Partnership for Good Governance Yaxşı İdarəçilik üçün Tərəfdaşlıq





PGG-Azerbaijan: Strengthening anti-money laundering in Azerbaijan (SAMLA) (2018)

Planned	
Postponed	
Implemented	
On-going	
Cancelled	

Overall objective	Outcome indicators	utcome indicators Status Sources of verification Risks and Assumptions Total cost								2018									
-	- Improved institutional capacities to fight and prevent economic crime; - Progress in Azerbaijan's compliance with antimoney laundering/terrorism financing standards (Moneyval, FATF) Increased application of international antimoney laundering standards; Increased capacities of Azerbaijani institutions to effectively apply these standards; - Increase in the quality and quantity of		 EU Annual Progress Report; Official statistics of key institutions; Country reports (MONEYVAL, FATF reports) show improvement of situation. Project progress and activity reports; National, regional and/or international assessments on money laundering. 	 Political will and continuity to carry out reforms; Willingness of national authorities to adapt policies, in line with the EU and Council of Europe norms and standards; National authorities continue to pursue the policy of integration and comply with commitments stemming from their Council of Europe membership. 	350,000		Jan	Feb	Mar	Apr	May	Jun	Jul ,	Aug S	Sep	Oct	Nov	Dec	
Expected Result No. 1	Outcome indicators	Status	Means of verification	Benchmarks	Cost						<u> </u>	<u> </u>	<u> </u>						
Improved strategic and operational capacities of the Financial Monitoring Service under the new structure aimed at independence and autonomous FIU methodology.	 Increased quality of FIU analytical reports; Increased capacities to carry out freely and independently its functions as an FIU of the country; Available resources can freely carry out their functions; FMS is able to operate independently with other counterpart domestic and independent authorities; Number of statistical forms is increased. Improved knowledge on ML/TF typologies for financial and non-financial institutions. 		 Project progress and activity reports; MONEYVAL reports; Official statistics of key institutions. 																
Activities	Inputs/Actions	Status	Deliverables	Target Groups	Means	Numbers: F/ M	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug S	Sep	Oct	Nov	Dec	
Activity 1.1. Increase the capacities of FMS	1.1.1. Develop training materials on STRs and risk-based approach		Training materials for FIU staff																

Transactions Reports (STRs) and the application of the risk-based approach 1.1.3. Pilot training for reporting institutions on STRs and risk-based approach Activities Activities Inputs/Actions Location of the risk-based approach Increase the capacities of FMS staff on intelligence analysis Activity 1.2. Vorkshop for FIU analytical staff on intelligence analysis Inputs/Actions Status Deliverables Training for representatives of financial and non-financial institutions Training for representatives of financial and non-financial institutions Numbers: F/ M Jan Feb Mar Apr May Jun Jul	
Activity 1.2. Increase the capacities of FMS staff on intelligence analysis Activities Inputs/Actions Activity 1.3. Assist the FMS staff in developing ML/TF typologies, statistical forms and indicators for the purpose of developing a for the purpose o	
Increase the capacities of FMS staff on intelligence analysis Inputs/Actions Activities Inputs/Actions Activity 1.3. Assist the FMS staff in developing ML/TF typologies, statistical forms and indicators for the purpose of developing a Inputs/Actions Status Deliverables Target Groups Means Numbers: F/ M Jan Feb Mar Apr May Jun Jul Guidelines for developing ML/TF typologies FIU staff FIU staff	Jul Aug Sep Oct Nov Dec
Activity 1.3. Assist the FMS staff in developing ML/TF typologies, statistical forms and indicators for the purpose of developing a for the purpose of develo	Jul Aug Sep Oct Nov Dec
Assist the FMS staff in developing ML/TF typologies, statistical forms and indicators for the purpose of developing a for the purpose of developing a forms and indicators for the purpose of developing a forms and indicators for the purpose of developing a forms and indicators for the purpose of developing a forms and indicators forms and indic	
11.2.2 Compart FMC staff in developing Ctatistical forms and indicators	
strategy 1.3.2 Support FMS stall in developing statistical forms and indicators for the purpose of drafting a clear AML/CFT strategy Statistical forms and indicators FIU staff	
Expected Result No. 2 Outcome indicators Status Means of verification Benchmarks Cost	
Strengthened capacities of judges with improved knowledge judges and prosecutors on ML/TF offences; Number of ML/TF investigations. Number of investigative tools available. Number of investigative tools available. - Project progress and activity reports; - MONEYVAL reports; - Official statistics of key institutions.	
Activities Inputs/Actions Status Deliverables Target Groups Means Numbers: F/ M Jan Feb Mar Apr May Jun Jul	Jul Aug Sep Oct Nov Dec
Activity 2.1. Capacity-building measures for all investigative bodies in investigating and processing ML investigation in	
the Criminal Procedure Code the Criminal Procedure Code techniques in ML for law enforcement agencies, enforcement techniques in ML for law enforcement agencies, prosecutors	
Activities Inputs/Actions Status Deliverables Target Groups Means Numbers: F/ M Jan Feb Mar Apr May Jun Jul	Jul Aug Sep Oct Nov Dec
Activity 2.2. Craining on money laundering and terrorism financing substantial, procedural and investigative issues for the 2.2.1. Organise training on processing and adjudicating ML cases for judges and prosecutors Training on processing and adjudicating ML cases for 40 prosecutors and judges Training on processing and adjudicating ML cases for 40 prosecutors and judges FIU, law enforcement agencies, prosecutors and judges FIU, law enforcement agencies, prosecutors and judges	
judiciary prosecutors Expected Result No. 3 Outcome indicators Status Means of verification Benchmarks Cost	

