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2312 - Protection of Entrepreneurs in the Russian Federation from Corrupt Practices (PRECOP RF)

v- marks activities that are done

initially foreseen

Overal objective	Objectively verifiable indicators (OVIs)	Means of verifiction	Assumption/Risks	Total cost
Facilitate the prevention of corrupt practices affecting the business sector in the Russian Federation	• Investment and business climate improved • Clear contribution of proposed policies to decrease the level of corruption with regard to the business sector	• Indicators and surveys on general and business corruption, • Indicators and surveys on investment and business climate; • Reports and statistics by Ombudsmen • Media response	**Assumptions:** • Framework on ombudsmen is implemented • Authorities cooperate and provide input to activities and make use of outputs • Businesses take part in activities, provide input, and make use of new tools	
Project Purpose	**OVI(s)**	**Sources of verifiction**	**Assumption/Risks**	
Strengthened mechanisms to protect the rights of entrepreneurs from corrupt practices	• Number of cases of abuse of authority against businesses reduced • Number of policy proposals implemented • Awareness on Ombudsmen institutions and protection mechanisms raised • Capacities of key stakeholders increased	• Project Progress and activity reports • Official statistics of key institutions	• Ombudsmen institutions, business and legal associations, and other stakeholders where possible, provide input to project activities and take part	

Expected Result No. 1	Objectively Verifiable indicators OVI(s)	Means of verification	Risks and Assumptions	Benchmarks	Cost
Institutions of regional and public Ombudsmen are informed about international standards and practices of comparable institutions (including in the context of competencies of the Ombudsman for the Protection of Entrepreneurs' Rights under the President of the Russian Federation)	• Number of stakeholders and potential multipliers reached by awareness raising and training events • Quantity and quality of implementation of mechanisms and procedures by regional ombudsmen based on federal model	• Project progress and activity reports (including feedback, statistics and reports from event and training participants, etc.) • Project evaluation • Official data from Ombudsman institutions	• Input on Russian framework is provided for by Ombudsmen institutions • Relevant stakeholders take part in workshops, trainees are selected appropriately		

Activities	Inputs/Actions		Deliverables	Beneficiary Institutions	Target Groups	Means
Activity 1	1,1	Comparative analysis of International and Russian experience in terms of powers, competencies and practices of a business ombudsman institution;	• Report analysing/comparing the powers, competencies and practices of business ombudsmen practices .	• Federal, public and regional Ombudsmen		• STC (Int) (1 expert up to 10 working days) • STC (Loc) (1 expert up to 10 working days) • Cost of Workshop (up to 20 participants) • Cost of publication and dissemination • Travel and accommodations • Translation/interpretation
		Workshop on "Powers Competencies and practices of business Ombudsman Institution	• Workshop agenda, list of participants. • Adopted recommendations based on the findings of the report/analysis and discussions in the workshop			
	1,2	Develop a training programme and handbook for regional ombudsmen and their staff; typologies to be drawn from Activity 3.1	• Training Programme • Handbook for regional ombudsmen	• Federal, public and regional Ombudsmen • Business associations • Chambers of commerce and industry (federal and regional) • Other regional authorities, as available		• STC (loc) development of training programme (2 experts - up to 5 working days each) • STC (loc) handbook for regional training (2 experts up to 12 working days each)
		Organize up to six training events	• Up to six 2-3 day workshops for regional stakeholders • Workshop agenda, list of participants.	• Federal, public and regional Ombudsmen • Business associations • Chambers of commerce and industry (federal and regional) • Other regional authorities, as availab		• Cost for six 2-3 day trainings (up to 20 particpants in each training - total of 100-120 participants) • Cost of publication and dissemination • Cost of travel and accommodation • Cost of translation/interpretation
		Study visit	• Up to 12 participants • List of participants • Agenda	• Federal, public and regional Ombudsmen		• Cost of study visit; • Cost of travel and accommodation • Cost of translation/interpretation

Activities	Inputs/Actions		2013 Oct	2013 Nov	2013 Dec	2014 Feb	2014 Mar	2014 Apr	2014 May	2014 Jun	2014 Sep	2015 Jan	2015 Feb	2015 Mar	2015 Apr	2015 May	2015 Jun
Activity 1	1,1	Comparative analysis of International and Russian experience in terms of powers, competencies and practices of a business ombudsman institution;	v ID experts	Review	v Workshop												
		Workshop on "Powers Competencies and practices of business Ombudsman Institution			v Workshop												
	1,2	Develop a training programme and handbook for regional ombudsmen and their staff; typologies to be drawn from Activity 3.1	v Oct (needs assess.)				ID experts	Review	Review	Review							
		Organize up to six training events										v Training events			v Training events	v Training events	v Training events
		Study visit											v Study Visit				

