

PGG II-GE: Enhancing the systems of prevention and combating corruption, money laundering and terrorist financing in Georgia

Planned
Postponed
Implemented
On-going
Cancelled

						On-going Consolled																				
Overall objective	Outcome indicators	Status	Sources of verification	Assur	Total cost 2019											2020				2021						
Strengthening capacities of to fight and prevent economic crime, in particular corruption, money	- Legislation, standards, practices and institutional capacities		- EU Annual Progress Reports - GRECO Reports	- Political will and continuity to carry out	reforms apt policies, in line with the EU and CoE norms	500,000 EUR	Jan Feb	Mar Apr	May Jun	Jul Aug	Sep O	ct Nov [Dec Jar	n Feb	Mar Apr	May Jun	Jul A	aug Sep	Oct Nov	v Dec	Jan Feb	Mar Apr M	ay Jun	Jul Au	g Sep Oct	Nov Dec
laundering and terrorist financing (ML/FT)	- Measures identified under the Anti-corruption Strategy and Action Plan of Georgia implemented		- MONEYVAL Reports - Official statistics of key institutions	and standards	the policy of integration and comply with																					
	- Legislation, standards, practices and institutional capacities compliant with MONEYVAL and FATF recommendations, EU		- Project related report(s)	commitments stemming from their CoE m																						
	AML Directives - Money laundering/terrorist financing risks identified at the national																									
	and sectorial level and mitigating measures taken by Georgian authorities																									
Outcome No. 1	Outcome indicators	Status	Means of verification	Risks and Assumptions	Target Description	Target Value (2019)	Jan Feb	Mar Apr	May Jun	Jul Aug	Sep O	ct Nov [Dec Jar	n Feb	Mar Apr	May Jun	Jul A	lug Sep	Oct Nov	v Dec	Jan Feb	Mar Apr M	ay Jun	Jul Au	g Sep Oct	Nov Dec
Effective measures on prevention of corruption	- Legal framework on asset declarations and on prevention and regulation of conflicts of interest amended taking into account		- EU Annual Progress Reports - GRECO Reports	continues to exist	- Strengthened legal framework on asset declarations and regulation of conflicts of	1 technical paper																				
	PGG recommendations		- MONEYVAL Reports - Official statistics of key institutions	institutions to effectively cooperate and	interest taking into account the project's recommendations																					
	- Methodologies for asset declaration and prevention/management of conflict of interest modified and		Project progress and activity reportsIndicator measurement matrix		-Authorities implement recommendations provided by the project to modify and apply	N/A																				
	implemented taking into account PGG recommendations				methodologies for asset declaration and prevention/management of conflict of interest																					
	-Number and importance of declarations processed annually and number of violations detected in asset declarations and		-		-Staff of competent authorities trained in efficient implementation of asset declarations	25 persons trained																				
	further action taken				system and prevention of conflicts of interest and stakeholders are aware about conflict of																					
	-Operational capacities for investigation and prosecution of				interest regulations and other anti-corruption -Investigators, prosecutors and judges trained	25 persons trained											+ +									
	corruption cases improved				and applying knowledge acquired through participation in project activities	,																				
	-Corruption prevention policies adopted and implemented]		-Competent authorities apply tools and advice provided by the project to improve anti-	N/A																				
Outputs	Activities	Progress	Inputs/actions		corruption policies and measures Target Groups	Numbers: F/ M	lan Foh	Mar Apr	May Jun	lul Aug	Son O	ct Nov [Doc Jar	n Fob	Mar Apr	May lun	lul A	ug Son	Oct No.	y Dec	lan Foh	Mar Apr M	av lun	lul Au	g Sep Oct	Nov Dec
Output 1.1.	1.1.1 Technical paper/s providing recommendations (i) on		- Technical Paper	-AC Council	-AC Council	Wullbers. If W	Jan Peb	Iviai Api	IVIAY Juli	Jul Aug	Зер О	Ct NOV I	Dec Jai	II PED	IVIAI API	Iviay Juli) iii	lug Sep	Oct No.	v Dec	Jan Peb	IVIAI API IVI	ay Juli	Jul Au	зер ост	NOV DEC
Improved regulation and monitoring of system	. , ,		- Consultation workshop	-CSB -ACA	-CSB -ACA																					
of asset declarations, conflict of interest and integrity	conflict of interest, and (iii) methodology for				-GPO -Internal audit staff and other relevant																					
	processing and verification of asset declarations, including cooperation between relevant institutions			other relevant institutions	responsible officials in line ministries and othe relevant institutions																					
	1.1.2 Training of CSB Staff and officials of LEAs and other public officials to implement efficiently system for		Training materialsTrainings/workshops	-CSB -ACA	-CSB -ACA																					
	asset declarations and prevention/regulation of conflicts of interest			-GPO -Internal audit officials and other	-GPO -Internal audit officials and other responsible																					
