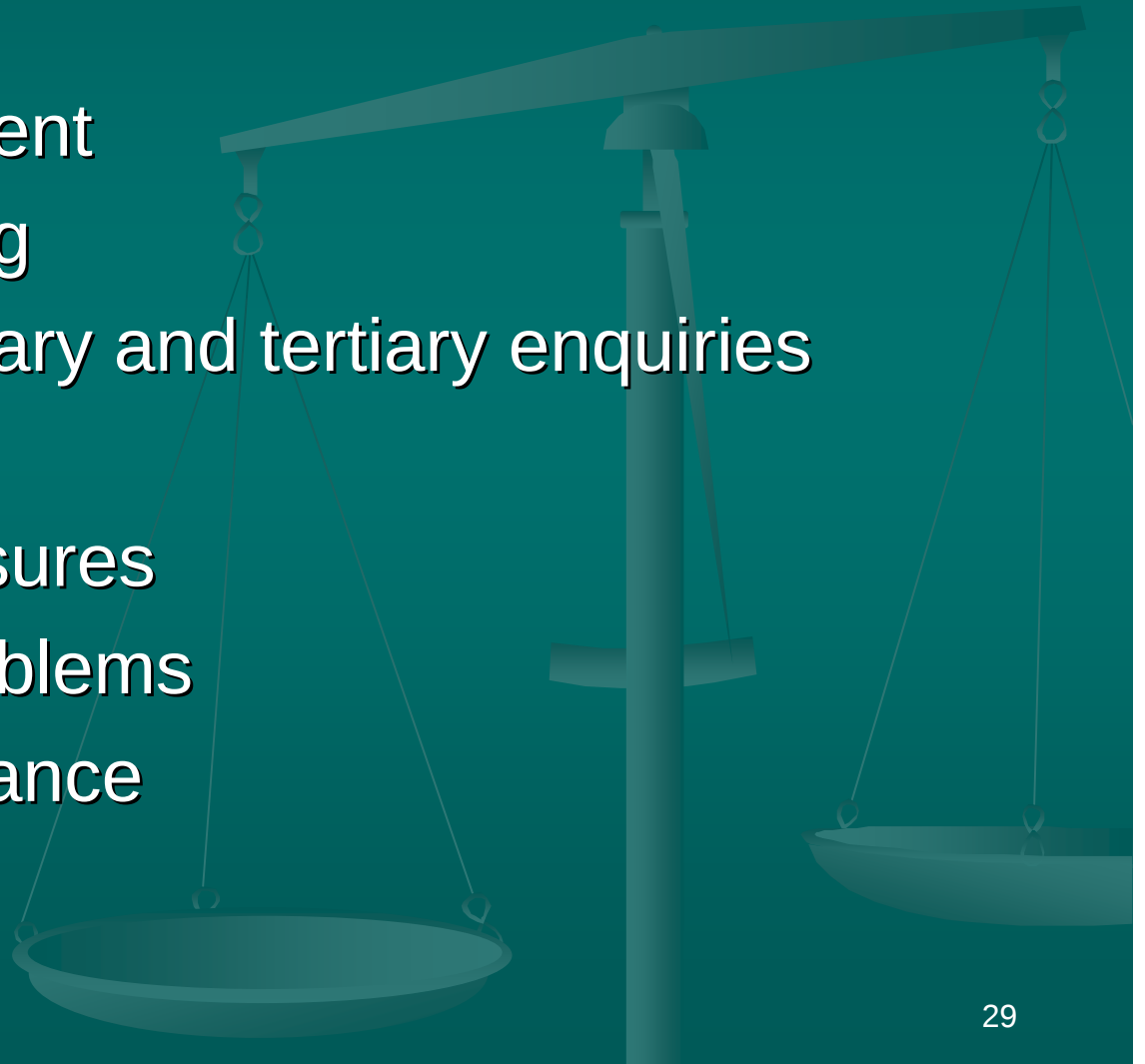






Where can FI help

- Threat Assessment
- Target Hardening
- Primary, secondary and tertiary enquiries
- Bank liaison
- Financial Disclosures
- Inter-agency problems
- Technical assistance

