PGG-Georgia: Project on combating money laundering and terrorism financing in Georgia (PGG-GE)

Postponed Implemented

Overal objective	Outcome indicators	Status	Sources of varification	Accumption	a/Dicks	Cancelled				2015						2016					2017		
		Status	- EU Annual Progress Report; - MONEYVAL reports; - Official statistics of key institutions Project progress and activity	Assumption/Risks - Political will to pass relevant legislation continues to exist - Political will exists in all beneficiary institutions to effective cooperate and absorb CoE project deliverables;		Total cost 500,000				2015						2016					2017		
Project specification To enhance the capacities of the anti- mone laundering and counter-terrorist financing system i Georgia in terms of legislation, institutions frameworks, skills and operational capabilities	for Combating Money Laundering and Terrorism Financing Y - Legislative framework (relevant laws implementing		reports;				Jan Feb	o Mar	Apr May	Jun Jul	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Jul ,	Aug Sep Oct	Nov Dec	Jan Feb Ma	Apr May	Jul A	Aug Sep Oct	Nov Dec
	- Increased number of inspections and regulatory measures taken by supervisors with regard to insurance and non-financial (DNFBP) sector - Increased number of ML-related cash seizures by customs authorities																						
Expected Result No. 1 Legislative proposals available to make Georgia	Outcome indicators n – Existing legislation and sub-legal acts		Means of verification - Project progress and activity	- All government agencies involved		Cost	Jan Feb	o Mar	Apr May	Jun Jul	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Jul /	Aug Sep Oct	Nov Dec	Jan Feb Ma	Apr May	Jul A	Aug Sep Oct	Nov Dec
legislation and sub-legal acts compliant with th applicable European and international standards		Dungunga	reports; - MONEYVAL reports. Deliverables	participate in legislative revision and subsequent discussion process - Amendments are introduced into the formal legislative process Beneficiary Institutions		Means Numbers: F/ M	Jon Folk	Mar	Ann Mau		Aug	Oct Nov	Das Jan	Tab May	Ann Mau		Avg. Son Oct	Nov. Doc	lan Fah Ma	Any May		Son Oct	Nov. Dog
Activities Activity 1.1.	Inputs/Actions 1.1.1 Legislative analysis on:	Progress	Expert Opinion	•	Ministry of Finance, Government	n/a	Jan Feb	o Mar	Apr May	Jun Jul	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Jul <i>j</i>	Aug Sep Oct	Nov Dec	Jan Feb Ma	Apr May	lun Jul <i>A</i>	Aug Sep Oct	Nov Dec
Analyse Georgian AML/CFT legislation and sub-legation acts and provide support in the preparation of necessary amendments	- NPOs - Leasing, gambling, and cash couriers - DPMSs				Government																		
	1.1.2 Expert advice during preparation of draft		Expert advice	Ministry of Finance, Government	Ministry of Finance,	n/a																	
	legislation		Expert advice	FIU of Georgia, Governement	Government FIU of Georgia,																		
Activities	Inputs/Actions	Status	Deliverables	Beneficiary Institutions	Governement Target Groups	Means Numbers: F/ M	Jan Feb	o Mar	Apr May	Jun Jul	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Jul /	Aug Sep Oct	Nov Dec	Jan Feb Ma	Apr May	lun Jul <i>A</i>	Aug Sep Oct	Nov Dec
Activity 1.2. Peers review of proposed legislative amendment			- workshop/conference - recommendations on legislation	Ministry of Finance, Government																			
between different sector experts (local an international) Expected Result No. 2	1.2.2 Workshop/conference on proposed legislative amendments Outcome indicators	Status	- workshop/conference - recommendations on legislation Means of verification	on	FIU of Georgia, Governement Benchmarks	Cost	Jan Feb	Mar	Any May	lua lui	Aug Con	Oct Nov	Doc Jon	Ech Mor	Anr. May	lua lui	Aug Son Oct	Nov. Doc	Ion Fob Mo	Apr. May	luo lui	Son Oct	Nov. Doc
