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PEACEFUL SETTLEMENT OF DISPUTES: JURISDICTION OF INTERNATIONAL COURTS AND TRIBUNALS

Document submitted by the Delegation of Portugal

In the last 15 years there has been a rapid multiplication in international courts and tribunals, coupled both with an increased activity and willingness from States to resort to them to settle disputes.

There are today more than 20 permanent international courts and tribunals and about 70 more international institutions with judicial and quasi-judicial functions (see the Synoptic Chart elaborated by *The Project on International Courts and Tribunals* and available in www.pict-pcti.org).

This growth has been termed as "proliferation" and qualified as "uncoordinated" and potentially leading to the "fragmentation" of international law. However, this phenomenon is not being viewed unanimously as problematic (see the Bibliography also elaborated by *The Project on International Courts and Tribunals* and available in www.pict-pcti.org).

While the case law is not yet revealing, there have been some instances where the issue of overlapping of jurisdictions was effectively or at least potentially present such as in the Southern Bluefin Tuna case, in the Swordfish case or the Mox Plant case.

A number of issues can be raised, both of practical and theoretical importance:

- Should a court or tribunal refuse to decide a case on the ground that it lacks jurisdiction over all the issues brought before it?
- Should a court or tribunal decide on all the issues on the ground that it has jurisdiction over some them?
- Should a court or tribunal decide only on the issues where it has jurisdiction?
- Should courts and tribunals try to avoid this problem? Is there any coherent and principled solution?
- What court or tribunal has jurisdiction and what is the law applicable?
- What should happen when jurisdictions overlap? I.e. if more than one court/tribunal has jurisdiction, if there are different or even conflicting decisions on the same issue, if there are multiple proceedings, etc.
- Is it possible or desirable to avoid "forum shopping"?
- Is there an international judicial system? Is it possible to find within this system principles and rules to solve problems of overlapping and competition? Is there need for such principles and rules?
- What are the consequences of a lack of solutions?
- Could the International Court of Justice been seen as a review or appeal body? Should the ICJ have a special place role to play?
- What should be the role of res judicata and of litispendence?
- Could the rule of precedent play a bigger role in international law?

The Project on International Courts and Tribunals The International Judiciary in Context

· Appeals Board of the

for Migration (1972 →)

Space Agency (1975 →)

World Bank Administrative

Implementation Committee

under the Montreal Protocol

on Substances that Deplete

IMO Sub-committee on Flag

Implementation Committee

Transboundary Air Pollution (1997 →)

of the Protocols to the 1979

ECE Convention on Long-Range

the Ozone Layer (1990 →)

State Implementation (1992 →)

FXISTING

Council of Europe Appeals Board

Appeals Board of NATO (1965 →)

Intergovernmental Committee

Appeals Board of the European

Administrative Tribunal of the Organization of American States

EXTINCT

(1966-1974)

Non-Compliance / Implementation Monitoring Bodies

NASCENT

Latin American Integration

Association Administrative

League of Nations Administrative Tribunal (1927-1945)

Appeals Board of the European

Appeals Board of the European

Desertification Convention (1994)

Basel Convention on Control of

Aarhus Convention on Access to

Decision-making and Access to Justice in Environmental Matters

Information, Public Participation in

Transboundary Movements of

Hazardous Wastes and their

Kvoto Protocol (1997)

NASCENT

Rotterdam Convention on the Prior

Certain Hazardous Chemicals and

Informed Consent Procedure for

Pesticides in International Trade

Multilateral Consultative Process

for the United Nations Climate

Cartagena Protocol on Biosafety (2000)

Stockholm Convention on Persistent

Change Convention (1998)

Space Vehicle Launcher Development Organization

Space Research Organ (1966-1974)

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Conciliation Commissions under the 1947 Peace Treaty with Italy (1947-1965*)

UN Tribunal for Eritrea (1951-1954)

UN Tribunal for Libya (1951-1955)

OSCE Court of Conciliation and

Arbitration and Mediation Center

of the World Intellectual Property

Arbitration (1994 →)

Panels (1994 →)

NAFTA Dispute Settlement

Organization (1994 →)

Arbitral Tribunal of the

Economic Union (1958)

Community (1959)

Upper Silesia Arbitral Tribunal (1922-1937)

Inter-governmental Organization for International Carriage by Rai

Arbitral College of the Benelux

Court of Arbitration of the French

Arbitration Tribunal of the Central

American Common Market (1960)