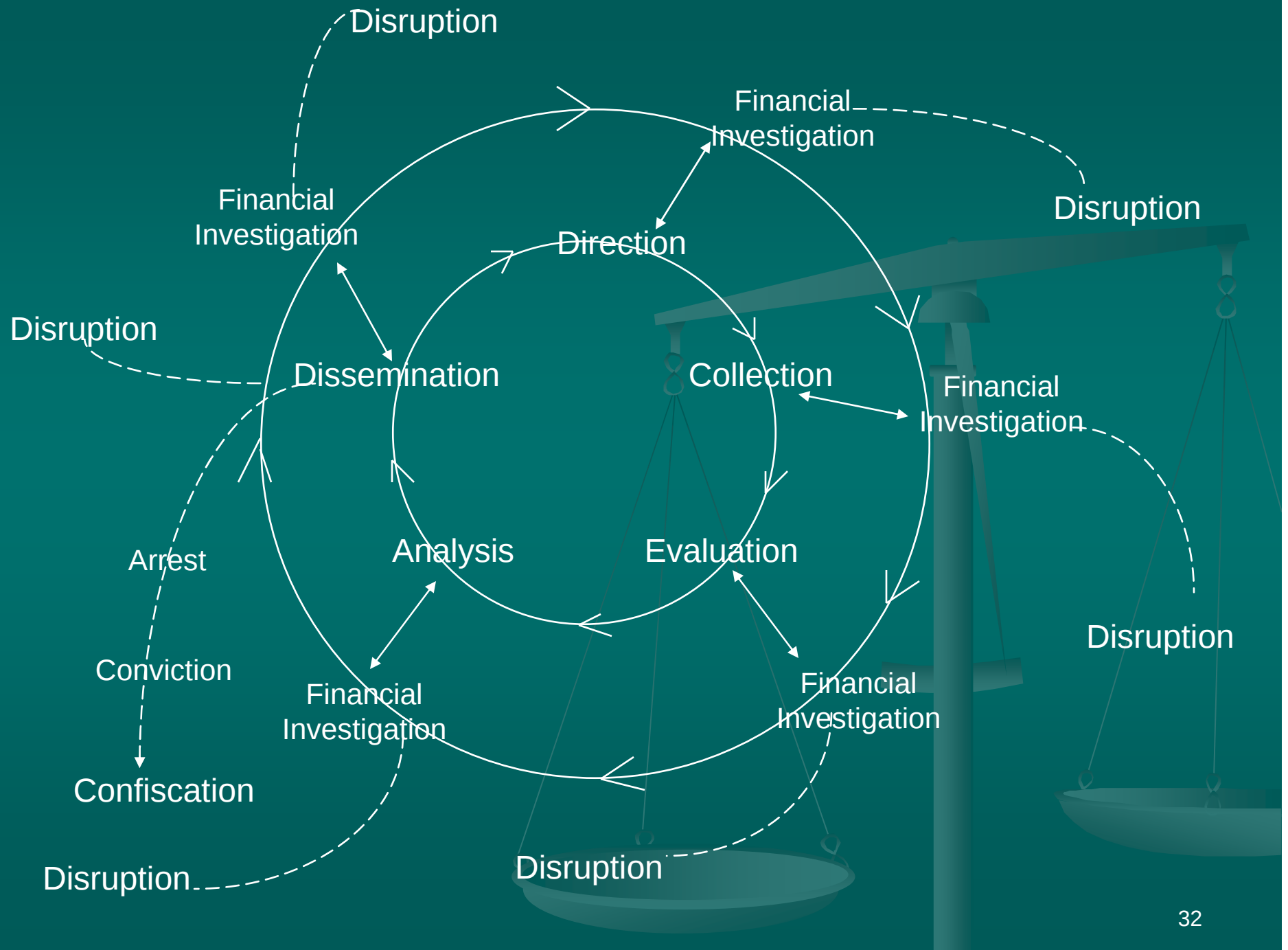


Tactics

- International co-operation
- Specialist contacts
- Peripheral Offences
- Disruption







Conclusion

Use the Financial Investigator at:

- The commencement of an operation
- Brief them properly
- Agree a strategy
- Agree the tactics
- Review and review again

