





Implemented by the Council of Europe

PGG II - Ukraine: Strengthening measures to counter money laundering and financing of terrorism

Planned On-going

					On-going Cancelled											
Overall objective	Outcome indicators	Status Sources of verification	Assumption/	Risks	Total cost		2019				2020				2021	
To strengthen legislative and	- National legislation in line with international standards;	- EU Annual Progress Reports	- Political stability;		500,000 EURO	Jan Feb Mar Apr May J		g Sep (Oct Nov	/ Dec		Sep Oct	Nov De	c Jan	Feb Mar Apr May Jun Jul Aug Sep Oct M	Nov Dec
	r - Level of compliance with relevant MONEYVAL recommendations; n - Level of effectiveness of AML/CFT supervisory process enhanced;	MONEYVAL reportsOfficial reports/statistics of key	 Political will and continuity to carry out refo Willingness of national authorities to adapt 												(
	- Capacities to verify beneficial ownership information enhanced;	institutions	international standards and good practices;	policies in line with applicable											(
•	- Capacities to investigate and adjudicate economic crimes strengthened	- Project progress and activity	- National authorities continue to pursue the	policy of integration and comply											(
and other international standards		reports - Inventory of technical papers	with commitments												(
		prepared through the project													(1
															(1
Outcome No. 1	Outcome indicators	Status Means of verification	Risks and Assumptions	Target Description	Target Value (2019)	Jan Feb Mar Apr May J	un Jul Aug	Sep (Oct Nov	/ Dec	Jan Feb Mar Apr May Jun Jul Aug	Sep Oct	Nov De	ec Jan	Feb Mar Apr May Jun Jul Aug Sep Oct M	Nov Dec
Legislative framework on AML/CFT	- Recommendations for amendments to AML/CFT laws in line with international and	- Project progress and activity	- Political will to pass relevant legislation	-Technical papers available	1 technical paper/1											
aligned to European and international standards	European standards available;	reports; - MONEVAL reports		providing legal opinion and recommendations to align	workshop										(1
		- Reports of key national	effectively cooperate and absorb CoE	AML/CFT legislative framework											(1
		institutions - Reports by partner institutions	, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	with international standards and good practices											(1
		neports by partiter institutions		-Authorities implement		4									(1
	- Level of uptake of recommendations in the draft legislation			recommendations proposed by	at least 50% of recommendations										(1
				the Project to strengthen	taken on board										(1
				AML/CFT legal acts and regulations											(
·			Beneficiary Institutions	Target Groups	Numbers: F/ M	Jan Feb Mar Apr May J	un Jul Aug	g Sep C	Oct Nov	/ Dec	Jan Feb Mar Apr May Jun Jul Aug	Sep Oct	Nov De	ec Jan	Feb Mar Apr May Jun Jul Aug Sep Oct M	Nov Dec
Output 1.1.	1.1.1 Provide assistance in drafting legislative proposals to align Ukraine's AML/CFT legal framework (including Criminal Code of Ukraine, Code of	Expert opinion/legal reviewLegal proposals/technical			N/A											
Legislative proposals available to	Criminal Procedure of Ukraine, Special Confiscation law, Tax code, Trust		Policy makers, Financial Intelligence Unit,	Policy makers, Financial Intelligence Unit, relevant												
address gaps identified in MONEYVAL evaluation	Regulations etc.) more closely with the FATF Recommendations 1.1.2 Organise workshop/roundtable for policy makers on legislative proposals	- Workshops/roundtables	relevant Parliamentary Committee(s)	Parliamentary Committee(s)												
Outcome No. 2	Outcome indicators - Level of application of Risk based approach to supervision of non-bank institutions,		Risks and Assumptions - Beneficiary institutions committed to	Target Description - Staff of competent authorities	Target Value (2019)	Jan Feb Mar Apr May J	un Jul Aug	g Sep (Oct Nov	Dec	Jan Feb Mar Apr May Jun Jul Aug	Sep Oct	Nov De	c Jan	Feb Mar Apr May Jun Jul Aug Sep Oct N	Nov Dec
Comprehensive measures on prevention and detection of money	1	•	- Beneficiary institutions committed to adopting and applying recommended	trained and provided with tools	IN/A											
laundering/terrorist financing			measures and guidance	for improved compliance levels												