Risks of money laundering/terrorism financing at	- A national risk assessment (NRA) is carried out and report is produced; - An action plan for risk-mitigating measures is available		- Project progress and activity reports; - MONEYVAL Reports	All relevant agencies participate in national risk assessment process	Deliciliaris	COST	Jan 1 es	Viai	дрі імау	Juli Juli	Aug Jep	loct Nov	Jan Jan	I EU IVIGI	Api iviay	Juli Juli	Aug Sep Oct	Nov Bec	Jan Teo Ma	Apr May		Aug Sep Oct	Nov Bec
Activities Activity 2.1. Conduct a national risk assessment (NRA) and sectoral risk assessments (as necessary)	Inputs/Actions	Status	Expert advice	1	Target Groups Representatives of public service institions conducting the national risk assessment	- I	Jan Feb	o Mar	Apr May	Jun Jul	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Jul /	Aug Sep Oct	Nov Dec	Jan Feb Ma	Apr May	Jun Jul <i>f</i>	Aug Sep Oct	Nov Dec
	2.1.2 Advise on the conduct of sectoral risk assessment		Expert advice	1	Representatives of public service institions conducting sectoral risk assessment	6 women 18 men																	
	2.1.3 Consultation workshops		- Consultation workshops - Recommendations	Public service institutions conducting national and sectoral risk assessment	Representatives of public service institions conducting national and sectoral risk assessment	30 women 64 men																	
					Representatives of the AML/CFT Inter-agency Council	8 women 29 men																	
					Representatives of the AML/CFT Inter-agency Council	7 women 16 men																	
Activities Activity 2.2.	Inputs/Actions 2.2.1 Expert opinion on a plan for mitigating risks	Status	Deliverables Expert Opinion	Beneficiary Institutions Ministry of Finance, relevant institutions conducting risk	Target Groups Ministry of Finance, relevant institutions conducting risk	Means Numbers: F/ M	Jan Feb	o Mar	Apr May	Jun Jul	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Jul /	Aug Sep Oct	Nov Dec	Jan Feb Ma	Apr May	lun Jul A	Aug Sep Oct	Nov Dec
Develop a plan for mitigating ML/TF risks based on the result of the NRA				assessments	assessments																		
Expected Result No. 3 The supervisory and regulatory framework of	Outcome indicators of - AML inspections procedures are adopted by respective		Means of verification - Project progress and activity	Risks and Assumptions vity - Beneficiary institutions and	Benchmarks	Cost	Jan Feb	Mar	Apr May	Jun Jul	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Jul /	Aug Sep Oct	Nov Dec	Jan Feb Ma	Apr May	lun Jul <i>I</i>	aug Sep Oct	Nov Dec
preventative measures is reinforced for the sector of insurance, casinos, lawyers, notaries, dealers i precious metals and stones, real estate agents an NPOs; beneficial ownership and transparency of legal persons is enhanced	n - Higher compliance of reporting entities with AML/CFT d requirements is ascertained		reports; - MONEYVAL reports; - Reports on inspections supervisory authorities	reporting entities effectively cooperate and absorb CoE project by deliverables																			
Activities	Inputs/Actions	Status	Deliverables	Beneficiary Institutions	Target Groups	Means Numbers: F/ M	lan Feh	n Mar	Anr May	lun lul	Aug Sen	Oct Nov	Dec Jan	Feb Mar	Apr May	lun lul	Aug Sep Oct	Nov Dec	Jan Feb Ma	Apr May	lun lul 4	Aug Sep Oct	Nov Dec
Activity 3.1. Develop a risk-based supervisory procedures for	3.1.1 Produce a draft supervisory procedures for insurance, DNFBPs and NPOs supervisors		draft supervisory procedures for insurance, DNFBP and NPO supervisors	•	representatives of insurance, DNFBP and NPO supervisors						Aug Sep											lug Sep Oct	