				other relevant public institutions	officials in line ministries and other relevant public institutions including local government																					
	1.1.3 Support to CSB and ACA staff to raise awareness of public officials, civil society and the public on		- Awareness raising materials - Roundtables/workshops	including local government -CSB staff -ACA staff	-CSB staff -ACA staff																					
	corruption prevention and anti-corruption law		- Roundtables/ Workshops	-Responsible officials in public	-Responsible officials in public institutions																					
Output 1.2	1.2.1 Guidance on carrying out sectorial/institutional		- Guidance	-CSOs -AC Secretariat/AC Council	-AC Secretariat/AC Council																					
Strengthened the anti-corruption policy making	corruption risk assessment		- Consultation workshops	-ACA -CSB	-ACA -CSB																					
process through support to strateg development and measurement				-Responsible officials in line ministries and other institutions	-Responsible officials in line ministries and other institutions																					
	1.2.2 Support to the AC Secretariat, including capacity building, to ensure quality and effective		Expert advise/technical paperWorkshop	-AC secretariat/AC Council	-Representatives of the AC Secretariat/AC Council																					
	implementation of Anti-Corruption Strategy and Action Plan of Georgia (2019-2020), as necessary																									
Output 1.3	1.3.1 Training on investigation, prosecution and		-Training materials	-GPO	- Representatives of GPO, ACA, CSB																					
Increased capacities to investigate, prosecute and adjudicate corruption	adjudication of corruption-related cases (investigative techniques, evidence)		-Training	-ACA -High School of Judges	- Judges																					
and adjudicate corruption	1.3.2 Training on investigation and prosecution of corporate liability and foreign bribery		-Training materials -Training	-GPO	- Representatives of LEAs - Judges																					
	corporate hability and foreign bribery		, and the second	-High School of Judges	- Judges																					
Outcome no 2 Comprehensive measures on prevention and	Outcome indicators - Operational capacities for financial investigations are	Status	Means of verification - EU Annual Progress Reports;	Risks and Assumptions - Political will to pass relevant legislation	Target Description	Target Value (2019) 1 training curriculum	Jan Feb	Mar Apr	May Jun	Jul Aug	Sep O	ct Nov [Dec Jar	n Feb	Mar Apr	May Jun	Jul A	lug Sep	Oct Nov	v Dec	Jan Feb	Mar Apr M	ay Jun	Jul Au	g Sep Oct	Nov Dec
detection of money laundering and terrorist financing	improved		GRECO ReportsMONEYVAL Reports	•	trainings provided in ML/FT investigations,	and handbook																				
	- Recommendations for enhancing the AML/CFT regulatory			absorb CoE project deliverables	prosecutions and adjudication -AML/CFT regulatory framework improved and	N/A	-																			
	framework with CoE and international standards available		- Indicator measurement matrix	- Project progress and activity report - Indicator measurement matrix	implemented taking into account the project recommendations																					
	- Number of suspicious transaction reports submitted by the financial institutions and non-financial (DNFBP) sector entities				-Representatives of obliged entities trained in AML/CFT compliance requirements	N/A																				
	-FIU analytical capacities strengthened through provision of software				-New analytical software made available and capacities built on its application	1 needs assessment/1 software																				
	- NRA report available		_		- Recommendations made available to	1 technical paper/1	-																			
	Competent authorities apply guidelines on DDA supervision		_		develop NRA and its Action Plan -Competent authorities trained and supplied	workshop	1																			
Outputs	- Competent authorities apply guidelines on RBA supervision Activities	Status	Impute /a stiene		with guidance on RBA supervision	Numbers 5/84	lan Fah	Dan Ann	Dan Ive	Ind. Aug	Son O	at Nov 1	Dec. Jes	n Fah	Nau Anu	NA. I.u.	1	San San	Oct No.	u Dec	lan Fah	Mar Apr M		lul Au	un Com Oct	Nov. Doc
Output 2.1.	2.1.1 Development of training materials for ML/FT	Status	Inputs/actions - Training Curricula and Handbook for	-GPO	-GPO	Numbers: F/ M	Jan Feb	Iviar Apr	Iviay Jun	Jui Aug	зер О	Ct NOV I	Dec Jar	п гер	iviar Apr	iviay Jun	Jui A	aug Sep	OCT NOV	v Dec	Jan Peb	Iviar Apr Ivi	ay Jun	Jui Au	g Sep Oct	Nov Dec
Improved frameworks and operational capacities for investigations, prosecution and adjudication			Training of Trainers (ToTs) - Training Materials	-SSA -High School of Judges	-SSA -High School of Judges																					
of ML/TF cases	2.1.2 Training of Trainers (ToTs) on AML/CFT investigations, prosecutions and adjudications based		- Two pilot trainings: (i) investigators; (ii) prosecutors and	- GPO - SSA	- GPO - SSA																					
	on training materials referred to in 2.1.1.		judges - Two Training of Trainers (ToTs): (i)	- High School of Justice	- High School of Justice																					