			money laundering and pursue deterrent	for non-bank institutions, DNFBPs and NPOs]									,	
	- Decrease in the level of staff turnover at the FIU		confiscation	-FIU staff trained in accordance with personnel development	N/A										(1
			 Beneficiary institutions committed to applying acquired expertise and tools to 	plans											1	1
	- Extent to which financial investigation methods and non-conviction based confiscation		effectively investigate and prosecute ML	- Practitioners are trained on the	N/A	1									1	1
	regime are applied		- FIU continues to implement the personnel development plans	use of parallel financial investigations in ML cases and											1	1
			development plans	non-conviction based confiscation	1										1	1
	- Introductory and Continuous education on ML/TF investigations and prosecutions		resources to upgrade staff remuneration - High-level commitment exists to prosecute	- National training facilities	at least 1 training	1									1	1
	available		money laundering and pursue deterrent	integrate and offer introductory and continuous training on	course developed										1	1
			confiscation - Beneficiary institutions committed to	investigations and prosecutions of	F										(1
	-Level of operational cooperation among competent authorities in the area of		applying acquired expertise and tools	MI /TF - Competent authorities	N/A	1									í	1
	prevention and counteraction to proliferation financing			implement recommendations	,										(
Outputs			Beneficiary Institutions	provided by the project Target Groups	Numbers: F/ M	Jan Feb Mar Apr May J	un Jul Aug	g Sep (Oct Nov	/ Dec	Jan Feb Mar Apr May Jun Jul Aug	Sep Oct	Nov De	c Jan	Feb Mar Apr May Jun Jul Aug Sep Oct N	Nov Dec
Output 2.1	2.1.1 Training on financial investigations and confiscation of proceeds from crime for law enforcement and judiciary	-Training; - Training materials	Law enforcement, judiciary and Academy for Financial Monitoring	Investigators, prosecutors, judges												
Reinforcing capacities in financial	To law emorcement and judiciary	- Hailing materials	Financial Monitoring												(
investigations and investigative	2.1.2 Training for law enforcement agencies on FATF standards on ML	5.	Law enforcement, judiciary and Academy for	Representatives of LEAs												
techniques and enhancing information sharing for the law	criminalisation and Ukraine's obligations under the Warsaw Convention	- Training materials	Financial Monitoring												1	1
enforcement authorities	2.1.3 Development of training programme for the National Academy of PPO	, ,	National Academy of Public Prosecutor's	Prosecutors												
	(NAPU) on investigation and prosecution of the ML/FT cases	and manual on ML/TF investigations and prosecution	Office													1
		- Continuing education														1
		curriculum and manual on ML/TF investigation and prosecution														1
		- 4 Trainings (2 pilot and 2 ToTs)														
	2.1.4 Training on Financing of Terrorism detection and investigation	_		Representatives of SSU, FIU, AFM										\dashv		
	2.4.5 Denoted an effective for the first of	<u> </u>	Academy for Financial Monitoring	CL-ff -file - turges										_		\square
	2.1.5 Provision of training for trainers course on financial investigations for the National University of the State Fiscal Service of Ukraine (NUSFS), "Financial	 Available ToT programme on financial investigations 	,	Staff of the NUSFS tasked with delivering the course												
	Investigations" faculty	- Workshop														
	2.1.6 Training on detection and investigation of Money Laundering	-Training; - Training materials	National Police and FIU	Detectives, investigators												
Output 2.2	2.2.1 Preparation of personnel development plans for FIU staff	- Needs assessment					++	++						\dashv		
		- Personnel development plans														
Strengthened capacities of the Financial Intelligence Unit	2.2.2 Provision of capacity building per FIU personnel development plan	-Training;														+
	2.2.2 Trovision of capacity ballating per 110 personnel development plan	- Training, - Training materials														
	2.2.2 Drovide event assistance in alchematical and the interest in the interes	Tooksieslassassassassassassassassassassassassas				+		+						-		+
	2.2.3 Provide expert assistance in elaborating/amending internal regulations and instructions of the FIU	 Technical papers with review and recommendations on 														