insurance, DNFBP and NPO supervisors	- developed NPO sector risk matrix 3.1.2 Workshop to discuss draft supervisory		- workshop	,	representatives of insurance, DNFBP and NPO	6women 10 men																	
	procedures for insurance, DNFBP and NPO supervisors		- supervisory procedures for insurance, DNFBP and NPO supervisors	supervisors	supervisors	10 men																	
Activities Activity 3.2.	Inputs/Actions 3.2.1 Develop training curricula and materials	Status	Deliverables Training curricula and materials	staff of AML/CFT supervisory units	staff of AML/CFT supervisor	Means Numbers: F/ M y N/A	Jan Feb	o Mar	Apr May	Jun Jul	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Jul /	Aug Sep Oct	Nov Dec	Jan Feb Ma	Apr May	Jul #	Aug Sep Oct	Nov Dec
Train staff of AML/CFT supervisory units in the insurance, DNFBP and NPO supervisory authorities					DNFBPs and NPO supervisory authorities																		
	3.2.2 Organize training of staff of AML/CFT supervisory units in the insurance		training	staff of AML/CFT supervisory units in the insurance, DNFBPs and NPO supervisory authorities	1	y 10 male 18 female																	
	3.2.3 Organize training of staff of AML/CFT supervisory units in the legal profession sector		training		Staff of the Georgia Bar Association, lawyers	13 men 10 women																	
	3.2.4 Organize training of staff of commercial banks/NBG		training	Compliance officers from commercial banks, representatives of the AML Unit of NBG	Compliance officers from commercial banks, representatives of the AML Unit of NBG	7 men 22 women																	

		Organize training of staff of accountants/auditors		training	accountants/auditors	Reporting and Auriting Service of Georgia, accountants/auditors	15 men 17 women								Feb Mar												
Activities Activity 3.3. Raise awareness and train supervised entities in th application of AML/CFT requirements	the	Develop training curricula and materials		Deliverables Training curricula and materials	Supervised entities	ML/CTF staff of supervised entities	Means	Jan Feb	Mar Apr	May Jun	Jul A	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Ju	ul Aug	Sep Oct	Nov Dec	Jan Feb	Mar Ap	or May	Jun Jul	Aug Sep	Oct Nov	Dec
		Develop Guidance on AML/CFT Compliance for Commercial Banks		Guidance	Commercial Banks, NBG, FMS	Staff of the Commercial Bnks, FMS, NBG	n/a																				
Activities Activity 3.4. Develop mechanisms to ensure beneficial ownersh transparency in the registration of legal persons at the public registry	ship	Analyse existing legislation and produce recommendations on legislative/regulatory tools on transparency of beneficial ownership		Deliverables Expert opinion and recommendations	Beneficiary Institutions Ministry of Finance	Target Groups Ministry of Finance	Means Numbers: F/ M	Jan Feb	Mar Apr	May Jun	Jul A	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Ju	ul Aug	Sep Oct	Nov Dec	Jan Feb	Mar Ap	or May	Jun Jul	Aug Sep	Oct Nov	Dec
Expected Result No. 4 Capacities of authorities to investigate, prosect and adjudicate money laundering and econor crime cases are enhanced	prosecutor laundering their curric training/ed by the Proj - Increased	rs and judges on topics such as money typologies include case-training modules in cula and are continuing to deliver ducation modules without further assistance		Means of verification - Project progress and activit reports; - MONEYVAL reports;	ty - Educational institutions commit to incorporate new training in the curricula and have the institutiona will to continue trainings on the own; - Customs authorities utiliz knowledge gained through trainin in practical work	it ir al ir	Costs Costs	Jan Feb	Mar Apr	May Jun	Jul A	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Ju	ul Aug	Sep Oct	Nov Dec	Jan Feb	Mar Ap	or May	Jun Jul	Aug Sep	Oct Nov	Dec