Activities	Inputs/Actions		2015 Jul	2015 Aug	2015 Sep	2015 Oct	2015 Nov	2015 Dec
Activity 1	1,1	Comparative analysis of International and Russian experience in terms of powers, competencies and practices of a business ombudsman institution;						
		Workshop on "Powers Competencies and practices of business Ombudsman Institution						
	1,2	Develop a training programme and handbook for regional ombudsmen and their staff; typologies to be drawn from Activity 3.1						
		Organize up to six training events	v Training events					
		Study visit					v Study Visit	

Expected Result No. 2	Objectively Verifiable indicators OVI(s)	Means of verification	Risks and Assumptions	Cost
Overview of good practices in CoE member-states and proposals for protecting whistle-blowers in the area of corruption are available	Quality and scope of proposals for protection of whistle blowers available and taken on board by policymakers	• Project progress and activity reports (including feedback, statistics and event reports etc.) • Analysis Report • Proposals developed (Technical Papers (TPs)) aimed at the regulation of whistle-blower protection	• Input on framework in RF is provided by Russian authorities • Stakeholders take part in workshops	

Activities	Inputs/Actions		Deliverables	Beneficiary Institutions	Target Groups	Means
Activity 2	2,1	Comparative analysis of practices in CoE member-states to protect whistle-blowers in the area of corruption	• Comparative analysis of practices for protection of whistle-blowers in the area of corruption	• Federal and regional Ombudsman		• STC (int) - (2 expert up to 10 working days) • Translation costs
	2,2	Development of proposals to regulate whistle-blower protection in the Russian Federation	• Technical paper (TP) with proposals on how to regulate the whistle-blower protection in the RF • Publication containing TPs from action 2.1 and 2.2	• Federal Ombudsman • Other relevant authorities		• STC (int) (2 expert up to 10 working days) • STC(loc) (1 expert up to 10 working days) n
		Expert Workshop to finalise proposals for the regulation of whistleblower protection	• Workshop agenda, list of participants and report	• Federal Ombudsman • Other relevant authorities		• Cost of workshops (up to 20 participants) • Cost of translation and interpretation • Cost of publication and dissemination • Cost of travel and accommodatio
		Organize public event to present final proposals for regulation of whistleblower protection in the Russian Federation	• Agenda, list of participants and report of the public event to present and discuss the TPs on protection of whistle-blowers	• Federal Ombudsman • Other relevant authorities		• Cost of conference (up to 40 participants) • Cost of interpretation • Cost of publication and dissemination • Cost of travel and accommodatio

Activity 2	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
2,1											ID experts	Review	Review																							
2,2													Review	Review	Review	Finalise and Pub.	Pub																			
Workshop																**v Workshop**																				
Public Event																												**v Public Event**								

Expected Result No. 3	Objectively Verifiable indicators OVI(s)	Means of verification	Risks and Assumptions	Benchmarks	Costs
Proposals are available to strengthen measures to prevent the misuse of public authorities in corporate conflicts, eliminating competition and forced takeovers	Quality and scope of proposals available and taken on board by policymakers	• Project progress and activity reports (including feedback, statistics and event reports etc.) • Analysis Report • Proposals developed (TPs)	• Input on framework and typologies in RF is provided by Russian authorities • Stakeholders take part in workshops		

Activities	Inputs/Actions		Deliverables	Beneficiary Institutions	Target Groups	Means
Activity 3	3,1	Comparative analysis of integrity and rule of law measures in CoE member-states and other international experience to prevent the misuse of public authorities in: • corporate conflicts • eliminating competition • forced takeovers	• Technical Paper	• Federal Ombudsman		• STC (int) (2 experts up to 15 working days) • STC (loc) (1 expert up to 15 working days)
	3,2	Development of proposals to strengthen integrity and rule of law measures to prevent the abuse of public authorities in: • corporate conflicts • eliminating competition • forced takeovers	• TP containing proposals/ recommendations to strengthen RoL measures • Experts workshop to discuss and finalise the TP • Joint publication of TPs from Action 3.1 and 3.2	• Federal and regional Ombudsmen • Business associations • Other relevant authorities, as available		• STC (int) (2 expert up to 5 working days) • STC (loc) (1 expert up to 5 working days) • Publication and dissemination costs
		Workshop on strengthening integrity and rule of law measures to prevent the abuse of public authorities in: • corporate conflicts • eliminating competition • forced takeovers	• Workshop agenda, list of participants	• Federal and regional Ombudsmen • Business associations • Other relevant authorities, as available		• Cost of workshop (up to 25 participants) • Translation/interpretation • Cost of travel and accommodation

Activity 3	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
3,1											ID experts	Review	Review	Review																						
3,2											ID experts	Review	Review	Review	**v Workshop**	Finalise and Pub.	Pub																			
Workshop																**v Workshop**																				