Supported implementation of AML/CFT measures as a follow up to MONEYVAL recommendations	Financing institution - Adopted	d capacities to identify Terrorism by financial and non-financial ns d legislation amended reflecting ng MONEYVAL recommendations.		Project progress and activity reports;MONEYVAL reports;Official statistics of key institutions.															
Activities	Inputs/Ac	tions	Status	Deliverables	Target Groups	Means	Numbers: F/ M	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Activity 3.1. Develop a training programme for the financial and nonfinancial sector on terrorism financing and proliferation of	3.1.1.	Develop training materials on terrorism financing and proliferation of WMDs for financial institutions and DNFBPs		Training materials on TF and proliferation of WMDs	Financial and non-financial reporting entities							•							
WMDs	3.1.2	Deliver training on terrorism financing and proliferation of WMDs for financial institutions and DNFBPs		Training on TF and proliferation of WMDs for 50 participants	Financial and non-financial reporting entities														
Activities	Inputs/Ac		Status		Target Groups	Means	Numbers: F/ M	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Activity 3.2. Review and analyse the existing legislation, and recommend amendments in line with Moneyval recommendations	3.3.1.	Provide legal review of domestic legislation according to Moneyval recommendations		Two legal reviews	FIU, Ministry of Justice														
Expected Result No. 4	Outcome	indicators	Status	Means of verification	Benchmarks	Costs													
Increased risk-based approach to supervision and inspections	assessme - Increase	ed methodology for carrying out risk-		Means of verification - Project progress and activity reports; - Official statistics of key institutions.		Costs													
Increased risk-based approach to supervision and inspections capacities of supervisory	assessme - Increase	ed methodology for carrying out risk- nts; of risk-based approach by ry authorities.		Project progress and activity reports;Official statistics of key institutions.		Costs	Numbers: F/ M	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Increased risk-based approach to supervision and inspections capacities of supervisory authorities Activities Activities Activity 4.1. Develop risk assessment methodology and sector specific	Inputs/Ac	ed methodology for carrying out risk- nts; of risk-based approach by ry authorities.		Project progress and activity reports;Official statistics of key institutions.			Numbers: F/ M	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Increased risk-based approach to supervision and inspections capacities of supervisory authorities Activities Activities Activity 4.1. Develop risk assessment	Inputs/Ac	ed methodology for carrying out risknts; of risk-based approach by ry authorities. ctions Develop a methodology for carrying out a risk assessment in		 Project progress and activity reports; Official statistics of key institutions. Deliverables Risk Assessment Methodology	Target Groups FIU, Central Bank, Financial		Numbers: F/ M	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Increased risk-based approach to supervision and inspections capacities of supervisory authorities Activities Activities Activities Activity 4.1. Develop risk assessment methodology and sector specific guidelines on effectively applying the risk-based	I - Improve assessment - Increase superviso Inputs/Ac 4.1.1.	ed methodology for carrying out risknots; of risk-based approach by ry authorities. Develop a methodology for carrying out a risk assessment in the banking sector Develop sector-specific guidelines for financial institutions in order to effectively apply risk-based		- Project progress and activity reports; - Official statistics of key institutions. Deliverables Risk Assessment Methodology for Banks Sector-specific Guidelines on application of risk-based	Target Groups FIU, Central Bank, Financial institutions, commercial banks FIU, Central Bank, Financial			Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Increased risk-based approach to supervision and inspections capacities of supervisory authorities Activities Activities Activities Activity 4.1. Develop risk assessment methodology and sector specific guidelines on effectively applying the risk-based	Inputs/Ac 4.1.2.	ed methodology for carrying out risk- nts; of risk-based approach by ry authorities. Develop a methodology for carrying out a risk assessment in the banking sector Develop sector-specific guidelines for financial institutions in order to effectively apply risk-based procedures Organise a workshop on the methodology and guidelines for		- Project progress and activity reports; - Official statistics of key institutions. Deliverables Risk Assessment Methodology for Banks Sector-specific Guidelines on application of risk-based procedures Workshop for up to 80	FIU, Central Bank, Financial institutions, commercial banks FIU, Central Bank, Financial institutions		SC Meetings		Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Increased risk-based approach to supervision and inspections capacities of supervisory authorities Activities Activities Activity 4.1. Develop risk assessment methodology and sector specific guidelines on effectively applying the risk-based	Inputs/Ac 4.1.2.	ed methodology for carrying out risk- nts; of risk-based approach by ry authorities. Develop a methodology for carrying out a risk assessment in the banking sector Develop sector-specific guidelines for financial institutions in order to effectively apply risk-based procedures Organise a workshop on the methodology and guidelines for		- Project progress and activity reports; - Official statistics of key institutions. Deliverables Risk Assessment Methodology for Banks Sector-specific Guidelines on application of risk-based procedures Workshop for up to 80	FIU, Central Bank, Financial institutions, commercial banks FIU, Central Bank, Financial institutions				Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec



Annual Report							
Evaluation Process ,	/Repor	t					
Final Conference ar	nd Repo	orting					