Permanent Arbitral Tribunals/Conciliation Commissions

EXISTING

DORMANT

(OTIF) (1890)

Austro-German Property Arbitral Tribunal (1961-1973)

Kosovo War and Ethnic Crimes

United Nations Transitional

Administration in East Timor -

Special Court for Sierra Leone (2001)

Courts of Cambodia (2001)

Special Court for Liberia

Extraordinary Chambers in the

EXISTING

MASCENT

PROPOSED

Court (2000 →)

Design: Lorenzo Ottaviani Design / NY

The so-called Lockerbie Trial

Court was not an international body nor an internationalized one

It was a Scottish court, applying Scottish criminal law. Unlike

the bodies listed in this column

it did not carry out its functions

under international supervision.

Argentina, etc.) are not listed in this chart because, although

of international interest, they are essentially domestic endeavours.

commissions (like the ones created for South Africa, Guatemala,

Truth and reconciliation

award was made.

Internationalized Criminal Courts and Tribunals

This is the date in which the last

www.pict-pcti.org

Log on to our website for news about all international courts and tribunals (judgments, press releases, articles, and more) This chart can also be downloaded from the PICT site.

International Judicial Bodies International Criminal Trade. Commerce **General Jurisdiction** Law/Humanitarian Law Human Rights and Investments **Regional Economic Integration Agreements** EXISTING EXISTING EXISTING Europe Europe / Central Asia DORMANT Latin America / Caribbean European Court of Human Rights (1959-1998 / 1998 →) • International Court International Criminal Tribunal World Trade Organization Economic Community of West EXISTING in a legal and regular manner EXISTING Dispute Settlement Understanding of Justice (1946 -) for the Former Yugoslavia (1993 →) African States Tribunal (1975) Court of Justice of the European Communities (1952 →) The Court does not formally Economic Court of the Commonwe of Independent States (1993 →) Court of Justice of the Andean Community (1984 →) Judicial Board of the Organization of Arab Petroleum Exporting International Criminal Tribunal for Rwanda (1995 →) Inter-American Court of Human Rights (1979 →) adjudicate disputes (although it EXTINCT The DSIL co

EXISTING he date in parenthesis indicates the year in which the body started operating Note: this is not the date on which the agreement establishing the body was signed, nor necessarily the date of the agreement's entry into force.

· Committee of Independent

Experts under the European Social Charter (1965 →)

· Committee on the Elimination

of Racial Discrimination (1969 →

International Civil and Political

Rights Committee (1976 -)

Inter-American Commission on Human Rights (1979 →)

Committee on the Elimination

of All Forms of Discrimination Against Women (1981 →)

Committee on Economic, Social and Cultural Rights (1987 →)

African Commission on Human

and Peoples' Rights (1987 →)

• European Committee for the

Prevention of Torture and

Treatment or Punishment

Committee on the Rights

European Commission Against

Human Rights Commission

Racism and Intolerance (1993 →)

for Bosnia Herzegovina (1995 →)

of the Child (1990 →)

Inhuman or Degrading

Committee Against Torture (1987 →)

ILO Governing Rody Committee on

Freedom of Association (1950 →)

EXTINCT

The dates in parenthesis indicate the period during which the body was in operation.

 ABORTED
 Certain bodies, after having had their constitutive instruments adopted. never started functioning. The date in parenthesis indicates the year in which the constitutive instrument was adopted.

■ DORMANT

ertain bodies, while formally still operating any submission for several years.

■ NASCENT

The date in parenthesis indicates the year in which the constitutive instrument was adopted.

PROPOSED
These are bodies whose establishment has been considered in the past by academics and law-makers, but which have not yet become reality

Version 2.0 (August, 2001)