		internal regulations/instructions	FIU	FIU												
		- Expert meeting(s)														
														_		
	2.2.4 Assessment of the IT infrastructure of the FMS for the purpose of identifying the appropriate analytical software to track source of funds and	- Assessment report														
	further movement of funds															
															<u>, </u>	

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Output 2.3	2.3.1	Development of risk-based approach to AML/CFT supervision of non-bank	-Guidance paper	AML/CFT Supervisors of non-bank	AML/CFT Supervisors of non-ba																					
		institutions, DNFBP and NPOs supervisory authorities through training and	-Training for supervisory	institutions, DNFBPs and NPOs	institutions, DNFBPs and NPOs																					
Provided effective risk-based		guidance	authorities																							
AML/CFT measures and supervision	2.3.2	Raising awareness of obliged entities in the application of AML/CFT	-Workshop for obliged entities	Obliged entities	Representatives of obliged entit	ties																				
for non-bank financial institutions and	t	requirements	, and a second																							
designated non-financial businesses																										
and professions (DNFBPs)																										
Output 2.4	2.4.1	Assist in the identification of National proliferation financing indicators	- Technical paper with review and	d SSU, MFA, FIS (Foreign Intelligence Service),	Representatives of law												+ + -									
Output 2.4	2.4.1	7.53.5c in the identification of National promeration infancing maleutors	recommendations for indicators	SFS, State Service of Export Control (SSoEC),	•																					
Strengthening the process of			on proliferation financing	SBGS (State Border Guard Service), FIU	agencies																					
cooperation in the area of prevention			on promoration intanema		age.re.es																					
and counteraction to proliferation																								\perp		
financing	2.4.2	Organising workshop on prevention and counteraction to proliferation	-Workshop	SSU, MFA, FIS (Foreign Intelligence Service),	-																					
		financing involving relevant national stakeholders		SFS, State Service of Export Control (SSoEC),	_																					
				SBGS (State Border Guard Service), FIU,	agencies, AML/CFT supervisors																					
				AML/CFT supervisors, private sector	and private sector																					
Outrout 2.5	2 5 4	Development and consists building an even missing and object ANDO sixed at	Tuelining	State Final Coming of Illuming FILL COLL	State Fiscal Service of Ukraine,																					
Output 2.5	2.5.1	Development and capacity building on supervisory models for NPO aimed at prevention of terrorist financing	-Training;	State Fiscal Service of Ukraine, FIU, SSU	FIU, SSU																					
Improved analysis and understanding	2.5.2		- Training materials	C F. LC . C EUL CC.	· ·																					
Improved analysis and understanding Non-Profit Organisation (NPO)	2.5.2	Support an in-depth review of the ML/TF risks associated with the NPO	-Technical Paper containing	State Fiscal Service of Ukraine, FIU, SSU	State Fiscal Service of Ukraine,																					
Terrorist Financing Risks		sector and development of mitigation measures	review and recommendations		FIU, SSU																					
			-Consultative meeting																							
Outcome No. 3			Status Means of verification	Risks and Assumptions	Target Description	Target Value (2019) Jan	n Feb Ma	ar Apr	r May	Jun Jul Au	ug Sep	Oct No	lov Dec	Jan Feb M	⁄lar Apr	May J	un Jul Aug	Sep Oct	Nov D	ec Jan	Feb Mai	r Apr M	lay Jun	Jul <i>A</i>	ug Sep (oct Nov Dec
Effective legal and institutional	- Improve	ed beneficial ownership regulation pursuant to CoE recommendations and	- Follow-up MONEYVAL report	- Beneficiary institutions committed to	- Authorities implement	N/A																				
framework enforcing beneficial	input			applying recommended methodology	recommendations by the projec	ct																				
ownership regime					on strengthening the																					
					transparency of beneficial																					
					ownership of legal entities																_					
	- Enhance	d cooperation between relevant institutions in establishing the BO			- Inter-agency meetings facilitati	ting 1 roundtable/20															7					