Expected Result No. 4	Objectively Verifiable indicators OVI(s)	Means of verification	Risks and Assumptions	Benchmarks	Costs
Practice of pro bono legal assistance in the protection of the rights of entrepreneurs is expanded	• Number of pro bono agreements between the Ombudsman and legal professionals • Number of stakeholders and potential multipliers reached by awareness events	• Project progress and activity reports (including feedback, statistics and reports from event and training participants, etc.) • Official data from Ombudsman institutions • Comparative Analysis • Proposals developed (TPs)	• Input on Russian framework is provided for by Ombudsmen and legal associations • Stakeholders take part in workshops		

Expected Result No. 4	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec

Activities		Inputs/Actions	Deliverables	Beneficiary Institutions	Target Groups	Means	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Activity 4	4,1	Comparative analysis of international and Russian practices and principles for pro bono work, including issues of incentives, as well as professional standards	• TP analysing the practices and principles of pro bono work by lawyers	• Federal Ombudsman		• STC (int) (1 expert up to 10 working days) • STC (loc) (1 expert up to 10 working days)																ID experts	Review																							
	4,2	Proposals for improvement of pro bono services of lawyers and legal associations and professional standards in this area	• Two workshops to discuss the findings of the TP in action 4.1 • Workshop agenda, list of participants • Recommendations/proposals adopted	• Federal Ombudsman • Business associations • Legal associations		• STC (int) (1 expert up to 4 working days) • STC (loc) (1 expert up to 4 working days) • Cost of two workshops • Translation/interpretation • Publication and dissemination • Cost of travel and accommodations																		v Workshops																							
	4,3	Conducting seminars and awareness events for legal associations and professionals for purposes of sharing practices of pro bono service	• Seminar / awareness raising events (agenda, list of participants) • Seminar reports • Study visit –agenda, report, list of participants	• Federal and regional Ombudsmen • Business associations • Legal associations		• STC (2 experts up to 8 working days each) • Cost of organizing four events (up to 20 participants per event) • Travel and accommodation • Translation and interpretation																																									
	4,3	Study visit	• Study visit –agenda, report, list of participants	• Federal and regional Ombudsmen • Business associations • Legal associations		• Travel and accommodation • Translation and interpretation • Cost of Study visit																																							
Expected Result No. 5		**Objectively Verifiable indicators OVI(s)**	**Means of verification**	**Risks and Assumptions**	**Benchmarks**	**Costs**	**Jan**	**Feb**	**Mar**	**Apr**	**May**	**Jun**	**Jul**	**Aug**	**Sep**	**Oct**	**Nov**	**Dec**	**Jan**	**Feb**	**Mar**	**Apr**	**May**	**Jun**	**Jul**	**Aug**	**Sep**	**Oct**	**Nov**	**Dec**	**Jan**	**Feb**	**Mar**	**Apr**	**May**	**Jun**	**Jul**	**Aug**	**Sep**	**Oct**	**Nov**	**Dec**
Proposals are available on resolving systemic problems of entrepreneurship for the Experts' Council under the Ombudsman for the Protection of Entrepreneurs' Rights under the President of the Russian Federation		**Quality and scope of proposals available and taken on board by policymakers**	**• Project progress and activity reports; • Data from Experts' Council • Research Studies**	**• Input on framework in RF is provided for by Ombudsmen and other stakeholders**																																								
Activities		**Inputs/Actions**	**Deliverables**	**Beneficiary Institutions**	**Target Groups**	**Means**	**Jan**	**Feb**	**Mar**	**Apr**	**May**	**Jun**	**Jul**	**Aug**	**Sep**	**Oct**	**Nov**	**Dec**	**Jan**	**Feb**	**Mar**	**Apr**	**May**	**Jun**	**Jul**	**Aug**	**Sep**	**Oct**	**Nov**	**Dec**	**Jan**	**Feb**	**Mar**	**Apr**	**May**	**Jun**	**Jul**	**Aug**	**Sep**	**Oct**	**Nov**	**Dec**
Activity 5	5,1	Preparation of research studies / recommendations on 5-10 issues relevant for the protection of rights of entrepreneurs from corruption identified in coordination with the Experts' Council	• Studies on 5-10 issues relevant to the protection of rights of entrepreneurs from corruption • Workshop agenda, list of participants • Adoption of the results/recommendations of the studies	• Federal Ombudsman • Experts' Council		• STC (int) (5 - 10 experts) • STC (loc) (5 - 10 experts) • Cost for up to five workshops (20 participants per workshop) • Translation/interpretation costs • Travel and accommodation																																									
Expected Result No. 6		**Objectively Verifiable indicators OVI(s)**	**Means of verification**	**Risks and Assumptions**	**Benchmarks**	**Costs**	**Jan**	**Feb**	**Mar**	**Apr**	**May**	**Jun**	**Jul**	**Aug**	**Sep**	**Oct**	**Nov**	**Dec**	**Jan**	**Feb**	**Mar**	**Apr**	**May**	**Jun**	**Jul**	**Aug**	**Sep**	**Oct**	**Nov**	**Dec**	**Jan**	**Feb**	**Mar**	**Apr**	**May**	**Jun**	**Jul**	**Aug**	**Sep**	**Oct**	**Nov**	**Dec**
Awareness of the business community is raised with regard to the risks of infringement of entrepreneurial rights and possible methods for their protection		**• Number of stakeholders and potential multipliers reached by awareness events • Level of awareness within the business community • Quality of case-referrals by business to the Ombudsman**	**• Feedback by participants of awareness raising events • Official data from Ombudsman institutions • Surveys of the business community**	**• Stakeholders take part in workshops and other events**																																								