Law of the Sea EXISTING • International Tribunal for the Law of the Sea (1996 -)	EXTINCT A frican Slave Trade Mixed Tribunals (1819-1866 circa) International Military Tribunal at Nuremberg (1945-1946) International Military Tribunal for the Far East (1946-1948) ABORTED International Prize Court (1907) MASCENT International Criminal Court (1998)	NASCENT African Court of Human and Peoples' Rights (1998) PROPOSED International Human Rights Court	system based on a standing political organ (the Dispute Settlement Body), comprising all members of the WTO, a set of all hot expert panels, and the Appellate Body. The reports of the Panels and the Appellate Body can be rejected by the DSB only by consensus, Strictly speaking, only the Appellate Body belongs in this column, while the DSB and the panels should be listed in the section, "Permanent Arbitral Tribunals/Conciliation Commissions". PROPOSED International Loans Tribunal	Benelux Economic Union Court of Justice (1974 -) The Benelux College of Arbitrators has been listed in the section "Bermanent Arbitral Tibunals/Conciliation Commissions". Court of First Instance of the European Communities (1988 -) The European Court of Auditors (1977 -) is not quite an international judicial body (see back). It is an internal control body of the European Union. It examines whether EU budgetary revenue has been received, and the corresponding expenditure incurred,	When auditors discover cases of irregularities, including suspected fraud, the information is communicated to the Community bodies responsible in order that they may take appropriate action. • EFTA Court (1994 →) DORMANT • European Nuclear Energy Iribunal (OECD) (1957) • Western European Union Tribunal (1957) • European Tribunal on State Immunity (Council of Europe) (1972)	Africa / Middle East EXISTING Common Court of Justice and Arbitration of the Organization for the Harmonization of Corporate Law in Africa (1997 →) Court of Justice of the Common Market for Eastern and Southern Africa (1998 →) EXTINCT East African Community Court of Appeal (1967-1977) East African Community Common Market Tribunal (1967-1977)	Countries (1980) - Count of Justice of the Economic Community of Central African States (1983) - Count of Justice of the Arab Maghreb Union (1989) - Count of Justice of the African Economic Community (1991) - Southern Africa Development Community Tribunal (1992) - PROPOSED - International Islamic Count of Justice - Arab Count of Justice	Central American Court of Justice "Corte Centroamericana de Justicia" (1994 -) EXTINCT Central American Court of Justice "Corte de Justicia Centroamericana" (1908-1918) NASCENT Caribbean Court of Justice (2001) PROPOSED MERCOSUR Court of Justice Inter-American Court of International Justice
Ouasi-Judicial. Implemen	tation Control and other Di	spute Settlement Bodies			ı	1	1	'
Quasi-Judicial, Implemen	station Control and other Di	spute Settlement Bodies	tive Tribunals	Inspection Panels	International Claims and	l Compensation Bodies - Mu	ultilateral / Bilateral	
	EXISTING		tive Tribunals EXISTING - Inter-American Development	Inspection Panels EXISTING • World Bank Inspection Panel	International Claims and EXISTING • Iran-United States Claims	Compensation Bodies - Mu Existing German Forced Labour	ultilateral / Bilateral ■ EXTINCT • Property Commissions under	This list is not exhaustive.

EXISTING

 $(1899 \rightarrow)$

 $(1909 \rightarrow)$

Claims Resolution Tribunal for

· Permanent Court of Arbitration

International Joint Commission

International Civil Aviation

Settlements Arbitral Tribunal (1930 -

Organization Council (under the 1944 Chicago Convention the

ICAO Council has certain dispute

OAU Commission of Mediation.

International Centre for the

Settlement of Investment

Gulf Cooperation Council

Court of Arbitration for Sport

North American Commission on

Environmental Cooperation (1993 -

Disputes (1966 →)

Disputes (1981 →)

settlement competences) (1944 →)

Conciliation and Arbitration (1964

Commission for the Settlement of

Bank for International

Dormant Accounts in Switzerland

The International Judiciary in Context: A Synoptic Chart

The purpose of this chart is to provide international legal scholars and practitioners with a compendium of all international judicial bodies. Yet, this apparently straightforward task is riddled with traps and dilemmas. Perhaps the greatest challenge is to portray what can be called oxymoronically "an anarchic system" without exaggerating its level of order. The grouping and sub-grouping of all these bodies and mechanisms into a taxonomy does not imply the existence of an "international judicial system", if by system it is meant "a regularly interacting or interdependent group of items forming a unified whole" or "a functionally related group of elements" (Webster's Collegiate Dictionary, 10th ed.). Whether they ought to exist as a system is open to debate.

The second challenge is that in order to capture the dynamism and fluidity of the international judicial domain, any chart of the international judiciary needs to have a temporal dimension. Thus, alongside existing institutions, such as the International Court of Justice, the chart portrays bodies that have been closed down (labeled "extinct"), such as the Permanent Court of International Justice. There are also bodies provided for in treaties that never entered into force (aborted), such as the International Prize Court; idle ones like the Organization for Security and Cooperation in Europe's European Nuclear Energy Tribunal (dormant); and nascent bodies, like the International Criminal Court. Moreover, the synoptic chart also includes bodies that have been debated and remain confined to the realm of ideas, such as a possible International Court for the Environment (proposed). In sum, the chart depicts the past, present and potential make-up of the international judiciary.