	Information	on Register			cooperation between relevant	participants																				
					institutions in establishing the B	30																				
					register																					
Outputs	Activities	S	Status Inputs/Actions	Beneficiary Institutions	Target Groups	Numbers: F/ M Jan	n Feb Ma	ar Apr	r May	Jun Jul Au	ug Sep	Oct No	lov Dec	Jan Feb M	/lar Apr	May J	un Jul Aug	Sep Oct	Nov D	ec Jan	Feb Mai	r Apr M	lay Jun	Jul <i>A</i>	ug Sep (Oct Nov Dec
Output 3.1	3.1.1	Review the legislative framework on BO and provide recommendation for	- Legal opinion with	Ministry of Justice, NBU, SFS, FIU	Ministry of Justice, NBU, SFS, FI																					
		its strengthening	recommendations																							
Enhanced regulatory framework and	3.1.2	Assessment of the operational capacity of the verification system of	- Assessment report	Ministry of Justice, NBU, SFS, FIU	Ministry of Justice, NBU, SFS, FIL	U N/A																				
operational regime for verification of		beneficial ownership (BO) of corporate entities	·																							
beneficial ownership of corporate																										
entities	3.1.3	Provide assistance in improving cooperation between relevant institutions	- Technical paper	Ministry of Justice, NBU, SFS, FIU	Ministry of Justice, NBU, SFS, FIL	U N/A																				
		in establishing the BO Information Register	- Inter-agency																							
			meetings/Workshop																							
	3.1.4	Provide training on identifying ML/TF risks associated with corporate	- Training	FIU, Ministry of Justice	FIU, Ministry of Justice																					
		entities			, , , , , , , , , , , , , , , , , , , ,																					
Outcome No. 4		Outcome indicators S	Status Means of verification	Risks and Assumptions	Target Description	Target Value (2019) Jan	n Feb Ma	ar Apr	r May	Jun Jul Au	ug Sep	Oct No	lov Dec	Jan Feb I	/lar Apr	May J	un Jul Aug	Sep Oct	Nov D	ec Jan	Feb Mai	r Apr M	lay Jun	Jul A	ug Sep (Oct Nov Dec
Improved adjudication of cases	- Continue	ous training on ML/FT related cases available and provided by the National	- Follow-up MONEYVAL report	- Trained judges are willing to apply		2 training manuals																				
involving economic crimes	School of	Judges	·	acquired skills and knowledge	developed and associated																					
					trainings provided to the NSJ an	nd																				
					judges																					
Outputs	Activities		Status Inputs/Actions		Target Groups	Numbers: F/ M Jan	n Feb Ma	ar Apr	r May	Jun Jul Au	ug Sep	Oct No	lov Dec	Jan Feb I	⁄lar Apr	May J	un Jul Aug	Sep Oct	Nov D	ec Jan	Feb Mai	r Apr M	lay Jun	Jul <i>A</i>	ug Sep (oct Nov Dec
Output 4.1	4.1.1	Assist National School of Judges (NSJ) in development and delivery of the	-Training Manual		Anti-Corruption Court judges																					
		specialized training course for judges of the Anti-Corruption Court of	- Training	Academy of FMS in training																						
Improved capacities of the National		Ukraine on adjudication of the economic crimes under NABU investigative																								
School of Judges to provide judicial		jurisdiction																								
training in relation to adjudication	4.1.2	Assist NSJ in development and delivery of the training course for judges on	-Training Manual	National School of Judges, involvement of	ludges		+										+ +				+ +		 	+ +		
and criminal proceedings against	7.1.2	adjudication of the FT related cases	- Training Maridai	Academy of FMS in training	Judges																					
economic crime		adjustication of the FFF clated cases	Trailing	, sauciny of this in dalling																						
1																										
1																										
	4.1.3	Assist NSJ in the review, development and delivery of the training course for	-Training Manual	National School of Judges, involvement of	Judges																					
		judges on adjudication of the ML related cases (including special	- Training	Academy of FMS in training																						
1		confiscation)																								
				L		Stooring Committee Africa	ting							\vdash			- - 		+ +		+ +			+++		
						Steering Committee Mee Inception Report	ung										 		+ +		+ +			++++		
						Progress Report	+ +									1			+ +							
						Annual Report	 																			
						Evaluation Process/Report	rt																			
						Final Conference and Rep																				