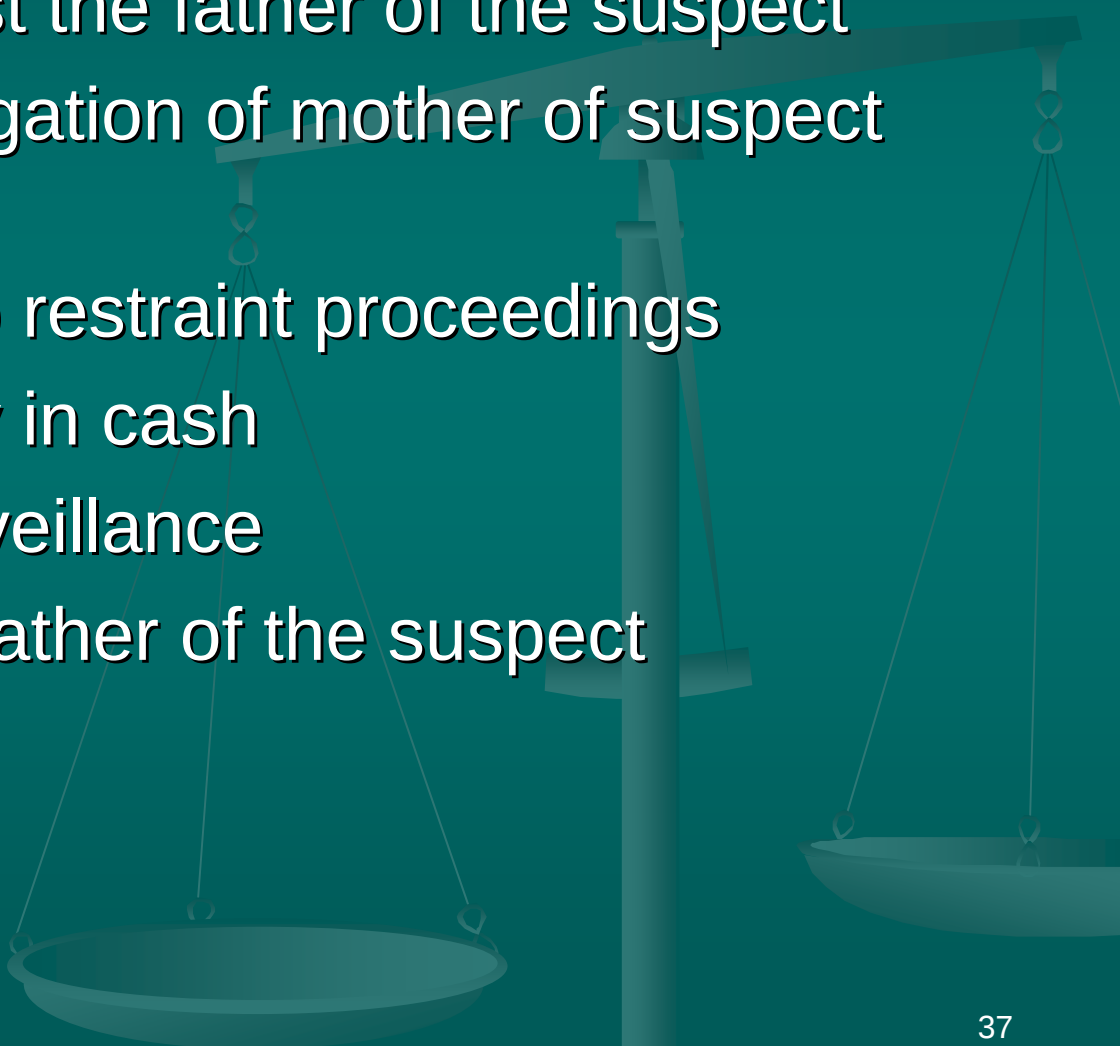
How would all of this have helped in the Stephen Lawrence Enquiry

Remember this slide ?

- 'Wall of silence from resident community'
 - Criminal background of perpetrators families
 - Racial overtones
- Standard detective techniques failed
- Perpetrators identified and arrested
- Two prosecuted and acquitted
- Family visited by Commissioner of Police and Nelson Mandela

Criminal background of perpetrators families

- Father of one of the suspects – wanted for major drug trafficking
- Had previously influenced a jury when his son stood trial for a serious assault
- ‘Influenced’ others not to give evidence against the suspects

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- During the phase of the investigation that led to the private prosecution
 - Decision to arrest the father of the suspect
 - Financial Investigation of mother of suspect revealed :
 - House subject to restraint proceedings
 - Dealt exclusively in cash
 - Led to close surveillance
 - Led to arrest of father of the suspect

Thank You - Questions?



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