The main focus of the chart is on international judicial bodies. On the top portion of the chart, there are 43 different institutions (of which only 16 are currently functioning) grouped by subject-matter jurisdiction in seven clusters. All the entities listed in this group meet certain fundamental criteria, which set them apart from all other entities listed in the bottom part of the chart. Namely, these entities:

- a) are permanent institutions;
- b) are composed of independent judges;
- adjudicate disputes between two or more entities, at least one of which is either a State or an International Organization;
- d) work on the basis of predetermined rules of procedure; and
- e) render decisions that are binding.

Altogether, these entities form the so-called "international judiciary". However, in order to fully understand the reasons for the rapid quantitative increase in the number of international judicial bodies, the extensive transformation of their competencies, and the success of certain subject-matter jurisdictions over others, it is necessary to include also those bodies and mechanisms which, while not meeting several or all of the above mentioned standards, also play a role in the enforcement, interpretation and implementation of international law. When these international judicial bodies are placed in a much larger historical and analytical context, 82 other entities and mechanisms, referred to as "Quasi-Judicial, Implementation Control and other Dispute Settlement Bodies", and gathered in seven autonomous clusters, need to be accounted for (excluding extinct International Claims and Compensation Bodies).

The institutions and mechanisms listed in this chart have very few legal or functional links among one another, either within or across each major grouping or cluster. Admittedly, until 1995 the International Court of Justice could review judgments of the United Nations Administrative Tribunal, but the link was then severed by the UN General Assembly. Certain institutions listed in the group "human rights bodies" (e.g., the Inter-American Commission on Human Rights) act as a mandatory filter for certain international courts and tribunals (in this case the Inter-American Court of Human Rights). Again, there are several cases of potentially competing "jurisdictions", both among bodies within the same group (e.g., the International Court of Justice and the International Tribunal for the Law of the Sea) and bodies in different groups (e.g., the non-compliance procedures, the International Court of Justice or the World Trade Organization Dispute Settlement Body, to cite but a few). However, beyond such links, each institution is formally unrelated to any other.

Nonetheless, in their staggering diversity these 125 international bodies and mechanisms have certain commonalties that justify their presence on the same layout. First, all of these entities make legal determinations, and this sets them apart from other bodies, such as the UN General Assembly or the Parliamentary Assembly of the Council of Europe, which share the same aspiration towards a "just world" but are of a quintessentially political nature. To be precise, these 125 bodies determine whether certain acts are congruous with certain norms. And this leads to a second commonality, which is the fact that in order to make their determinations they all resort to the same body of law: international law. Third, all of these international bodies have been established directly or indirectly (i.e., through a decision taken by a body established by treaty) by international agreements. It follows that they are subject to a legal order that is different from that of national systems, but, at the same time, that they are subject to (and materially dependent on) State support. Finally, and perhaps more importantly, collectively they are the expression of a widely shared need to abandon a world where only States count and the mighty rule, in favor of an order where certain fundamental common values are shared, protected and enforced by all members of a wide society, composed of States, International Organizations and individuals in all their legal incarnations (NGOs, peoples, corporations, natural persons, etc.).

Like any chart, this one is most likely incomplete. It is the result of an ongoing research effort carried out within PICT, and should be considered at best a partial representation of what exists, or has existed. Certain groupings have a mere illustrative function, for the enormous number of bodies that have been created in the past could not properly fit in the scheme. Other entries might change status in the near future, and new institutions and mechanisms might be created. Therefore, this chart will be updated regularly. To this end, any comments and suggestions will be greatly appreciated and can be sent to <cr28@acf2.nyu.edu>.

Cesare P.R. Romano

Note to Version 2.0

This is an updated version of the Synoptic Chart that was prepared in 1998 as a supplement to the special issue of the NYU Journal of International Law and Politics, Vol. 31, 4. That version listed 41 bodies in the top group and 55 in the bottom one. Some of the additions are new bodies that have been created or proposed since 1998, while others are simply past omissions that have been kindly pointed out by readers.

New features of this chart include a special category for "dormant bodies" and a new sub-grouping in the bottom part called "Internationalized Criminal Courts and Tribunals" (i.e., domestic criminal tribunals with international supervision created in the aftermath of regional and domestic armed conflicts, such as those for Sierra Leone, Kosovo, East Timor, and Cambodia). These are the latest additions to the multitude of bodies populating the international legal scene.