v Workshop

v Workshop

v Workshop

v Study visit

v Worskop

v Worskops

Activities	Inputs/Actions		Deliverables	Beneficiary Institutions	Target Groups	Means	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Activity 6	6,1	Development of information materials for entrepreneurs and business associations on typologies of corruption risks, corruption cases, and on possible protection mechanisms	• Handbook and information materials to include findings of act 3.1	• Federal and regional Ombudsmen • Business associations		• STC (int) (1 expert up to 10 working days) • STC (loc) (1 expert up to 10 working days) • Translation/interpretation • Publication and dissemination costs																ID experts	Review	Review	Review																				
	6,2	Provide a reference source and compile recommendations on good governance principles in business (compliance and integrity practices, anti-corruption charters, etc.) as a mechanism to reduce corruption risks	• Handbook on good governance	• Federal and regional Ombudsmen • Business associations		• STC (int) (1 expert up to 10 working days) • STC (loc) (1 expert up to 10 working days) • Translation/interpretation • Publication and dissemination costs																							ID experts	Review	Review										
	6,3	Conducting seminars and other public events (eight in total) for the business community to raise awareness of existing forms of participation of entrepreneurs in anti-corruption mechanisms and on implementation of good governance principles and compliance mechanisms	• Eight Seminar agenda, lists of participants	• Federal and regional Ombudsmen • Business associations		• STC (2 experts up to 16 working days) • Travel and accommodation • Cost of organizing eight events (up to 20 participants per event) • Translation/interpretation																							v Seminar	v Seminar	v Seminar		v Seminar	v Seminar	v Seminar	v Seminar		v Seminar			

Expected Result No. 7	Objectively Verifiable indicators OVI(s)	Means of verification	Risks and Assumptions	Benchmarks	Costs	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
ECtHR-case law relevant to entrepreneurs rights and related corrupt practices is made available and disseminated	**• Quality of study and degree of reference to situation in RF • Number of stakeholders and potential multipliers reached by awareness events**	**• Activity reports • Review Technical Paper • User Review and compilation of ECtHR case law • Feedback by participants of awareness raising events**	**• Input on framework in RF is provided for by Ombudsmen • Stakeholders take part in workshops and provide input to the discussion of the study**																																							

Activities	Inputs/Actions		Deliverables	Beneficiary Institutions	Target Groups	Means	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
Activity 7	7,1	Review of ECtHR-case law relevant to the area of protection of the rights of entrepreneurs from corrupt practices	• TP on the ECtHR case law	• Federal and regional Ombudsmen • All other stakeholders relevant for providing input to the study		• STC (int) (1 expert up to 10 working days) • Translation/interpretation • Publication and dissemination cost																	ID experts	Review	Review	Review	Review																
	7,2	Organize two awareness-raising seminars with business community, federal and regional ombudsman staff, legal professionals, etc. to disseminate results of ECtHR case law analysis	• Seminar agenda, list of participants • Seminar reports • Study visitagenda, report, list of participants	• Federal and regional Ombudsmen • Business associations • Legal associations		• STC (2 experts up to 4 days each) • Travel and accommodation • Cost of event organization 2 events • Cost of organizing study visit • Translation/interpretation • Publication and dissemination cost																							v Seminar			v Seminar										
	7,2	Study Visit to ECtHR	• Study visitagenda, report, list of participants	• Business associations • Legal associations																	v Study Visit																				

	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec
SC Meetings										v Oct						v Apr						v Oct						v Apr							v Oct	
Start-up activities			v. Mar						v Sep																											
Launching Conference										v Oct																										
Inception Report										v Oct																										
Progress/Annual Report															v Mar										v Jan											
Evaluation Process/Report																																				v Dec
Final Conference and Reporting																																				v Dec