Activities	Inputs/Act	tions	Status	Deliverables	Beneficiary Institutions		Means Numbers: F/ M	Jan Feb	Mar Apr	May Jun	Jul A	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Ju	ul Aug	Sep Oct	Nov Dec	Jan Feb	Mar Ap	or May	Jun Jul	Aug Sep	Oct Nov	Dec
Activity 4.1. Development of a case-study trainings for law enforcement, prosecutors and judges.	4.1.1	Develop training curricula and materials		Training curricula and materials	law enforcement, prosecutors and judges	prosecutors and judges	N/A																				
Activities Activity 4.2. Provision of up to 3 (three) training and the Train t		Training on corporate money laundering for prosecutors and investigators		Deliverables Training on corporate money laundering	Beneficiary Institutions law enforcement, prosecutors and judges	1 0 - 1 - 1 - 1 - 1	Means Numbers: F/ M 6 women 20 men	Jan Feb	Mar Apr	May Jun	Jul A	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Ju	ul Aug	Sep Oct	Nov Dec	Jan Feb	Mar Ap	or May	Jun Jul	Aug Sep	Oct Nov	Dec
Trainers course through and by the 4.1 Training Module.		Training on AML for judges		Training on combating money laundering and terrorist financing	judges 3	judges	10 women 6 men																				
		Training on investigation ond prosecution of terrorits tinancing cases		Training on investigation ond prosecution of terrorits tinancing cases	Law enforcement agencies, judges FIU of Georgia	, Law enforcement agencies, judges, FIU of Georgia	12 women 22 men																				
	4.2.4	Training on asset recovery		Training on Confiscation and asser- recovery tools and procedures and best practice	law enforcement, prosecutors and judges	d law enforcement, prosecutors and judges	5 women 28 men																				
Activities Activity 4.3.	Inputs/Act	tions Workshop/conferences/a study visit		Deliverables Training on investigation and	Beneficiary Institutions law enforcement, prosecutors,	Target Groups law enforcement,	Means Numbers: F/ M 12 women	Jan Feb	Mar Apr	May Jun	Jul A	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Ju	ul Aug	Sep Oct	Nov Dec	Jan Feb	Mar Ap	or May	Jun Jul	Aug Sep	Oct Nov	Dec
Provision of networking capacities among practitioners and review of other good European practices through workshops, conferences and/or Study Visit for (LE, Prosecutors, FI)		workshop/comerences/a study visit		prosecution of money laundering offences and seizure of proceeds of crimes	financial intelligence analysts	prosecutors, financial intelligence analysts	8 men																				
Activities	Inputs/Act		Status	Deliverables	Beneficiary Institutions	Target Groups	Means Numbers: F/ M	Jan Feb	Mar Apr	May Jun	Jul A	Aug Sep	Oct Nov	Dec Jan	Feb Mar	Apr May	Jun Ju	ul Aug	Sep Oct	Nov Dec	Jan Feb	Mar Ap	or May	Jun Jul	Aug Sep	Oct Nov	Dec
Activity 4.4. Capacity building for Customs services on method for profiling and seizure of cash based on suspicior of ML	ods	Workshop on good practices with methods for profiling and seizure of cash based on suspicions of ML		Training on cash and money laundering	Customs service	Staff of the Customs Service	7 women 29 men	Jan Feb	Mar Apr	May Jun		ug Car	Oct	Dec lan	Egh	Ans	lun lu	ul Aug	Sep Oct	Nov. Des	lan Fal	Mar Ap	or Mav	lun	Aug Sep	Oct Nov	Doc
							SC Meetings	Jan Peb	Ινιαι Αμι	iviay Juli	Jul A	Aug Sep	Oct Nov	Jail	Feb Mar	Apr May	Juli	Aug	Sep Oct	Nov Dec	Jan Feb	Mar Ap	liviay	Jul Jul	Aug Sep	Oct Nov	Dec
							Start-Up Conference																				
							Inception Report Progress Report																				
							Annual Report Evaluation Process/Repo	rt																			
							Final Conference and Rep							1													