			investigators; (ii) prosecutors and judges																							
	2.1.3 Trainings on asset recovery and asset management for LEAs		-Training materials - Trainings	-General Prosecutor's Office/LEAs	- Representatives of GPO - Representatives of LEAs																					
	2.1.4 Training on financial investigation for LEAs in the field of ML/FT		-Training materials	-LEAs/High School of Justice	- Representatives of LEAs -Judges						\dagger						\dagger			$\dashv \dashv$						
	2.1.5 Expert advice/legal review of the CFT strategy and		- Expert opinion/advice	-SSA	-SSA												+ +									+ + -
	action plan 2.1.6 Workshop on CFT		- Consultation workshop	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \																						
	2.1.6 Workshop on CFT		- Workshop	-LEAs	-LEAs																					
Output 2.2	2.2.1 Assessment of the IT infrastructure of the FMS (FIU) for the purpose of identifying the appropriate		-Assessment report	-Financial Monitoring Service (FMS)	-Analytical Department of the FMS																					
Reinforced capacities of Financial Intelligence Unit of Georgia	analytical software																									
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	2.2.2	Provision of new analytical software for staff of analytical department of the FIU	-Analytical software	-Financial Monitoring Service (FMS)	-Staff of Analytical Department of the FMS											
	2.2.3	Training for the Analytical Staff of the FIU on the use of the new analytical software	-Training materials - Training	-Financial Monitoring Service (FMS)	-Staff of Analytical Department of the FMS											1
Output 2.3	2.3.1	Workshop for the key institutions to update NRA document	-Workshop	-AML/CFT Inter-Agency Council	-Members of the AML/CFT Inter-Agency Council											
Increased compliance of AML/CTF Regulatory and supervisory legal framework with international standards and good practices	2.3.2	Expert support in finalization of National AML/CFT Risk Assessment	Expert opinion/ adviceConsultation workshop	-AML/CFT Inter-Agency Council	-Members of the AML/CFT Inter-Agency Council											
	2.3.3	Expert advice on the development of AML/CFT policy document, as necessary	Expert opinion/ adviceConsultation workshops	-AML/CFT Inter-Agency Council	-Members of the AML/CFT Inter-Agency Council											
	2.3.4	Workshop/training on the implementation of AML/CFT national strategy and action plan, as a part of NRA, as appropriate	-Workshops/training	-AML/CFT Inter-Agency Council	-Members of the AML/CFT Inter-Agency Council											
	2.3.5	Expert opinion/advice on new by-laws issued by the FMS for reporting entities	Expert opinion/ adviceConsultation workshop	- FMS - AML/CFT supervisors	 Representatives of the FMS Representatives of the AML/CFT Supervisors Representatives of the AML/CFT Reporting entities 											
	2.3.6	Develop supervisory guidelines and provide training to supervisory institutions on new AML/CFT requirements based on the new AML law of Georgia	- Guideline - Training materials - Workshops/training	- FMS - AML/CFT supervisors	- Representatives of the FMS - Representatives of the AML/CFT supervisors											
	2.3.7	Develop guidelines and provide training for reporting entities on new AML/CFT requirements based on new AML law of Georgia	- Guideline - Training materials - Workshops/trainings	- FMS - AML/CFT supervisors - AML/CFT Reporting entities	 Representatives of the FMS Representatives of the AML/CFT supervisors Representatives of the AML/CFT Reporting entities 											
Output 2.4 Reinforced capacities of supervisors and monitoring entities to implement AML/CFT obligations	2.4.1	Support to supervisory institutions to develop AML/CFT guidelines for reporting entities	-AML/CFT Guidelines	- FMS - AML/CFT supervisors	 Representatives of the FMS Representatives of the AML/CFT supervisors Representatives of the AML/CFT Reporting entities 											
	2.4.2	Trainings for DNFBPs on AML/CFT compliance	- Training materials - Trainings	- AML/CFT supervisors for DNFBPS - DNFBPs - FMS	- Representatives of the AML/CFT supervisory institutions for DNFBPs -Representatives of the DNFBPs - Representatives of the FMS											
	2.4.3	Training for supervisory institutions on efficient implementation of AML/CFT requirements	- Training materials - Trainings	- AML/CFT supervisors for DNFBPS - DNFBPs - FMS	- Representatives of the FMS - Representatives of the AML/CFT supervisors											
	2.4.4	Assistance in the implementation of recommendations after MONEYVAL Assessment in 2020	-Trainings/workshops	- AML/CFT Inter-Agency Council - AML/CFT supervisors	- Representatives of the AML/CFT Interagency Council - Representatives of the AML/CFT supervisors - Representatives of the AML/CFT Reporting entities											
				I	I	Steering Committee Meetings										
						Start-Up Conference										
						Inception Report Progress Report										
						Progress Report Annual Report								1 1		,——
						Evaluation Process/Report										
						Final Conference and Reporting		I I T	1 1 T				I I —			.